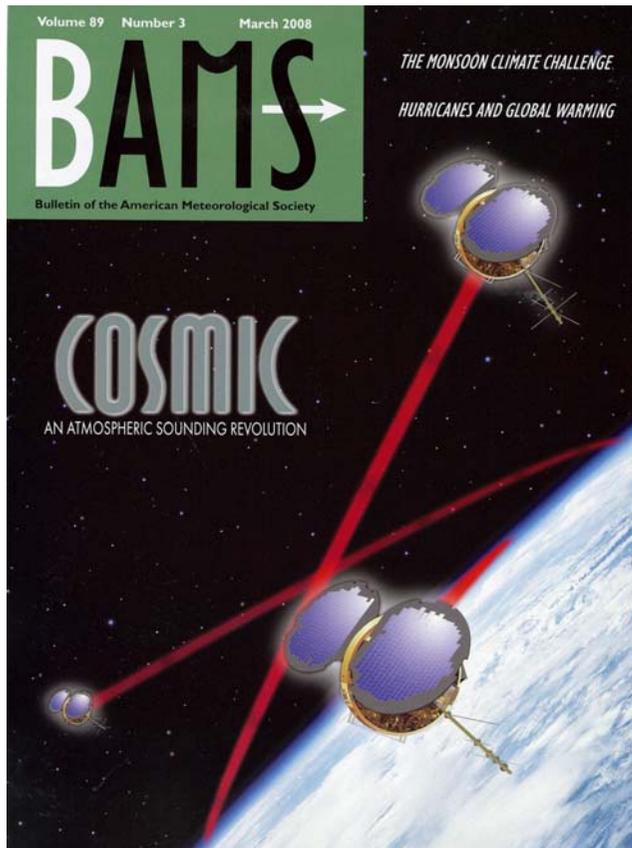
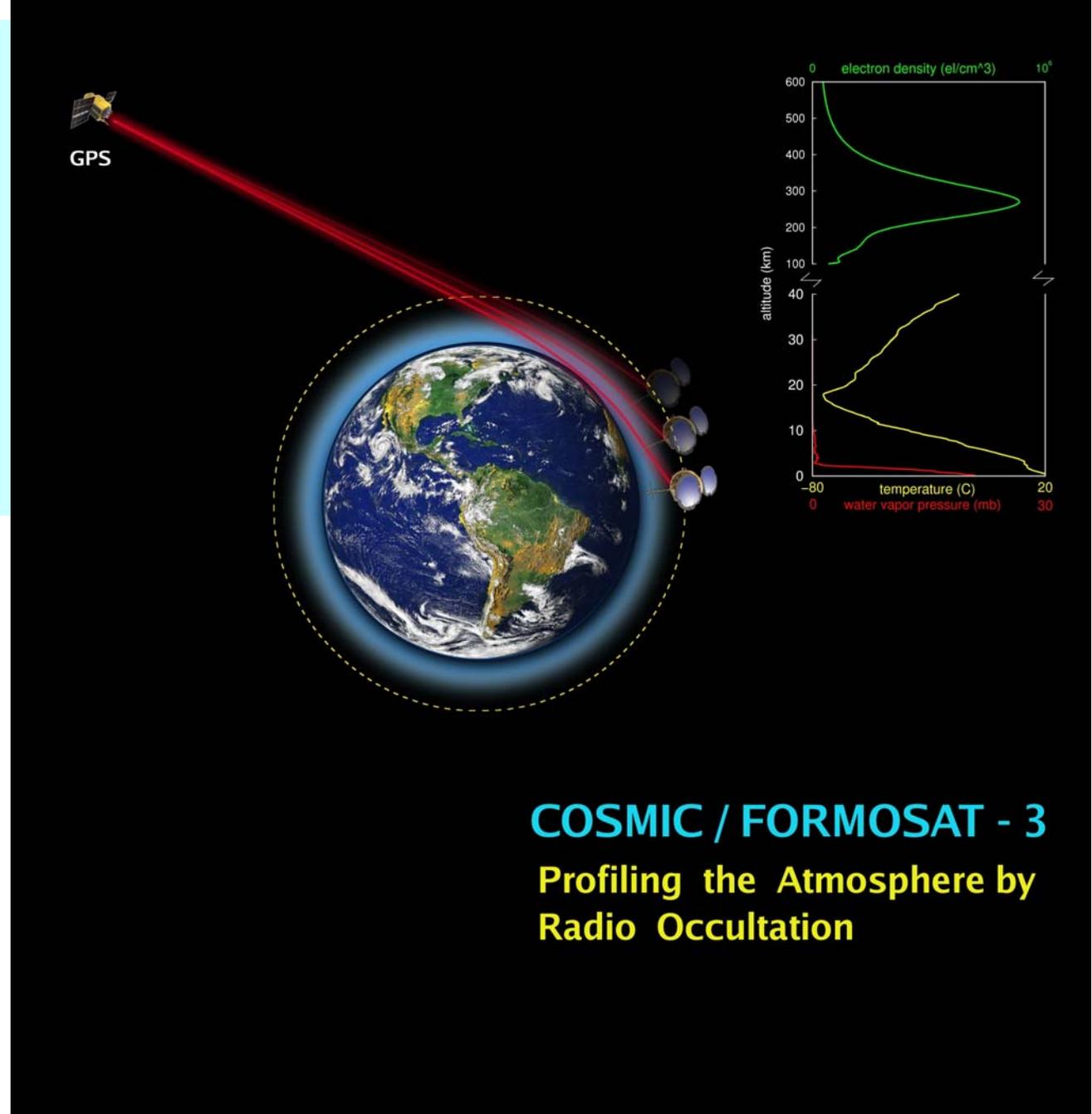


*Exploring the Atmosphere
With Radio Occultation-
contributions to weather, climate
and space weather*

*OPAC 2010
Rick Anthes
6 September 2010*



OPAC 2010 Rick Anthes



**COSMIC / FORMOSAT - 3
Profiling the Atmosphere by
Radio Occultation**

Outline of talk

From GPS/MET to COSMIC-15 years of progress!

- A few results from GPS/MET
- Progress since GPS/MET
 - Improvements in RO retrievals since GPS/MET
 - Characteristics of RO observations (accuracy and precision)
 - Weather phenomena highlights
 - Numerical weather prediction highlights
 - Climate highlights
 - Space weather highlights
- Some remaining challenges

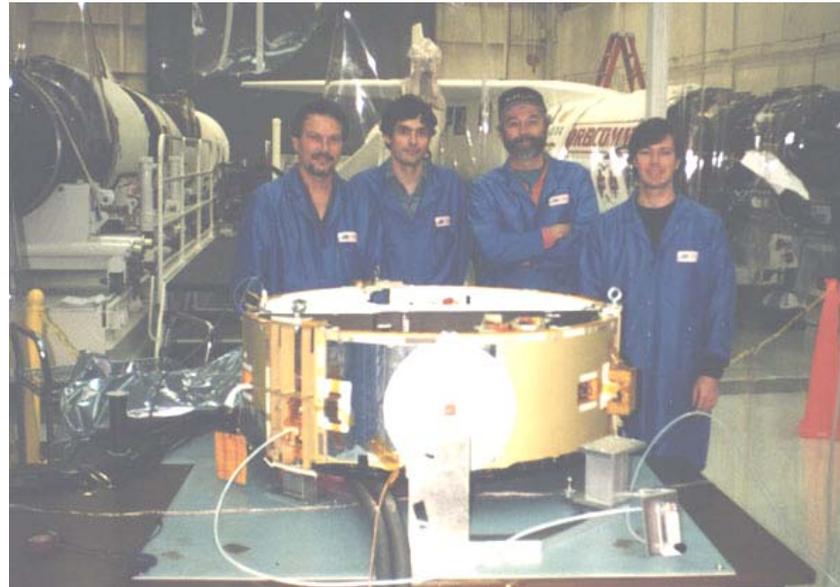
Acknowledgment and Thanks...

to the many people around the world who have made RO
successful!

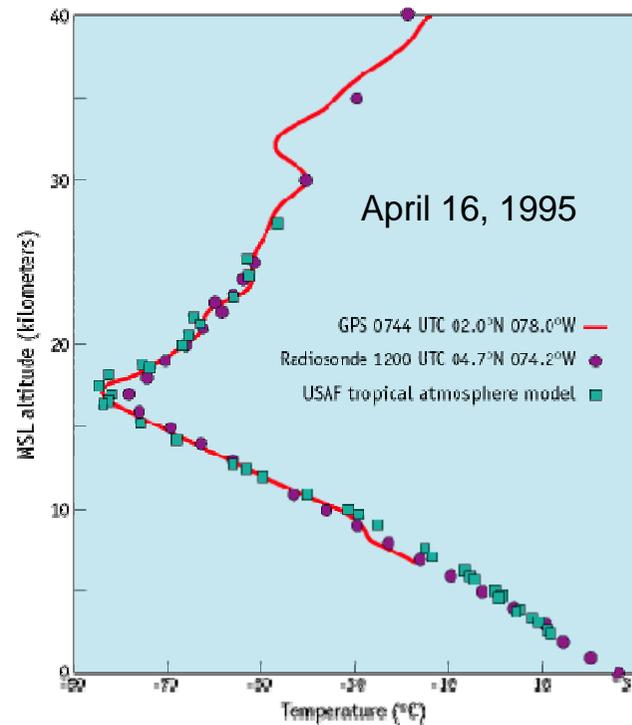


GPS/MET Proof of Concept Mission

GPS/MET Launch
April 3, 1995



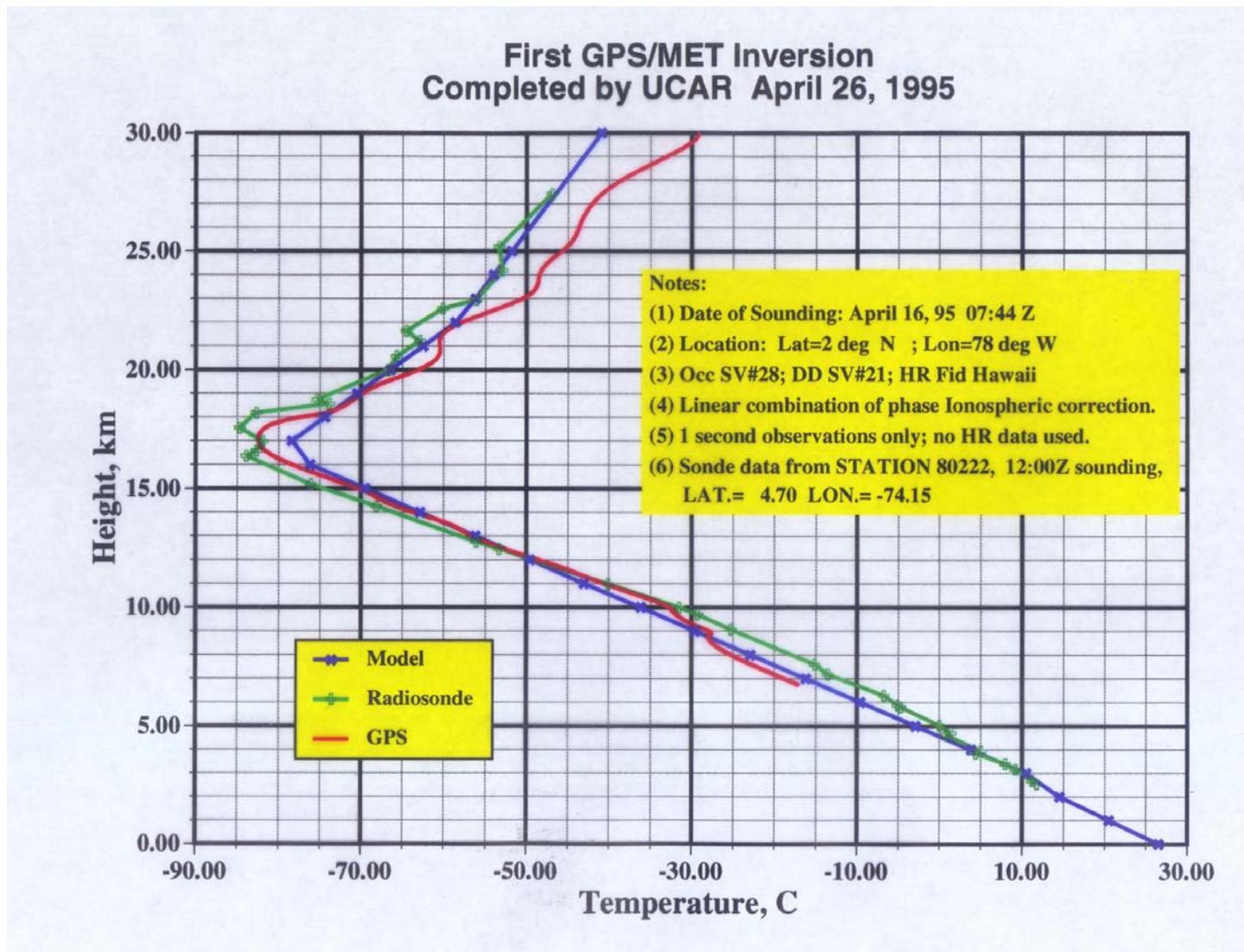
Mike Exner (UCAR), Charley
Dunn (JPL), Tom Meehan
(JPL), ????
Micro-Lab-1



The first
RO profile
from Earth



First GPS/MET retrieval (University of Arizona)

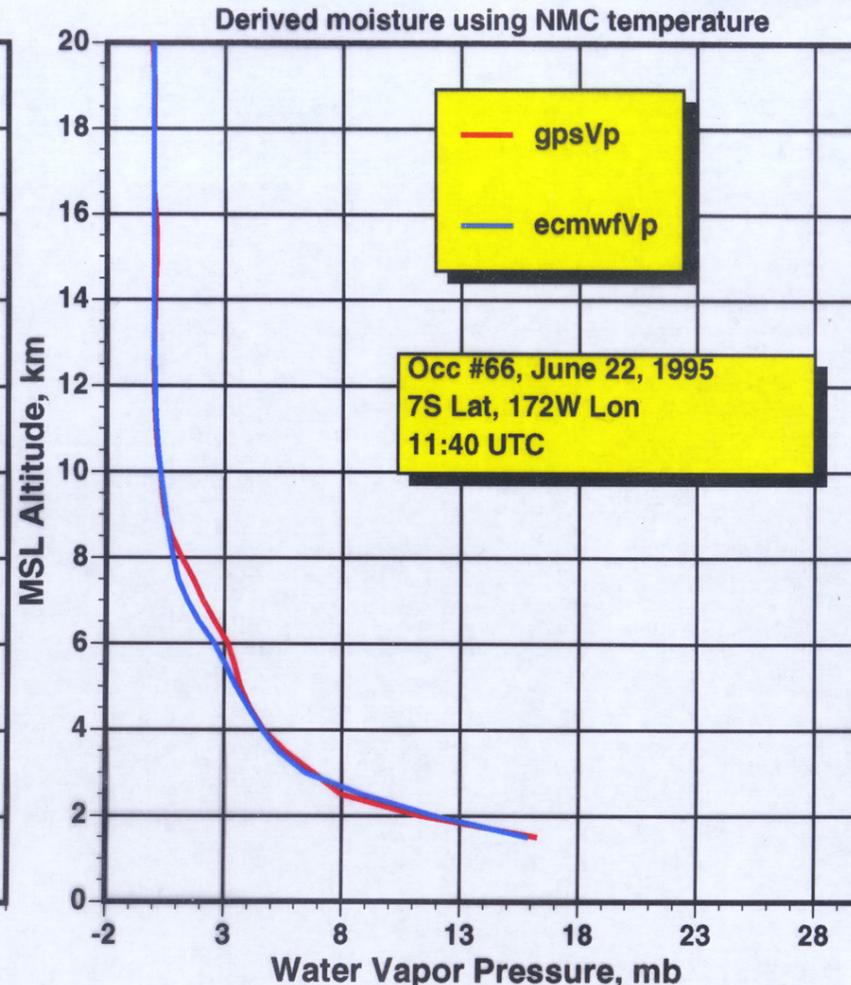
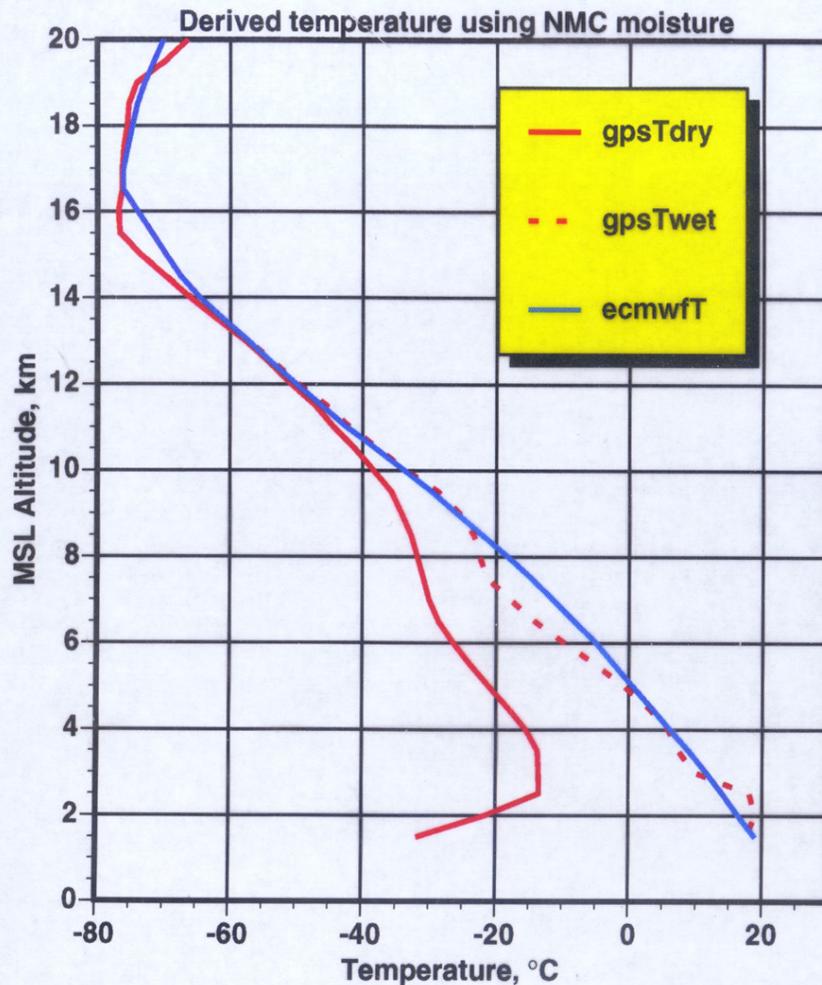


Ben Herman and Da Sheng Feng, U. Arizona

An early water vapor and “wet” temperature retrieval. 22 June 1995



Moisture Retrieval



UCAR GPSMET Program

1995 Fall AGU Meeting

Richard Anthes

12/12/95

ca 1995

Not much has changed-but all
claims verified-and more!



Key GPS/MET Advantages



Feature	Weather Prediction	Climate & Global Change	Space Weather
• Limb Sounding Geometry Complementary to Ground and Space Nadir Viewing Instruments	X	X	X
• High Accuracy	X	X	X
• High Vertical Resolution	X	X	X
• Full Global Coverage	X	X	X
• All Weather - Not affected by Clouds, Rain, Aerosols	X	X	X
• Independent Height & Pressure	X	X	
• Requires no "First Guess" (except for upper boundary)	X	X	
• Independent of "radiosonde calibration"	X	X	
• No Long Term Drift		X	
• No Satellite-to-Satellite Bias		X	

UCAR GPS/MET Program Office

Characteristics of GPS RO Data

- Limb sounding geometry complementary to ground and space nadir viewing instruments
- Global coverage
- Profiles ionosphere, stratosphere and troposphere
- Only observing system from space that can profile the ABL
- High accuracy (equivalent to <1 K; average accuracy <0.1 K)
- High precision (0.02-0.05 K)
- High vertical resolution (0.1 km near surface – 1 km tropopause)
- Only system from space to observe atmospheric boundary layer
- All weather-minimally affected by aerosols, clouds or precipitation
- Independent height and pressure
- Requires no first guess sounding
- No calibration required
- Independent of processing center
- Independent of mission
- No instrument drift
- No satellite-to-satellite bias
- Compact sensor, low power, low cost

All of these characteristics have been demonstrated in peer-reviewed literature. 9/3/10

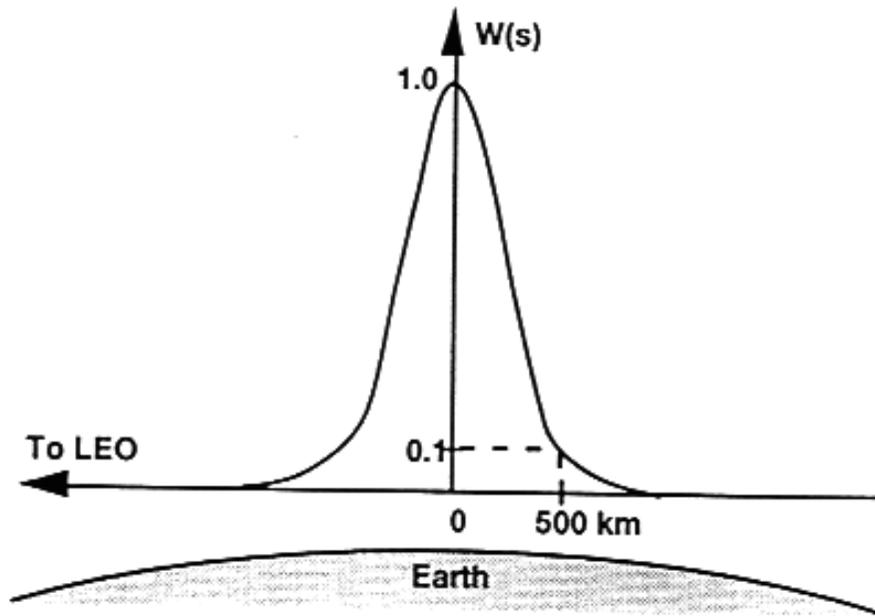
Early (ca 1995) Issues with RO and GPS/MET

- **Representativeness: Horizontal “average” ~300 km
(Claim: no good for weather analyses and predictions)**
- **Penetration to lower troposphere (Claim: no good below
about 5-8 km)**
- **Negative N bias in lower troposphere, tropical regions
(Claim: no good for climate)**

Observed Atmospheric Volume



$L \sim 300 \text{ km}$
 $Z \sim 1 \text{ km}$



Progress since GPS/MET

- Additional RO missions
- Improved receiver, tracking and retrieval algorithms
- Meteorological case studies
- Numerical Weather Prediction
- Climate
- Space Weather
- Hundreds of peer-reviewed papers on RO
- Most of early criticisms addressed

Progress in inversion methods (1)

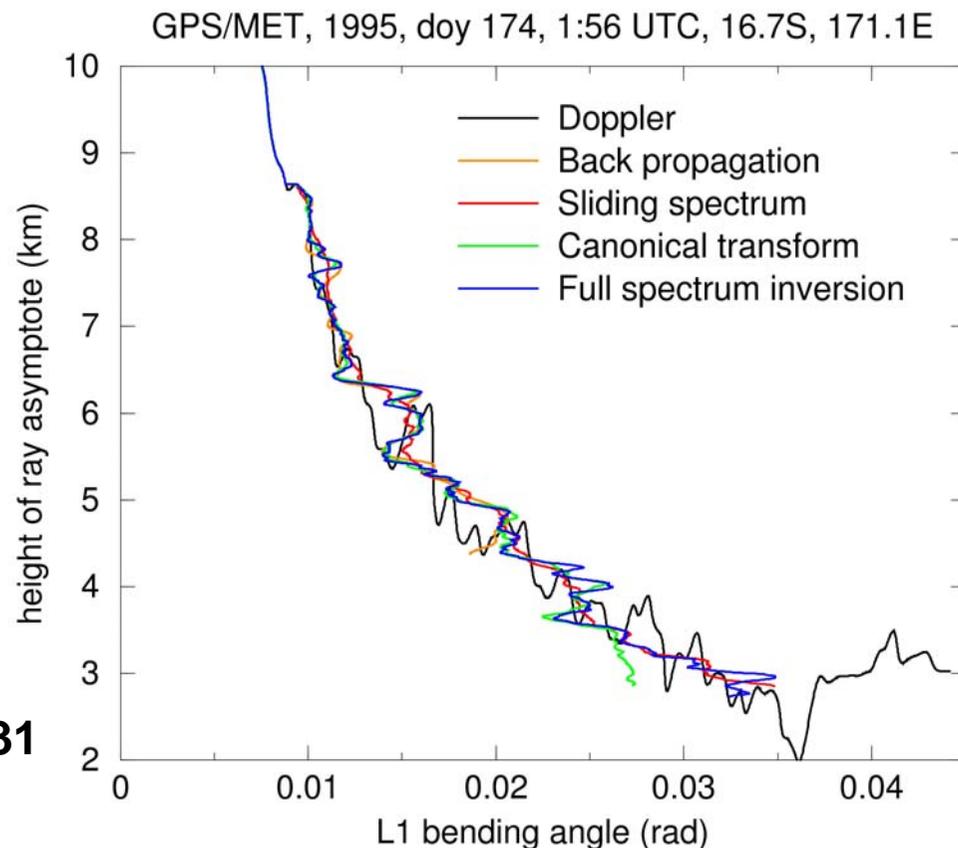
All RO inversion methods use the assumption of local spherical symmetry.

Geometric optics (GO-uses phase data only); needs single path propagation; provides accurate solutions in the UT and above; does not work in the LT.

Radio-holographic (wave optics-WO) methods (use phase & amplitude); solve for the multipath propagation in LT:

- back propagation
- sliding spectral methods
- canonical transform (CT)
- full spectrum inversion (FSI)
- phase matching (PM)
- CT2 (canonical transform 2, equivalent to FSI)

Kuo et al., 2004, JMSJ, 82(B1), 507-531



Progress in inversion methods (2)

back propagation (BP) - propagates complex wave field measured on the observation trajectory back to limb (in the single-path region), then calculates bending from phase.

sliding spectral (SS) methods - perform spectral analysis of the measured wave field in a sliding aperture to identify multiple rays and estimate their bending angles.

Most advanced WO methods are based on integral transform of the whole measured RO signal from coordinate to impact parameter representation: canonical transform (CT); full spectrum inversion (FSI); phase matching (PM); canonical transform 2 (CT2)

CT - uses BP to straight line;

FSI, CT2 - use representations of approximate impact parameter;

CT, FSI, CT2 - are computationally efficient (reduce to FFT, do not use BP);

PM - can be used for verification of other methods

The difference between inversion results obtained with CT, FSI, PM, CT2 is much smaller than other errors of RO in LT

RO Results with Open Loop (OL) Tracking

OL tracking uses frequency model without feedback (allows tracking of rising occultations).

Better penetration of OL (**50% below ~1 km**) compared to Phase Lock Loop (PLL) tracking (50% below ~4 km - global, below ~6 km - tropics).

Smaller N-bias in tropics of OL compared to PLL. The residual OL N-bias may be caused by lower-tropospheric propagation effects (e.g., super-refraction).

Larger standard deviation of retrieved N and ECMWF N of the OL than PL in lower troposphere---may be related to both RO (1) and analysis (2) errors.

(1) OL, generally, results in lower SNR (larger errors) than correctly operating PLL.

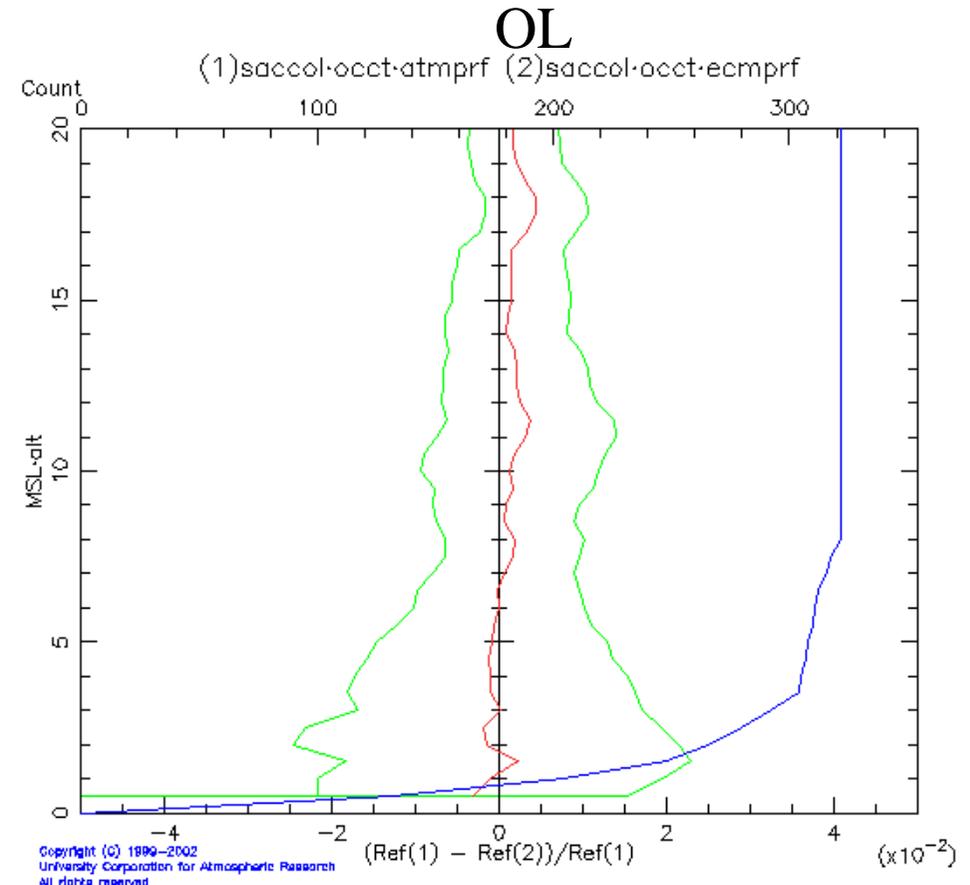
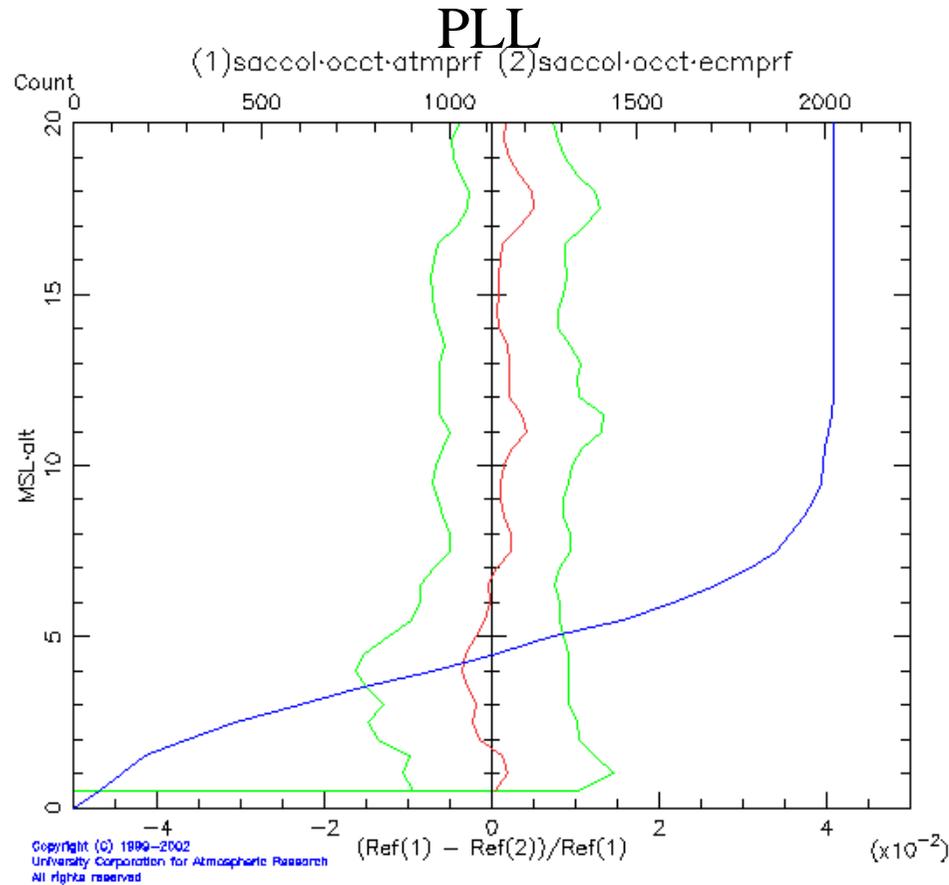
(2) It can be that PLL is not penetrating in those cases when analysis has larger errors.

S. Sokolovskiy, UCAR, Aug. 2005

Improvement of Open Loop (OL) over Phase-Lock-Loop (PLL)

Comparison of the SAC-C N to ECMWF analysis

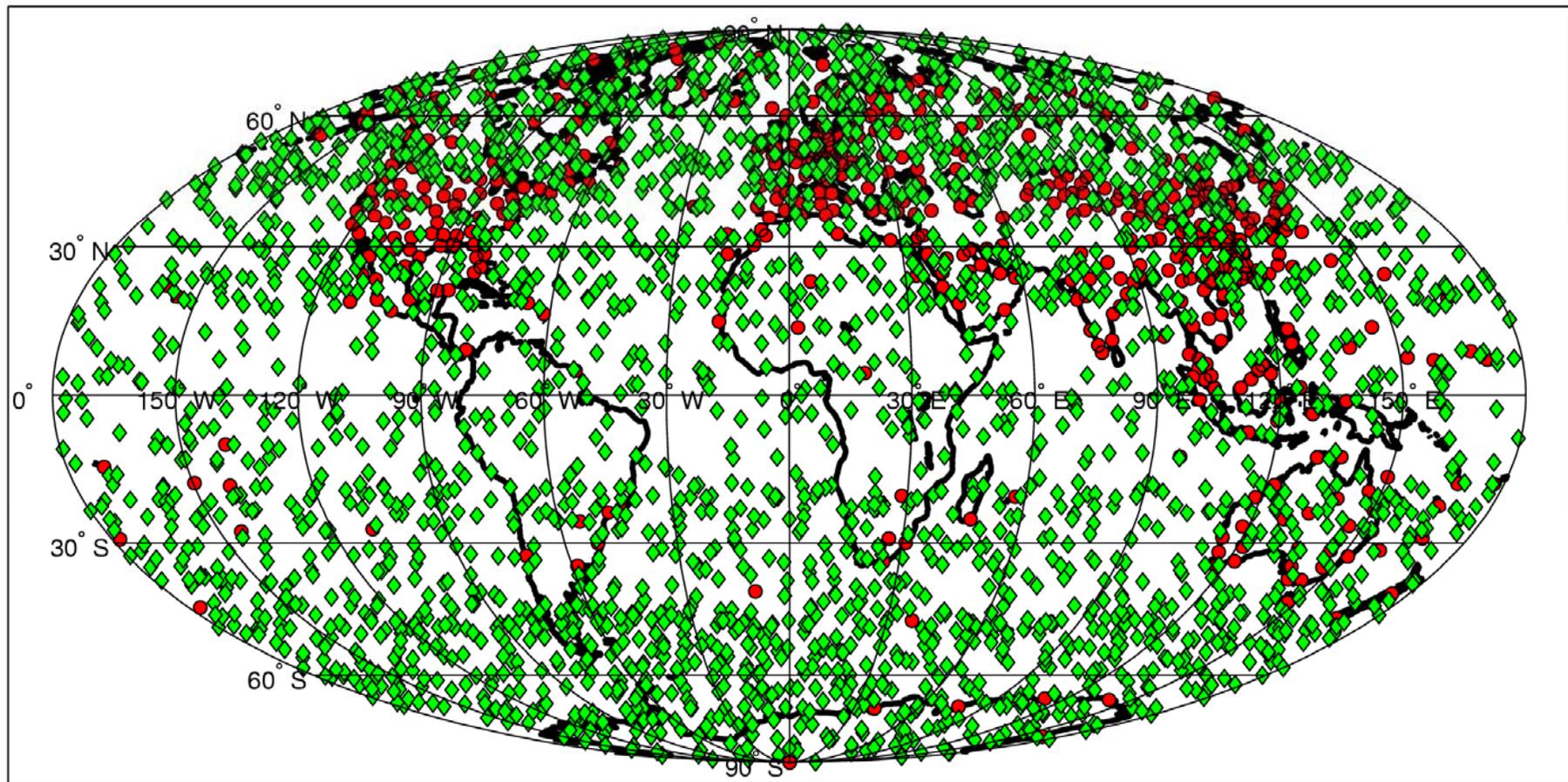
global



- better penetration;
- larger standard deviation

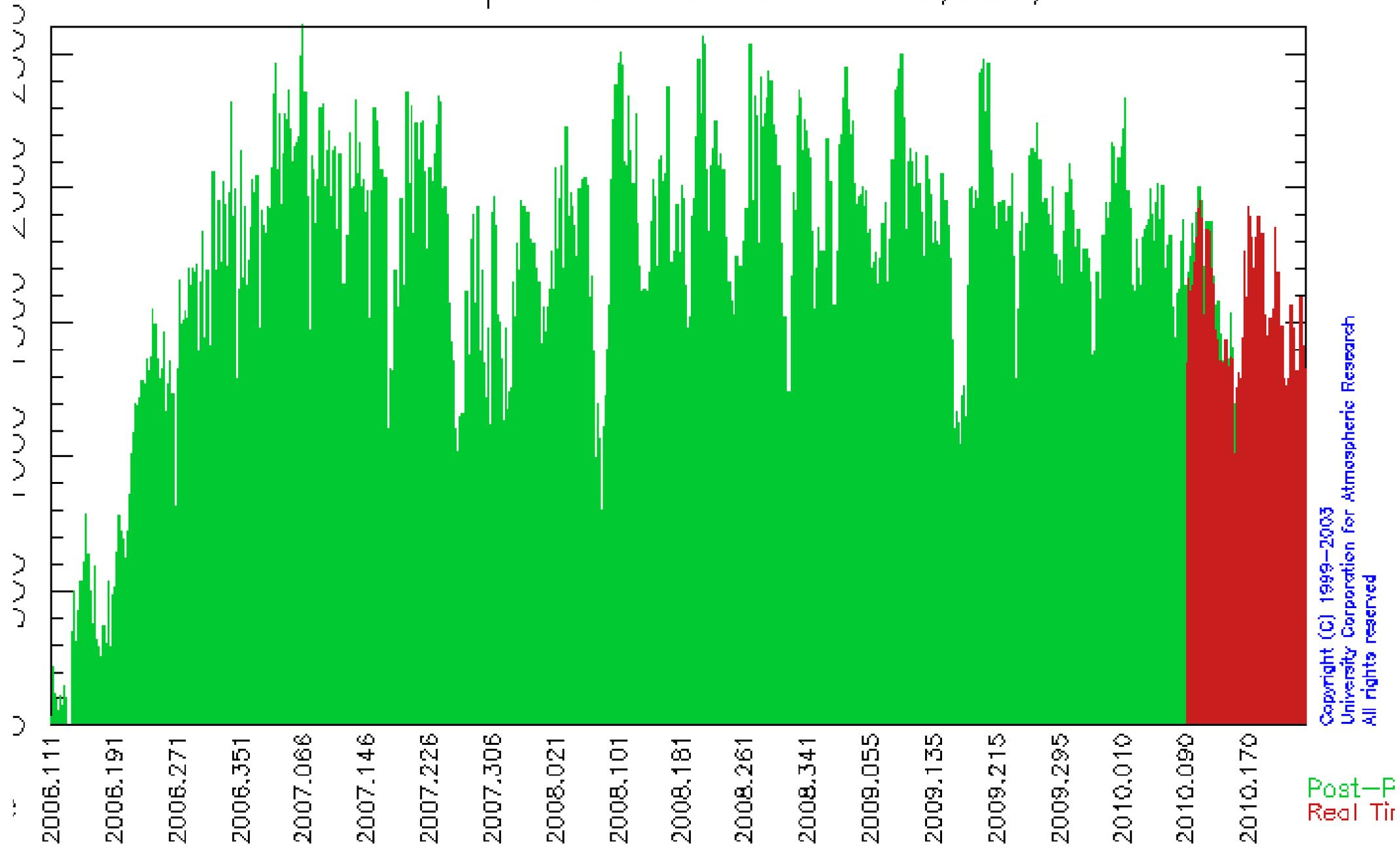
Other improvements (COSMIC vs. GPS/MET)

Occultation Locations for COSMIC, 6 S/C, 6 Planes, 24 Hrs



This may well be the most viewed slide from COSMIC. It was first shown in the late 1990s to Bob Corell (NSF-GEO AD) and others.

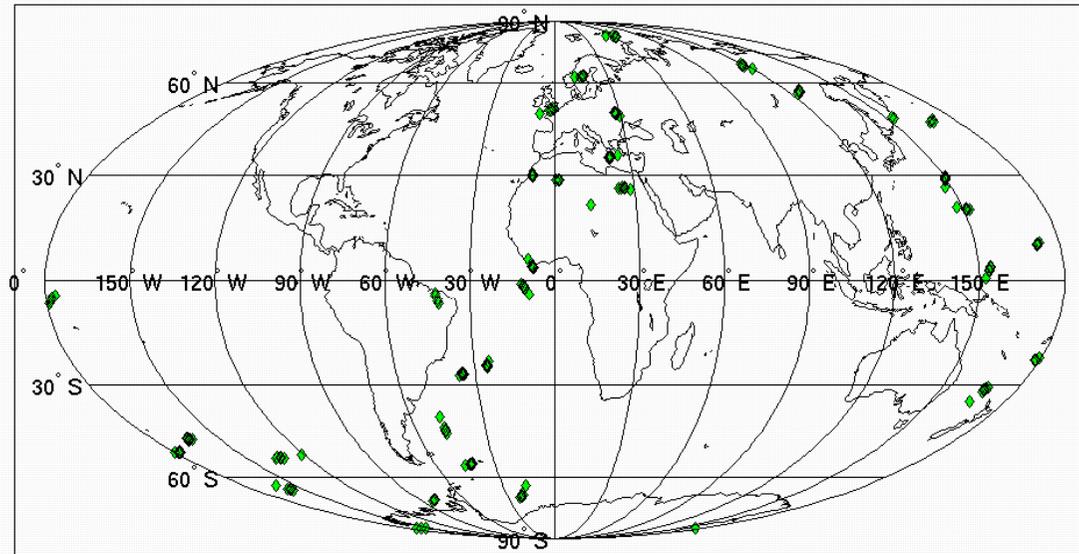
Number of good occultations per day through August 29, 2010
Processed data for cosmic: 2006.111–2010.241
Total atmospheric occultations: 2,524,617



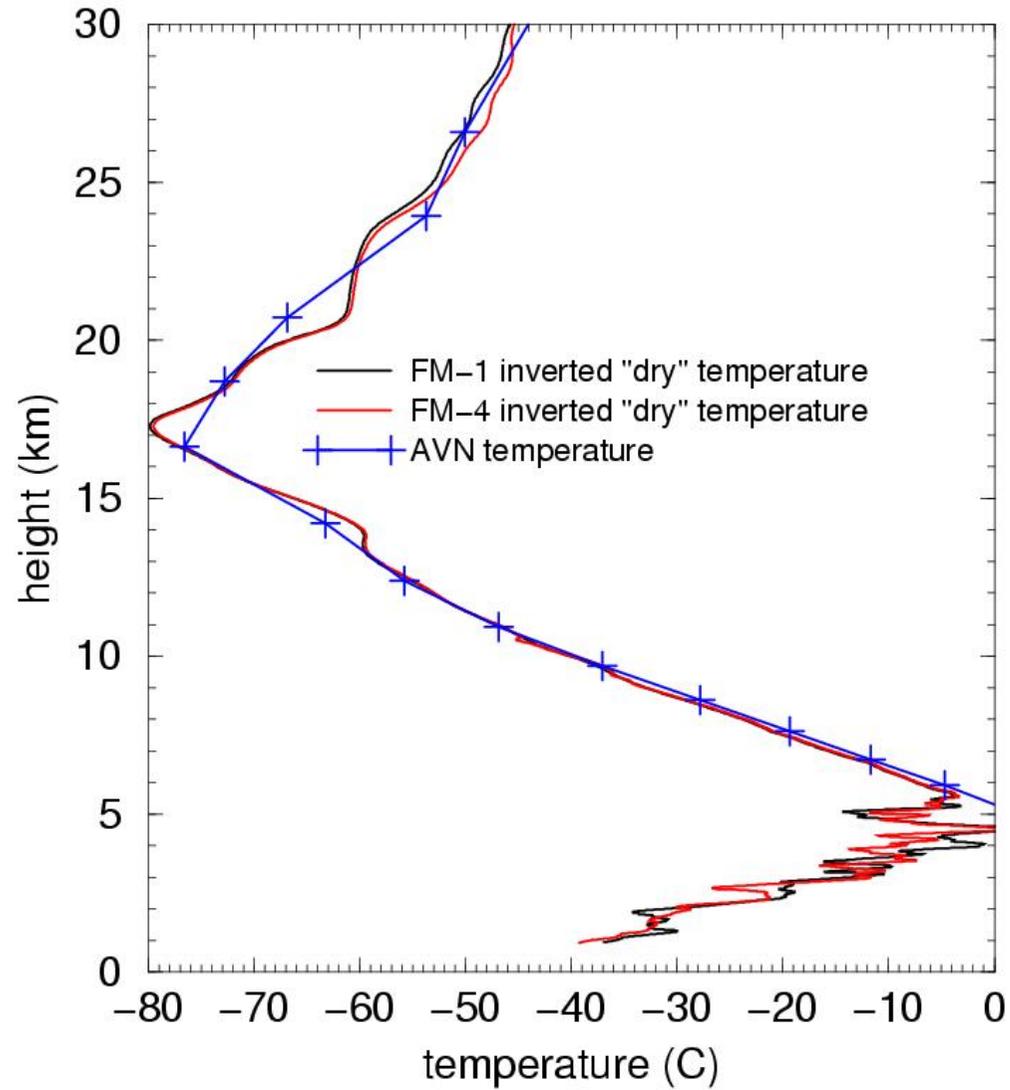
RO Precision

In weeks after launch, COSMIC satellites were very close together

Earth-Fixed RO Locations for COSMIC, 6 S/C, 6 Planes, Orbit = 01, Launch+1.5months

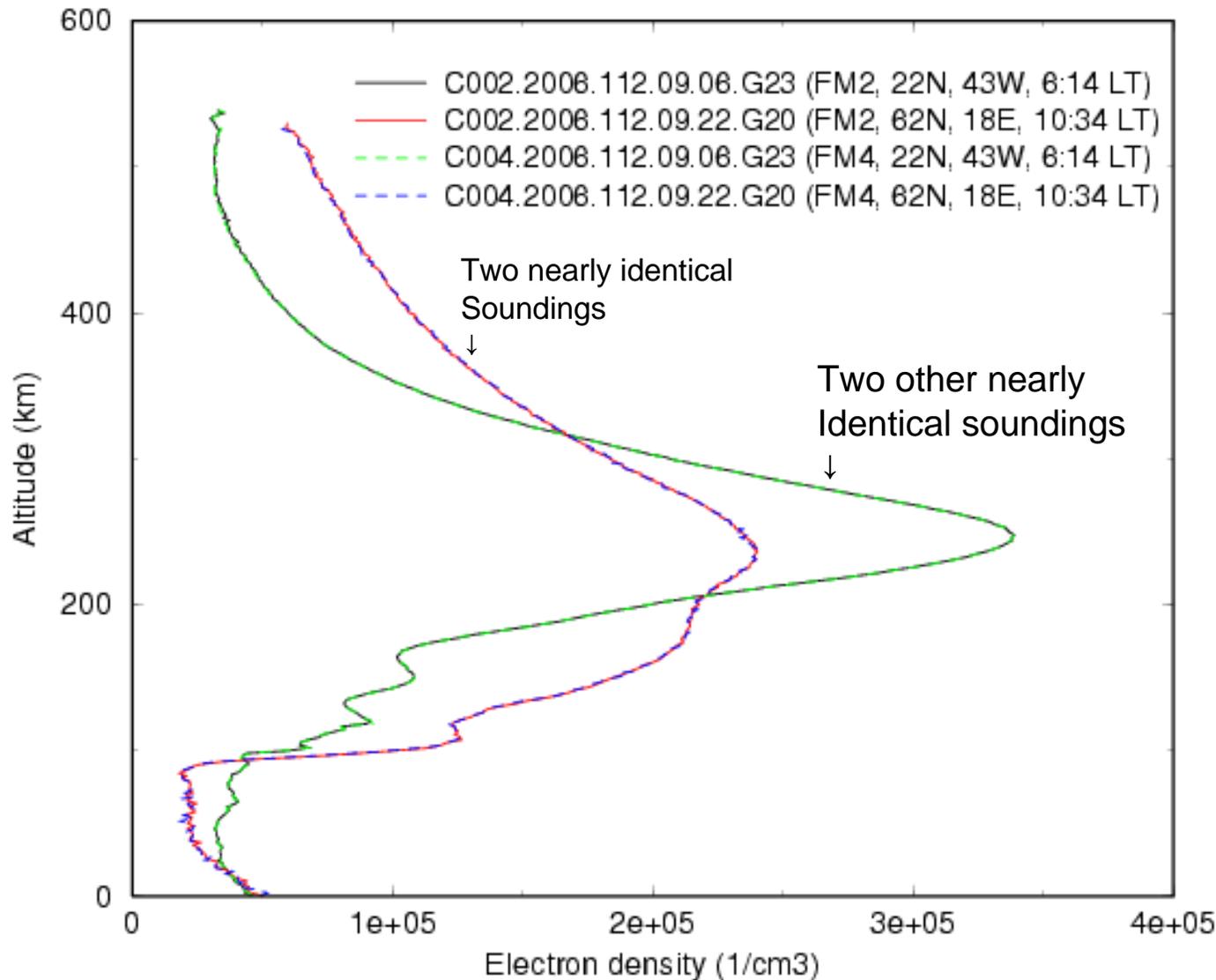


Early atmospheric profile results - coincident profiles from separate but very close in space/time satellites and instruments.



Some Amazing First Ionosphere Profile Comparisons...

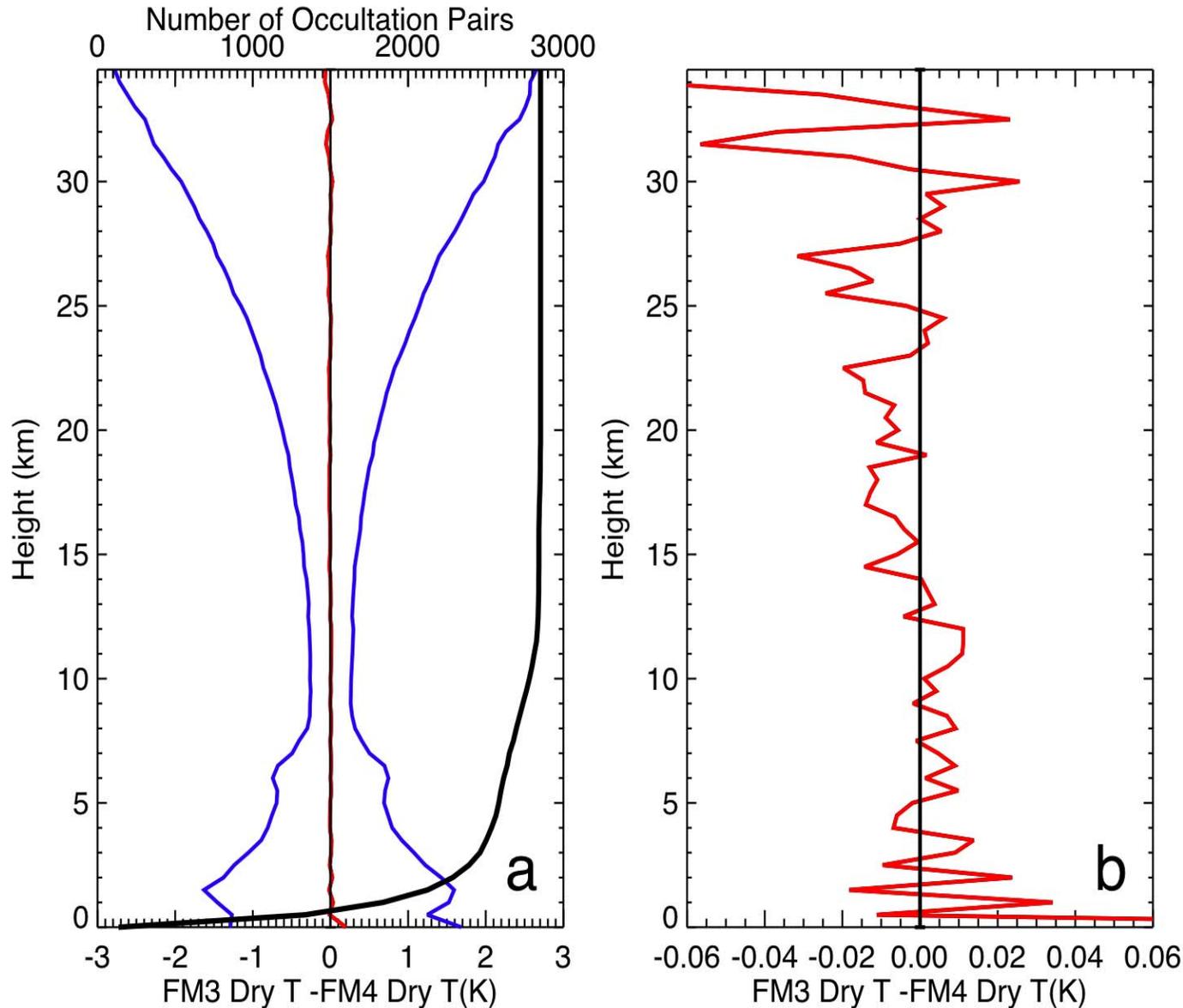
Coincident occultations from two different COSMIC satellites



- FM2 and FM4 within 30 km of each other
- FM2 about 4 seconds behind FM4
- At any given time FM2 is within 1 km of where FM4 was 4 seconds earlier
- FM2 and FM4 orbit altitudes differ by a few hundred meters

Note: There are four soundings plotted here, two pairs of nearly identical Soundings!

Precision of RO Data



With 0.02-0.05 K precision at all vertical levels, COSMIC data are useful to inter-calibrate measurements from other satellites

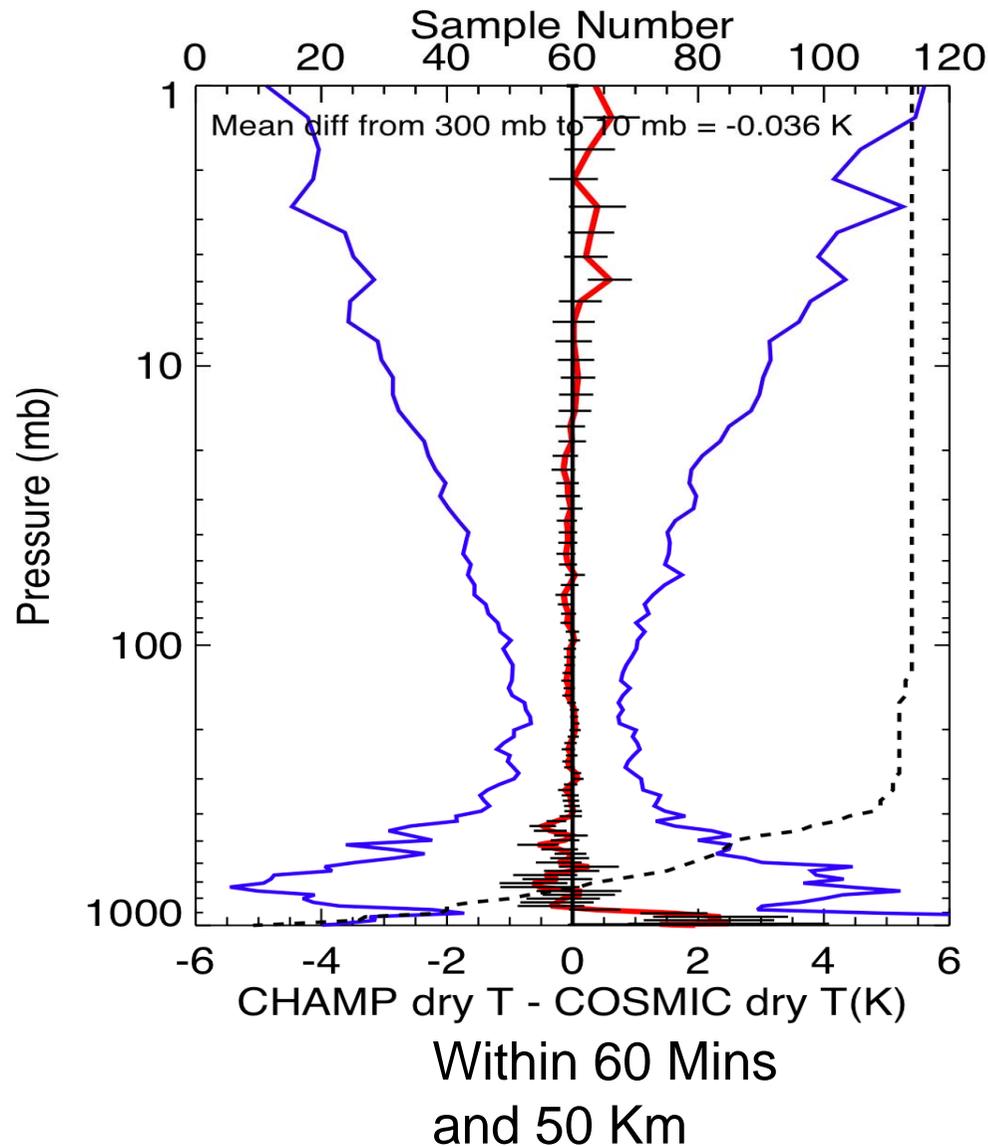
Dry temperature difference between 2 nearby COSMIC Satellites (FM3, FM4) within 10 km of each other. No significant bias.



Long-term stability



Global COSMIC-CHAMP Comparison from 200607-200707

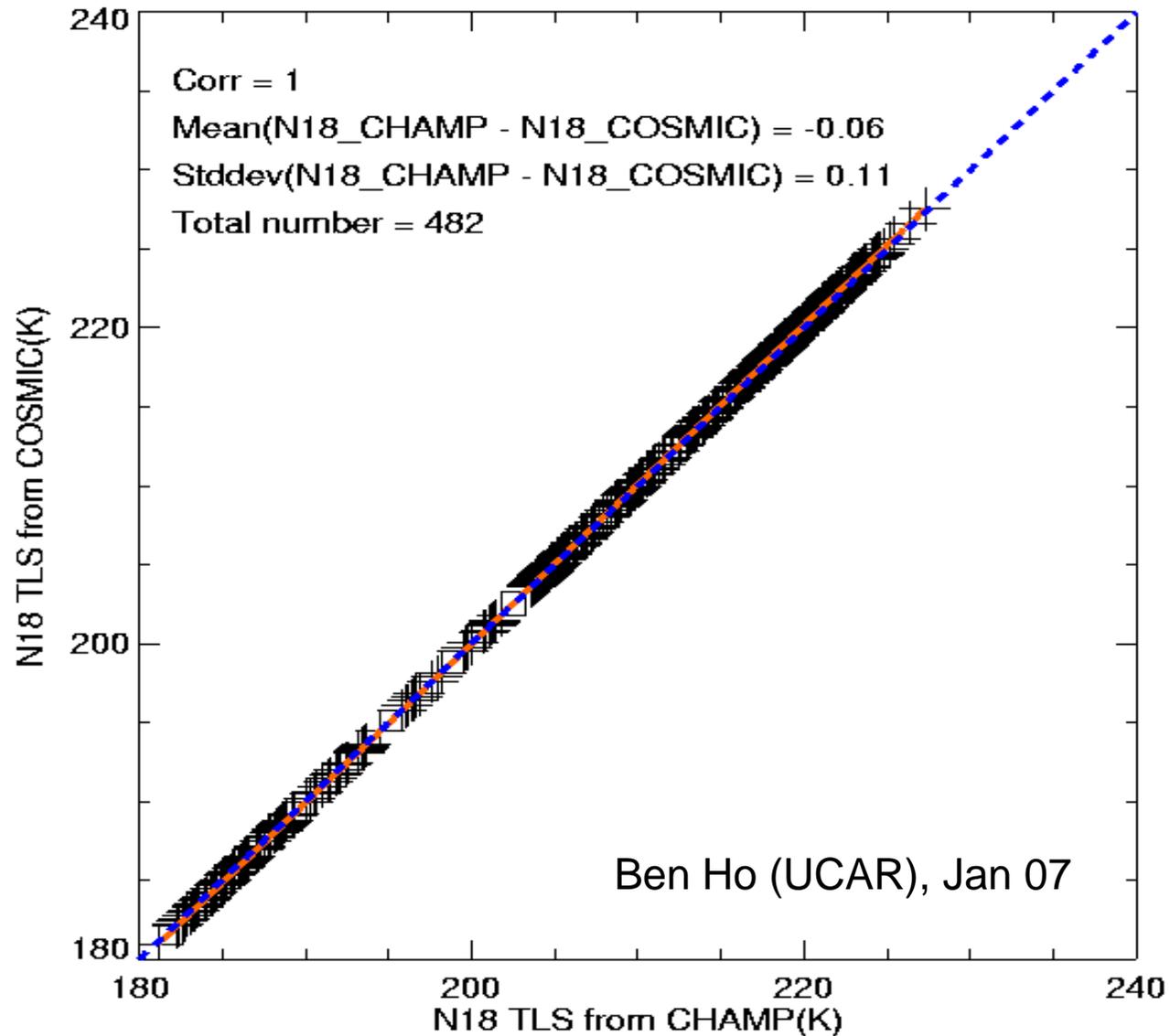


- Comparison of measurements between old and new instrument
- CHAMP launched in 2001
- COSMIC launched 2006

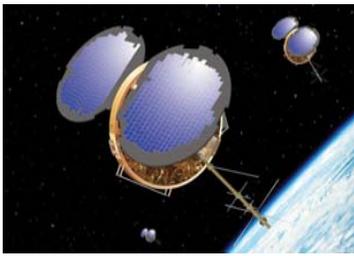
Don't need to have stable calibration reference

Mean bias < 0.05 K

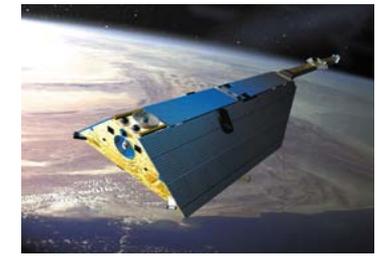
Temperature Lower Stratosphere (TLS) Comparison with GPS Sensors Launched 6 yrs Apart



N18 TLS est. from COSMIC v. N18 AMSU TLS est. from CHAMP

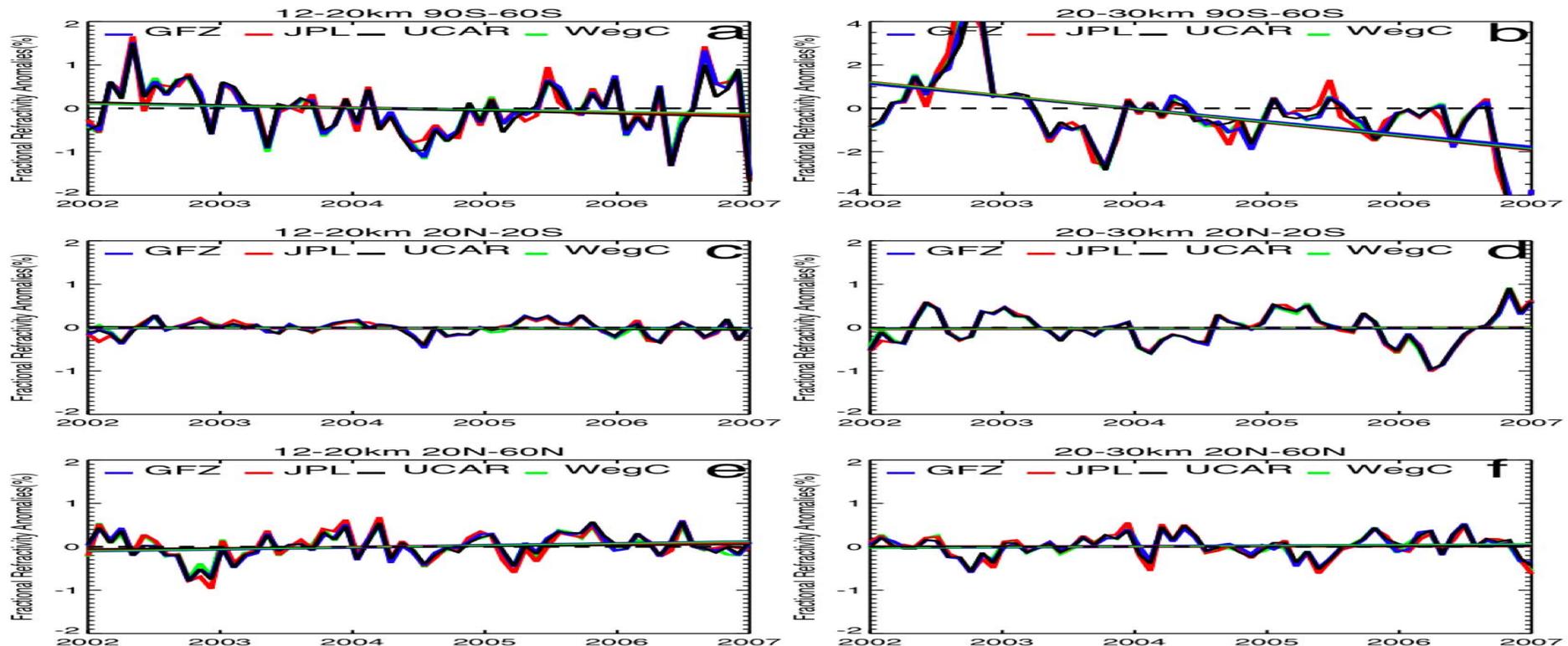


Reproducibility of RO data by different centers



Comparison of RO data processed by GFZ, JPL, UCAR, and WegC

Fractional Refractivity Anomalies



(Ho et al. JGR, 2009)

Independent of processing procedures :
the trend from GPS RO data processed by
different centers $< 0.03\%/5\text{yrs}$

Use of Radio Occultation Data

- **Climate**

- Monitor climate change and variability with unprecedented accuracy-**world's most accurate, precise, and stable thermometer from space!**
- Evaluate global climate models and analyses
- Calibrate infrared and microwave sensors and retrieval algorithms

- **Weather**

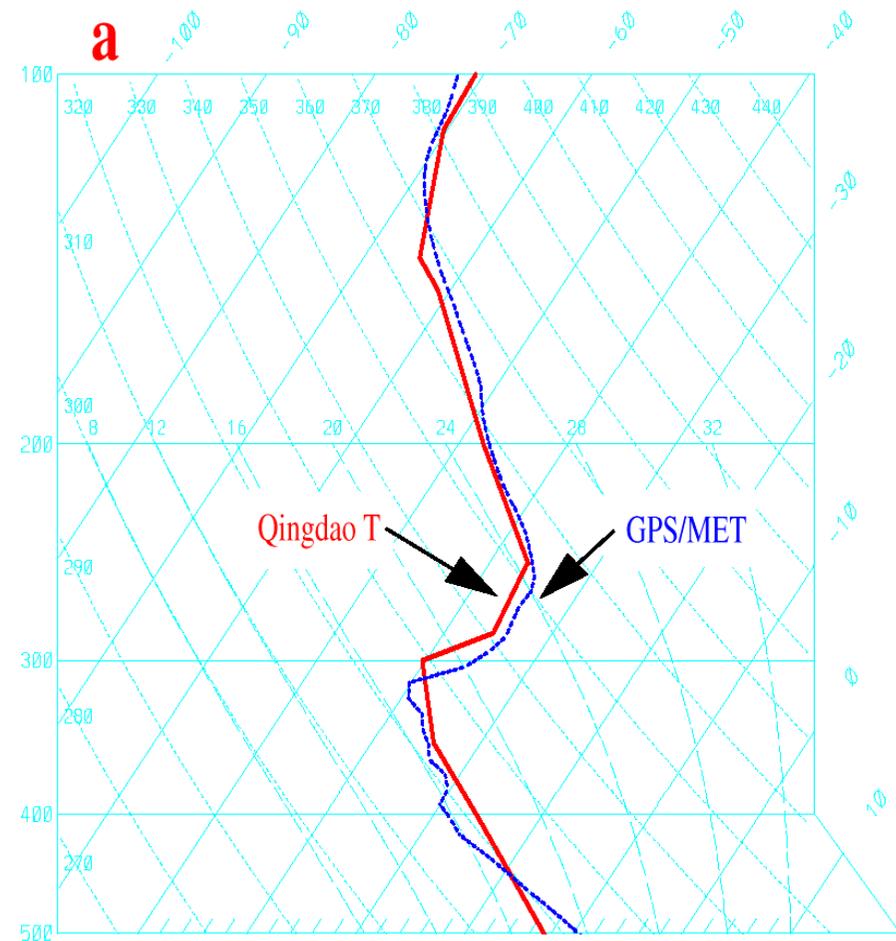
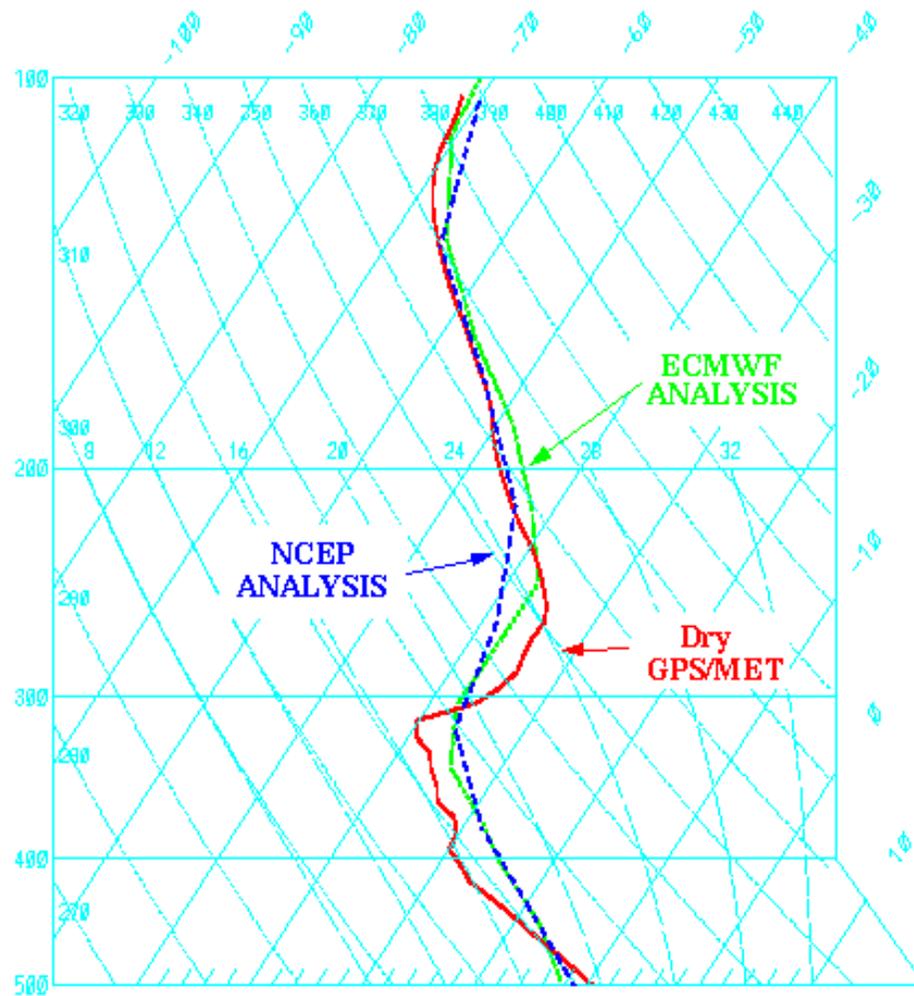
- Improve global weather analyses, particularly over data void regions such as the oceans and polar regions
- Improve skill of global and regional weather prediction models
- Improve understanding of tropical, mid-latitude and polar weather systems and their interactions

- **Ionosphere and Space Weather**

- Observe global electronic density distribution
- Monitor ionospheric scintillation
- Improve the analysis and prediction of space weather.

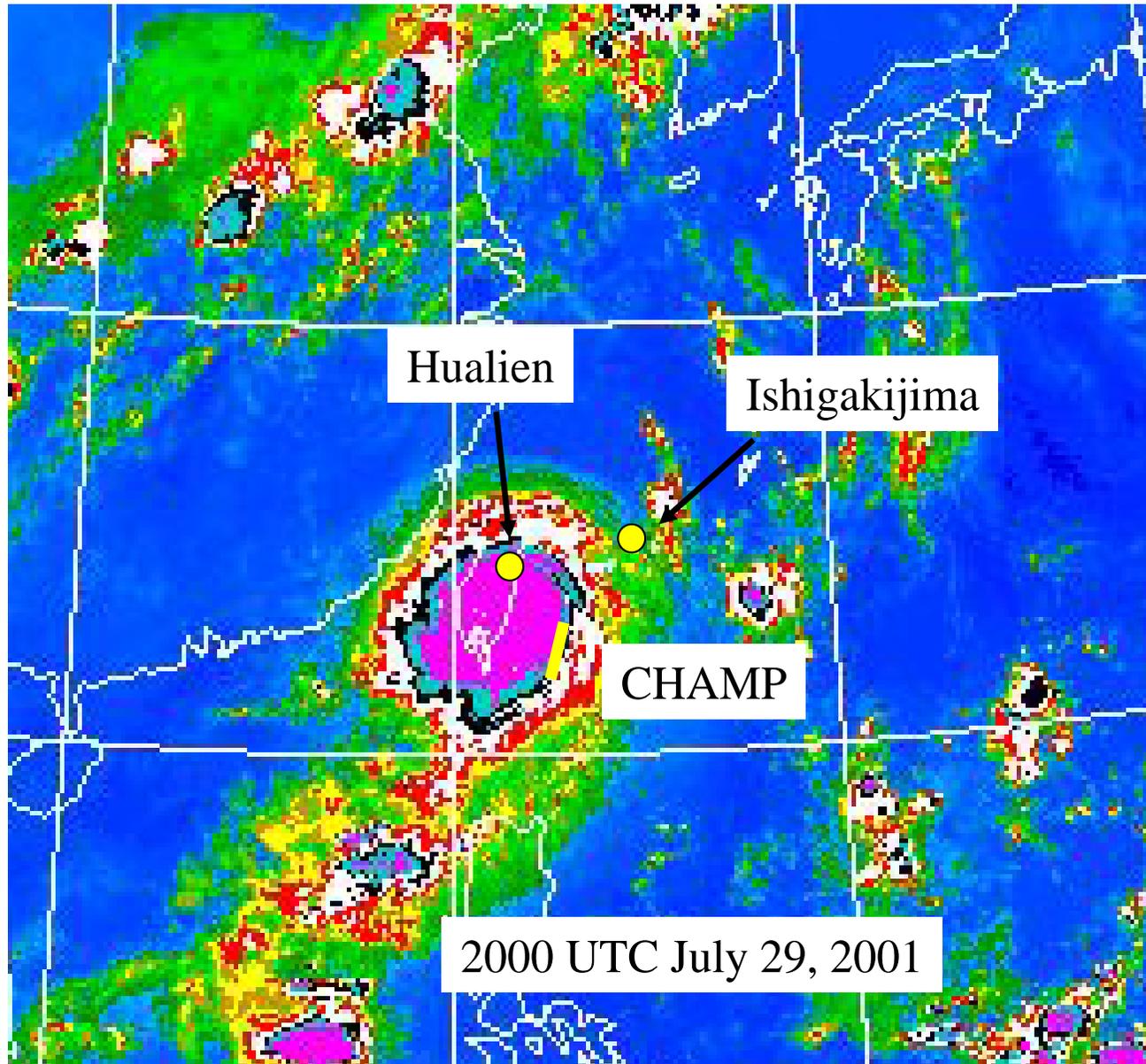
Weather Phenomena

A GPS/MET sounding through an intense upper-level front

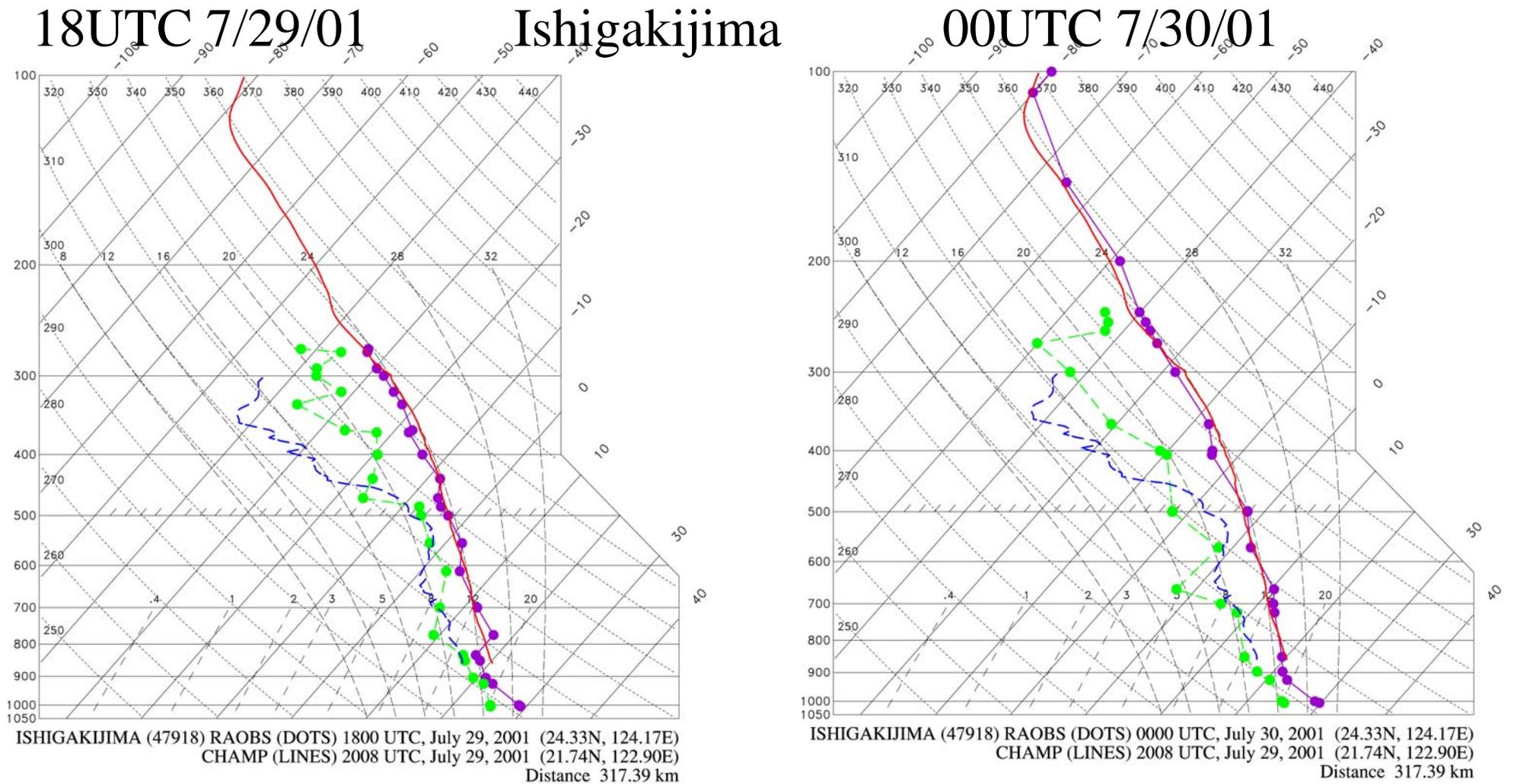


0055 UTC October 22, 1995 over China

CHAMP sounding in Typhoon



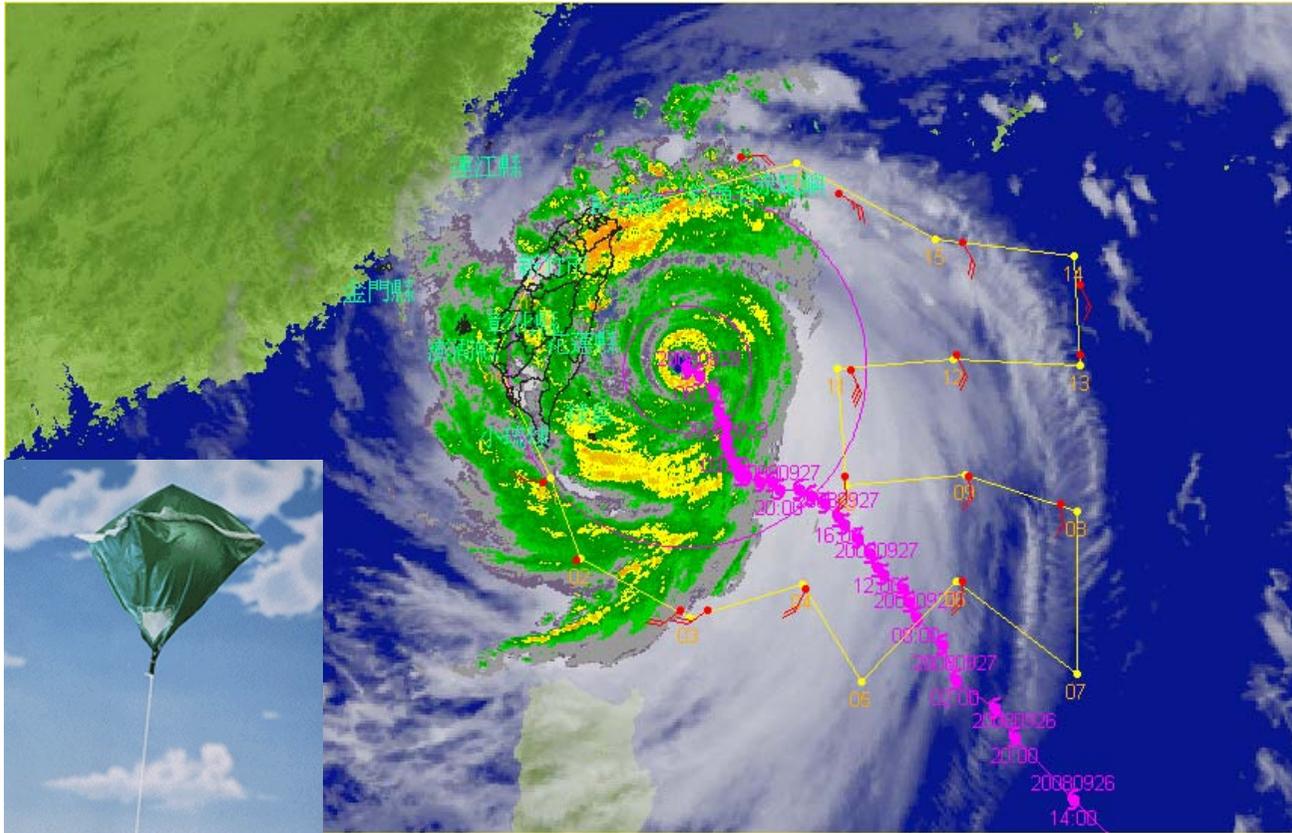
CHAMP compared to two radiosondes in Typhoon



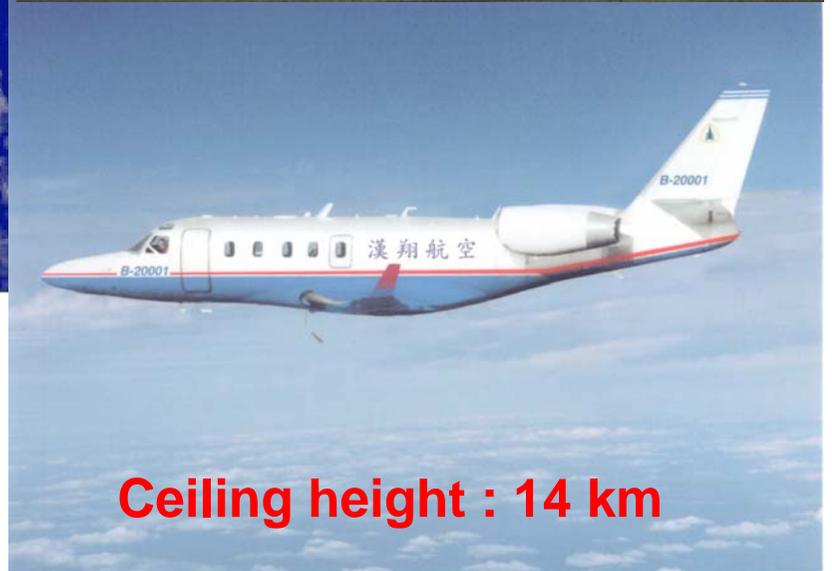
CHAMP (red/blue lines): 2008 UTC 29 July 2001

Comparison COSMIC and in-situ sounding data in Typhoon Jangmi

Dropsonde: DOTSTAR 2007-2008 (May-Oct., typhoon season)



09/28,2008 Typhoon Jangmi



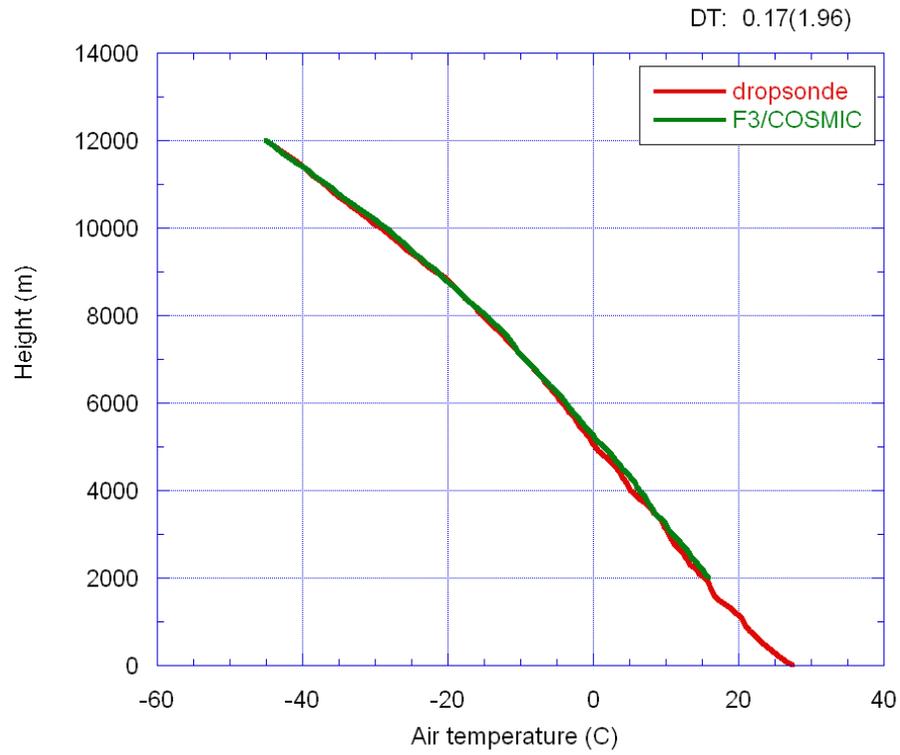
Ceiling height : 14 km

ck Anthes

Po-Hsiung Lin
National Taiwan University

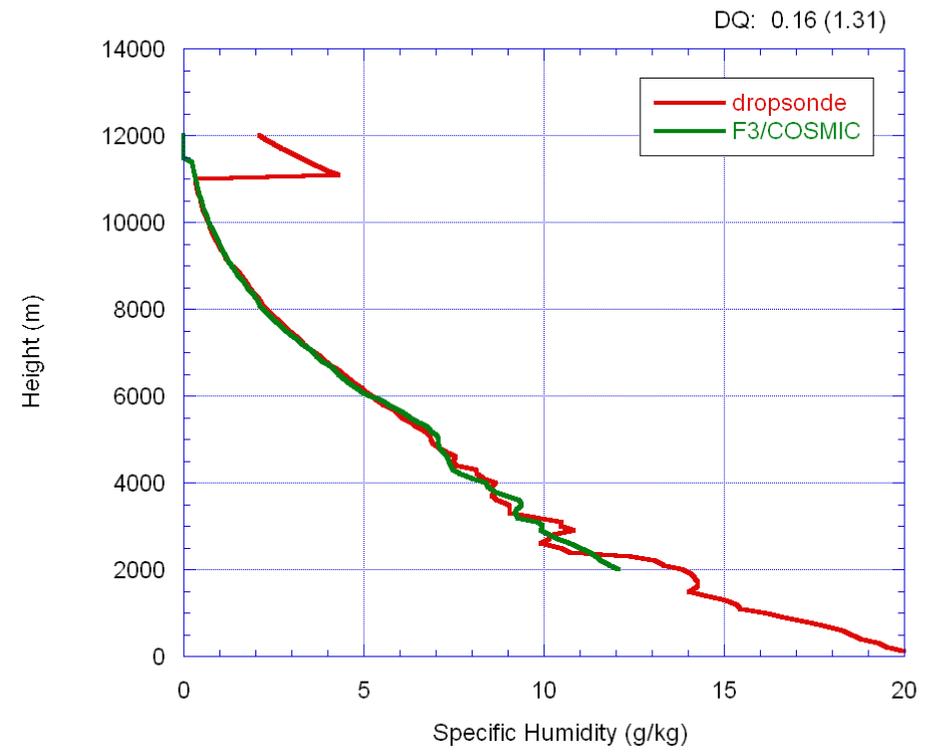
Dropsonde minus F3/COSMIC

2007/10/04 09UTC



DT: 0.17 ± 1.96 (°C)

2007/10/04 09UTC



DQ: 0.16 ± 1.31 (g/kg)

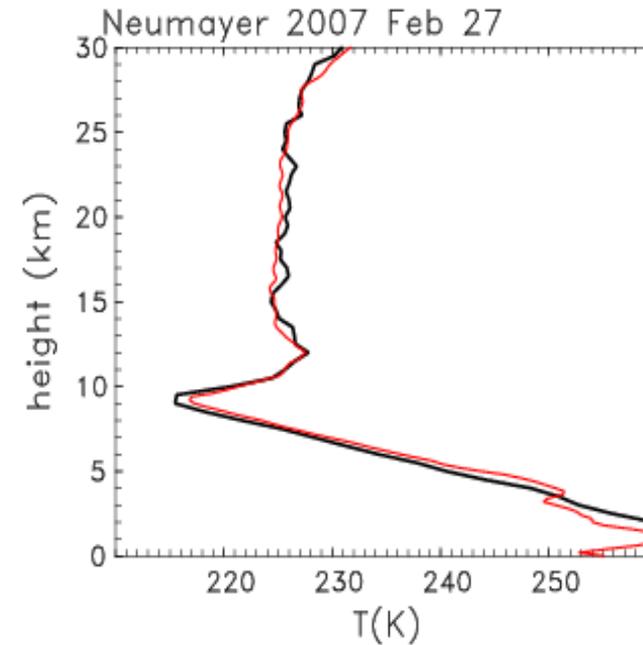
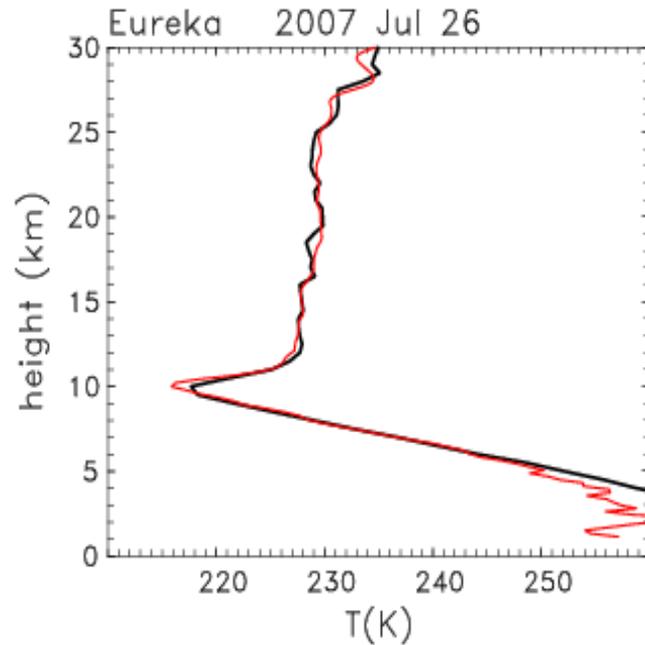
The polar summer Tropopause Inversion Layer

Bill Randel
Atmospheric Chemistry Division, NCAR



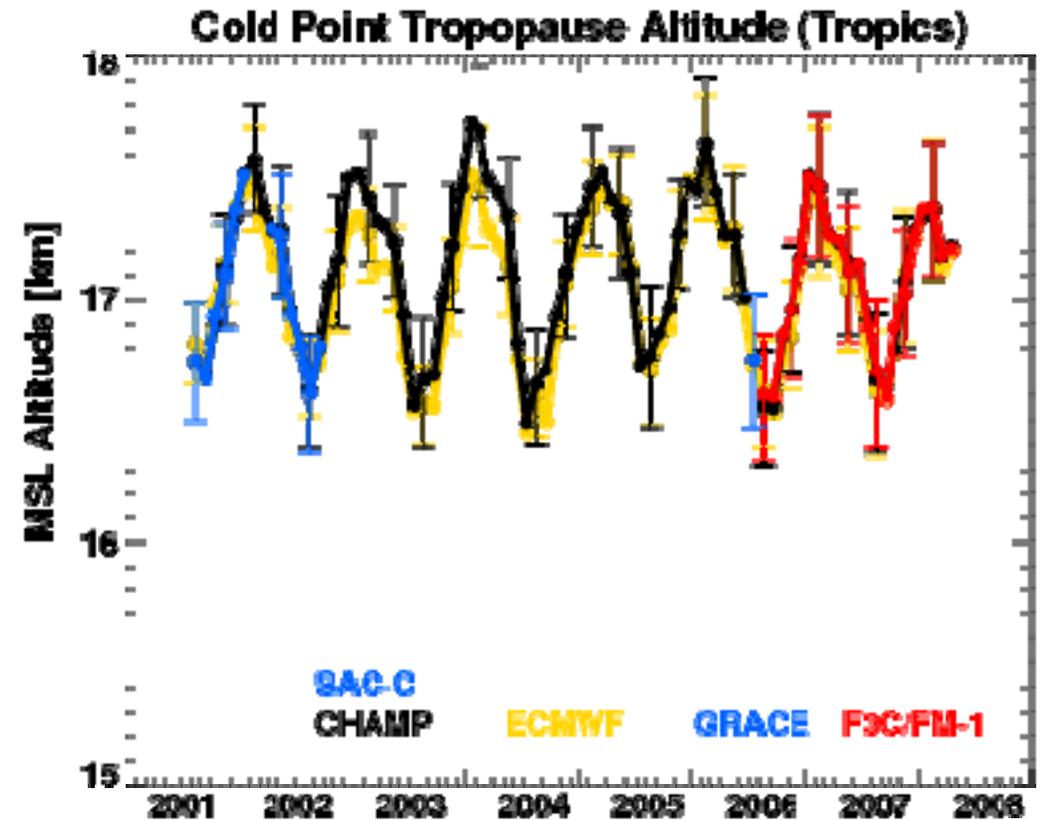
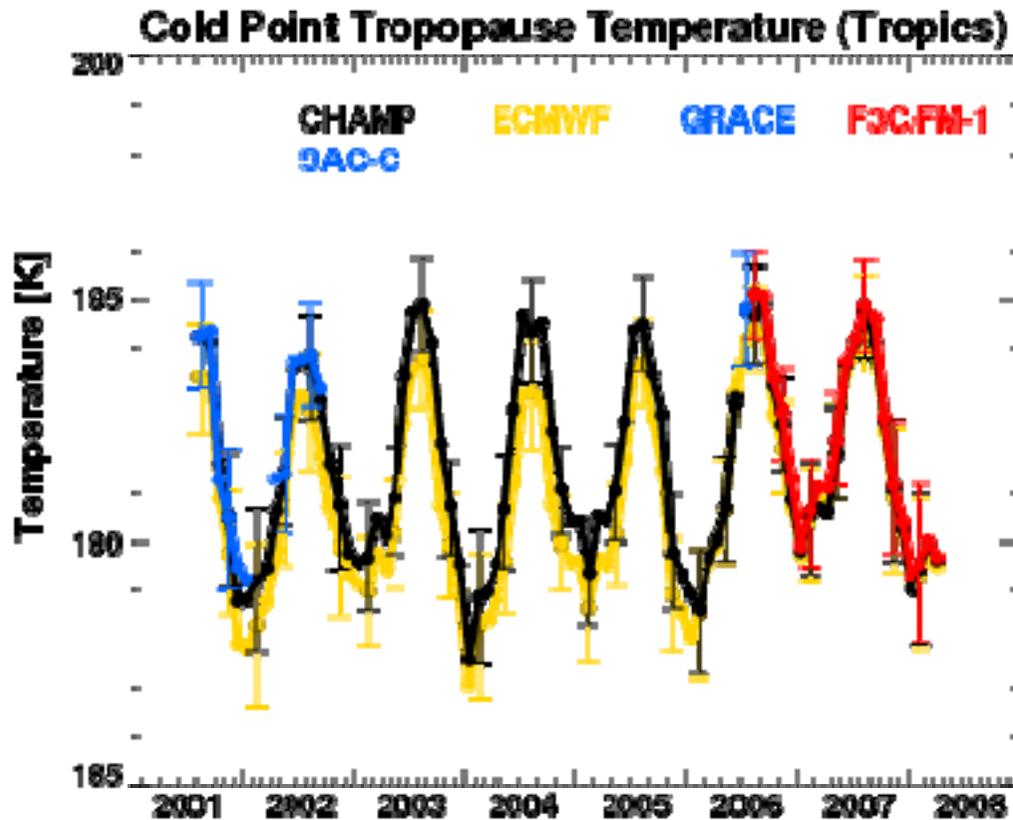
The polar summer tropopause inversion layer

Radiosonde at Eureka (80° N)



- Important for understanding dynamical (and chemical) coupling between troposphere and stratosphere
- COSMIC allows ~100 times more observations than radiosondes, to study space-time variability of inversion layer

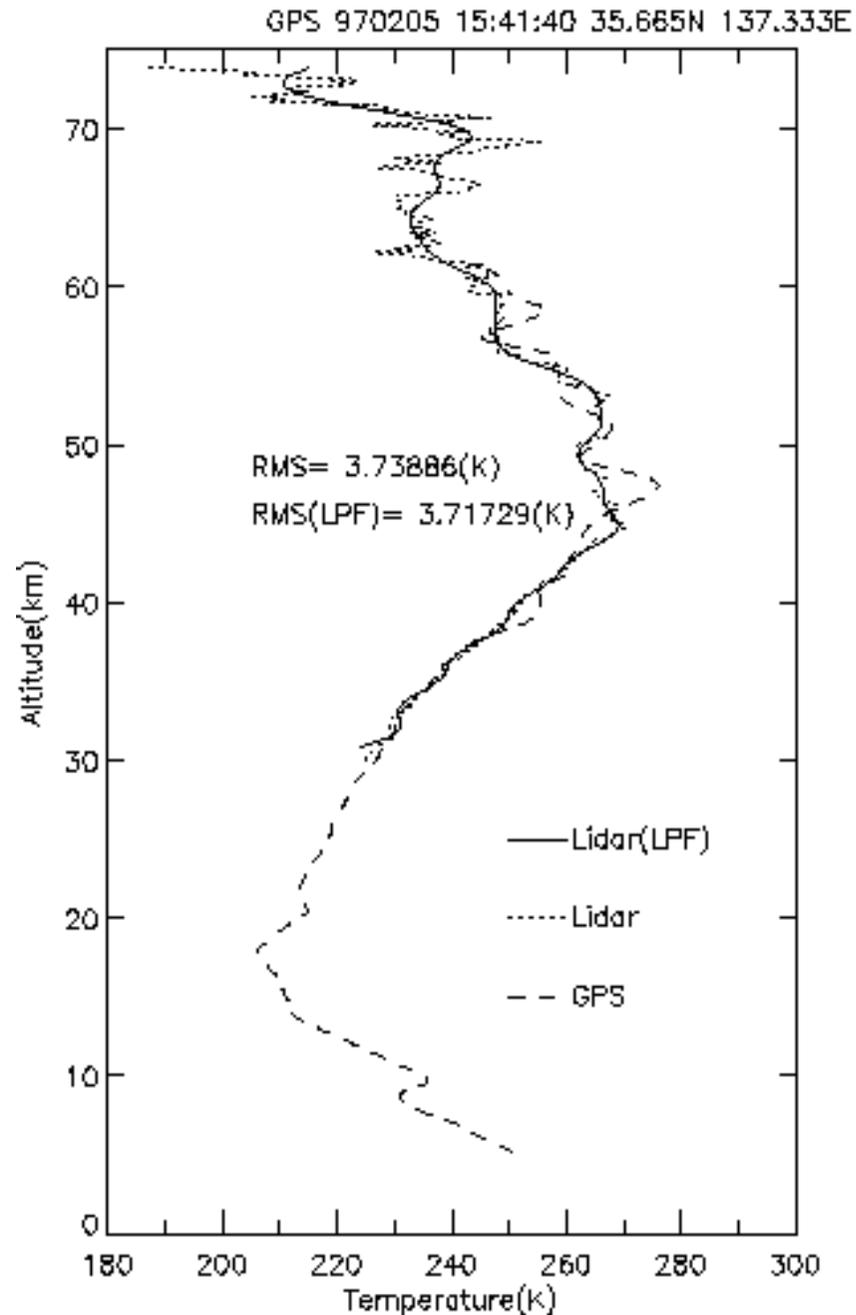
Temporal Evolution: CPTP



Borsche, Foelsche, Pircher and Kirchengrast, 4th Asian Space Conf Taipei 2008

Gravity Wave Studies

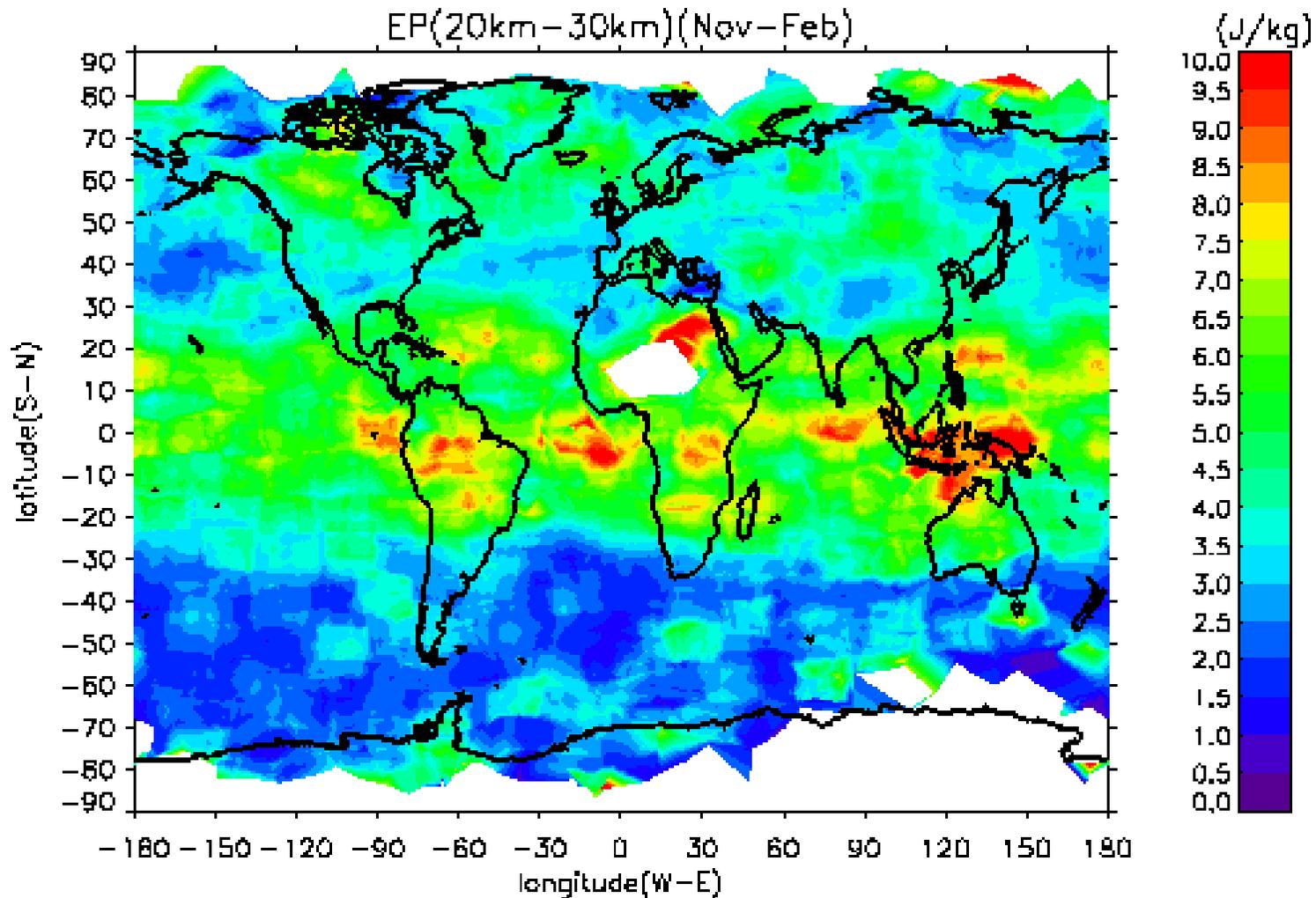
Gravity waves and stratospheric dynamics



Tsuda et al., personal
communication, 1999.
TAO (2000) p. 132

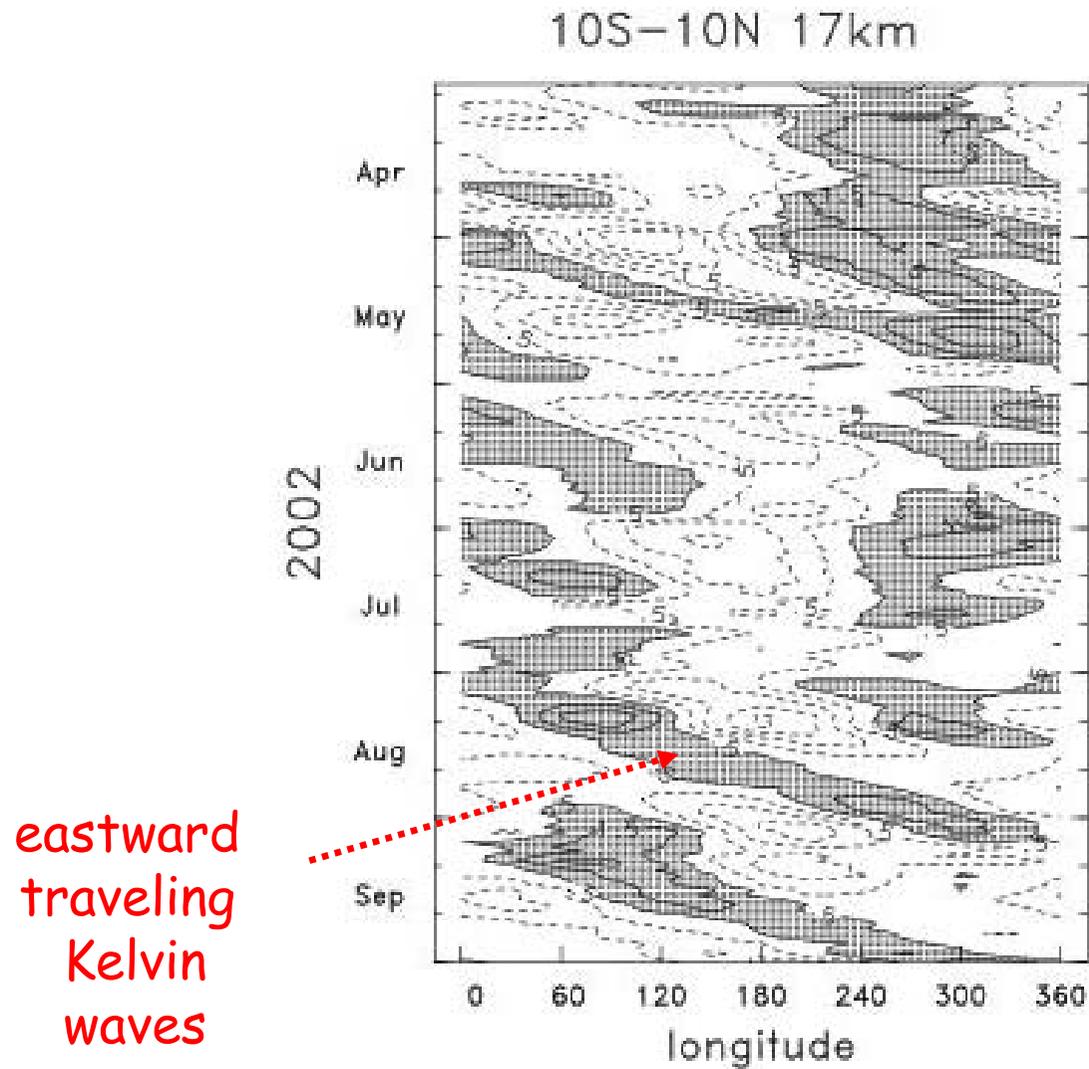
Gravity wave climatology

Potential energy due to gravity waves computed from 1996/97 GPS/MET T data



Tsuda et al., 2000

Kelvin waves near the tropopause



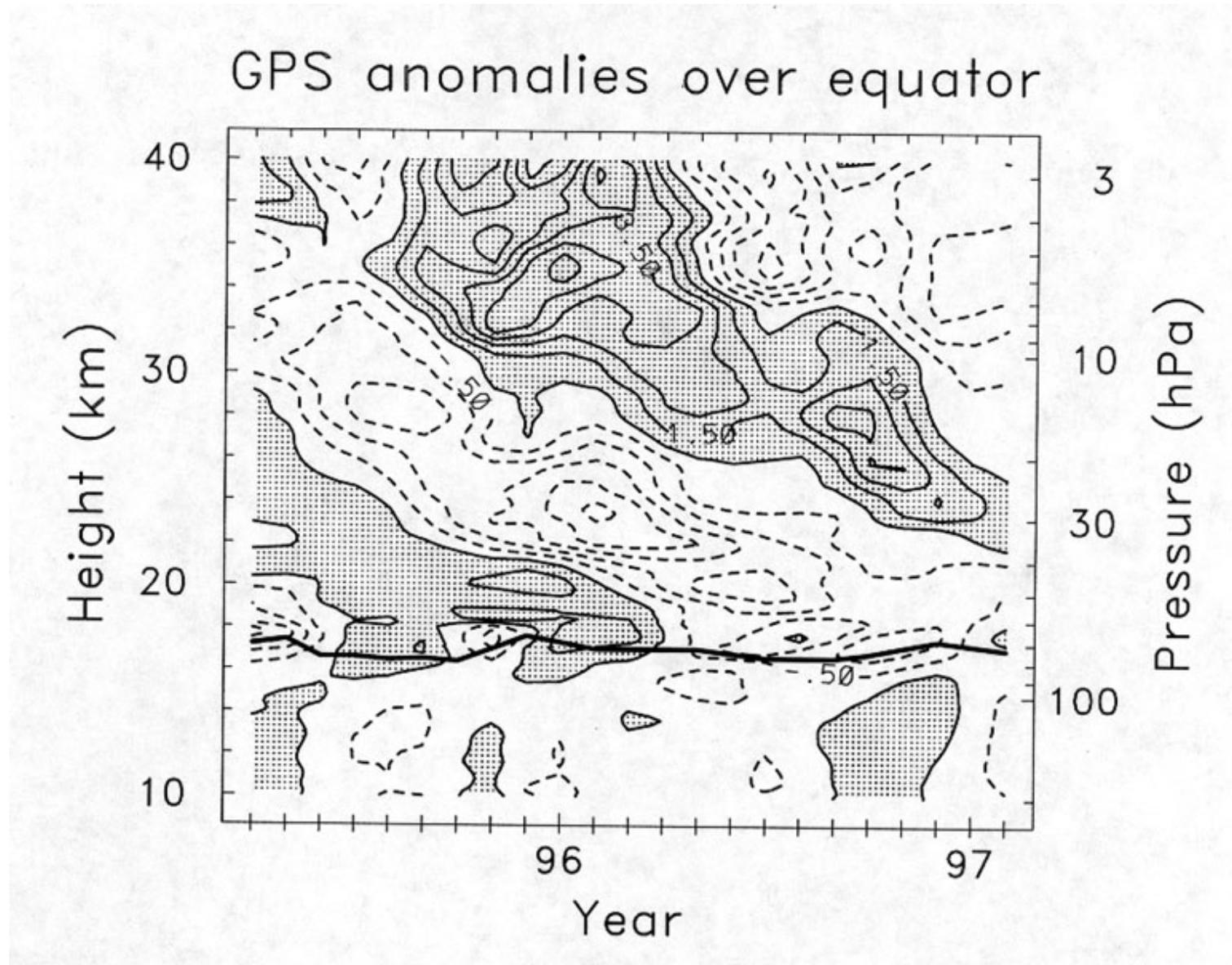
Randel and Wu, 2004

QBO over Equator

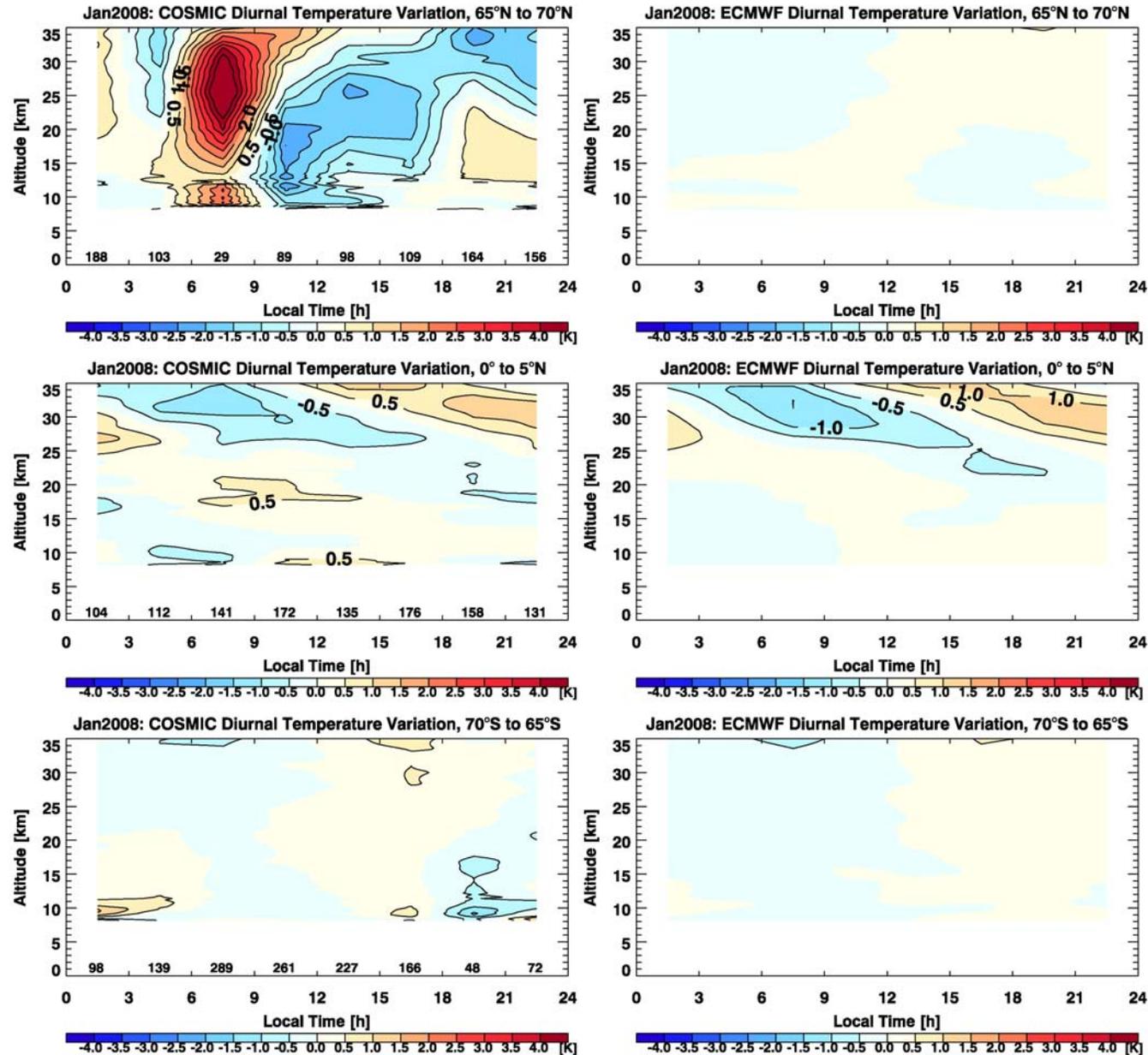
Deseasonalized
T anomalies.
4S-4N.
Downward prop
patterns assoc
with QBO.

Contours 0.5 K

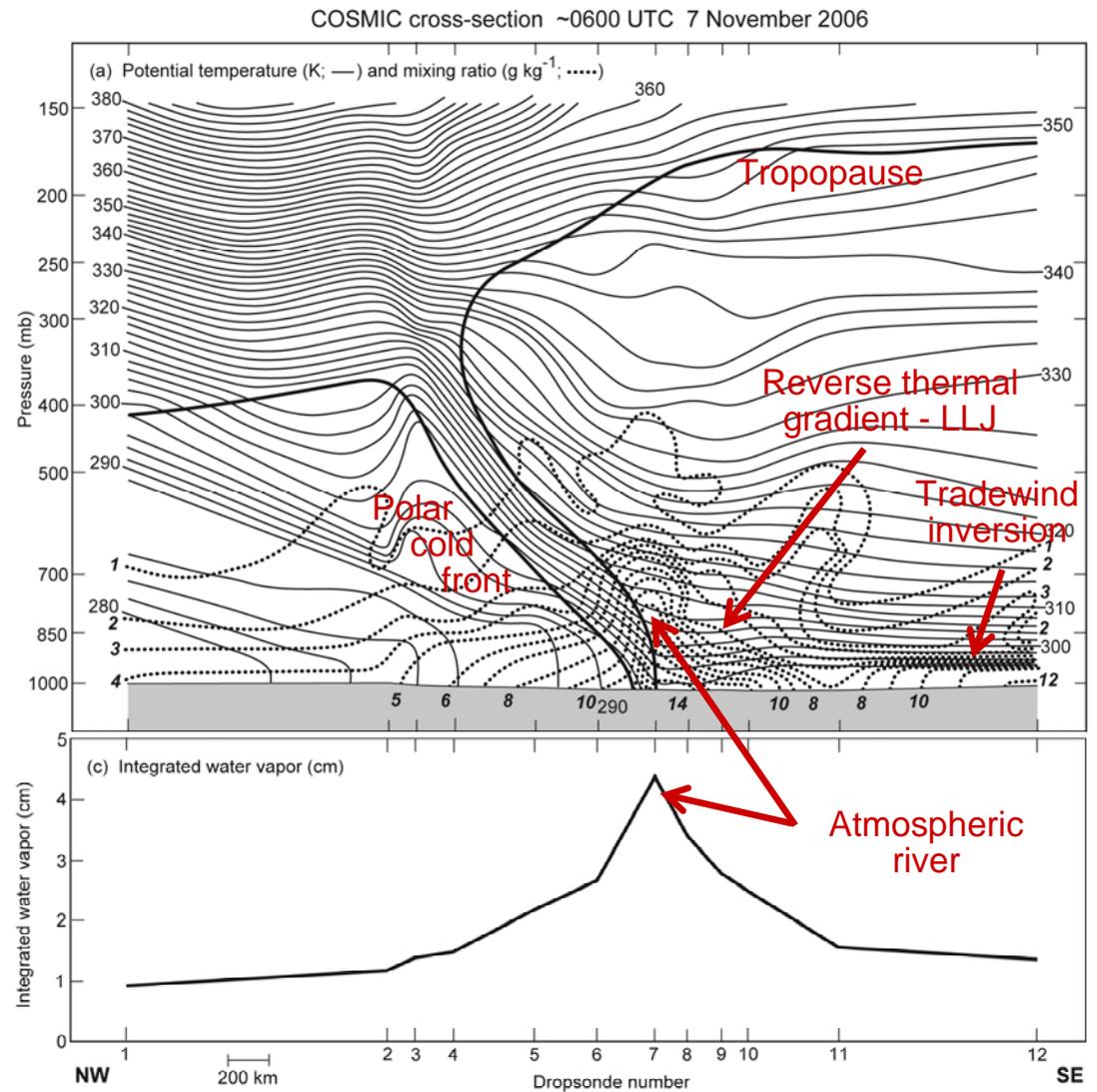
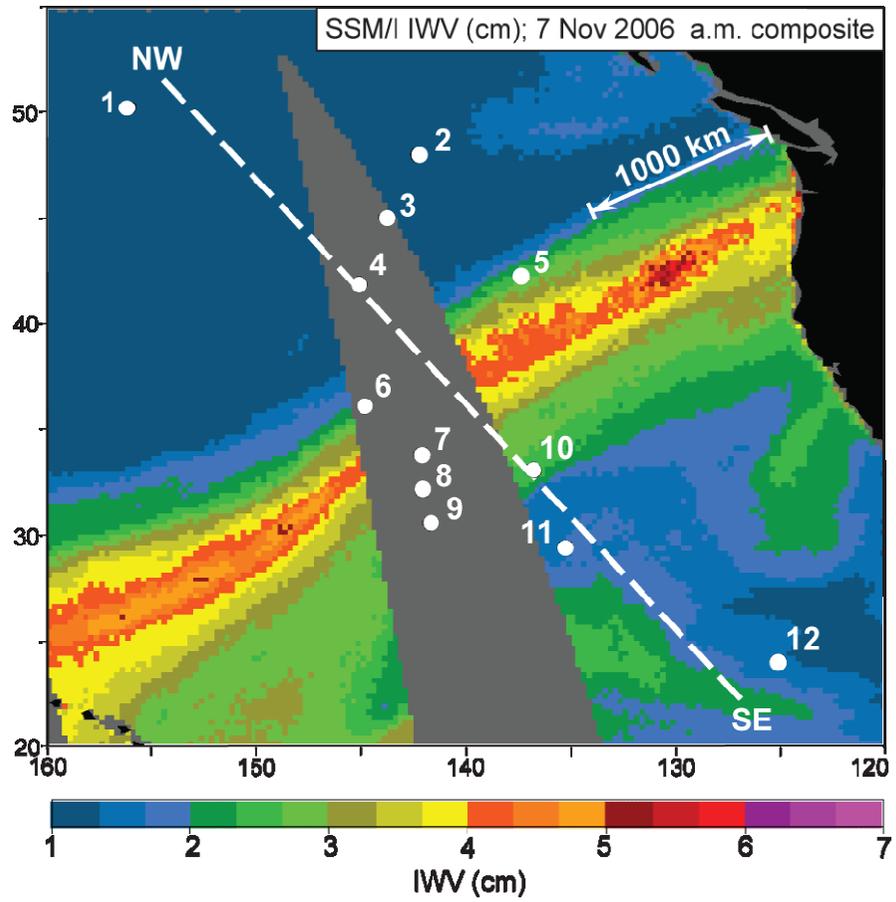
Randel *et al.*, 2003



Diurnal tides from COSMIC



COSMIC-Derived Cross Section of an Atmospheric River

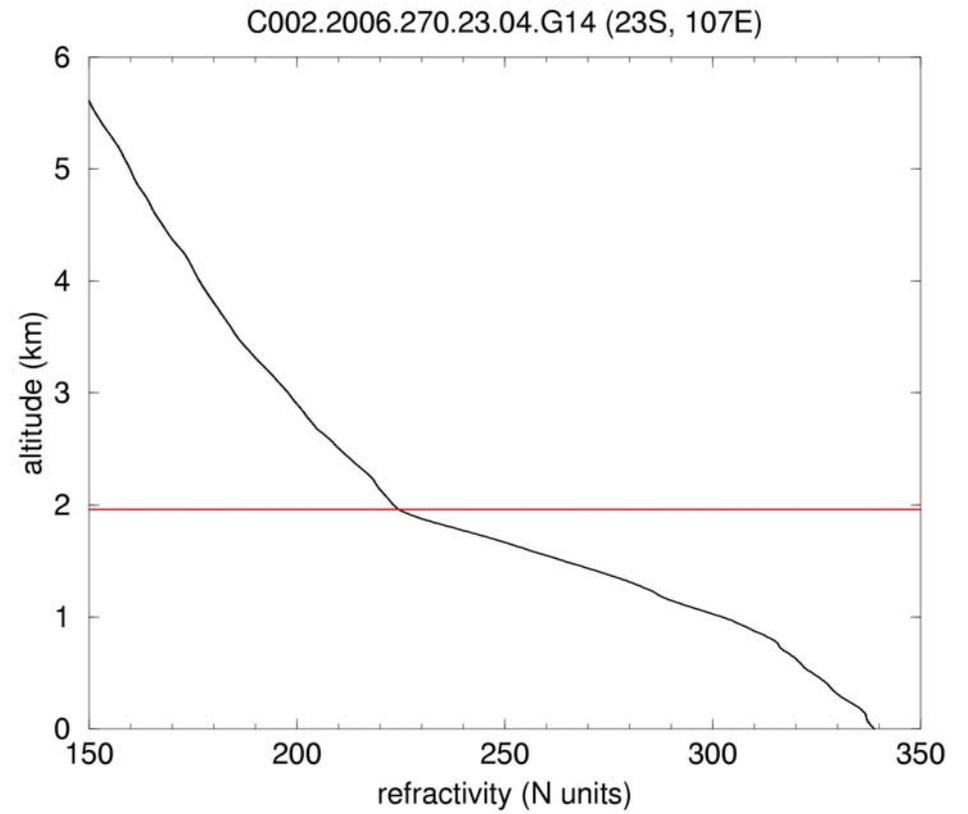
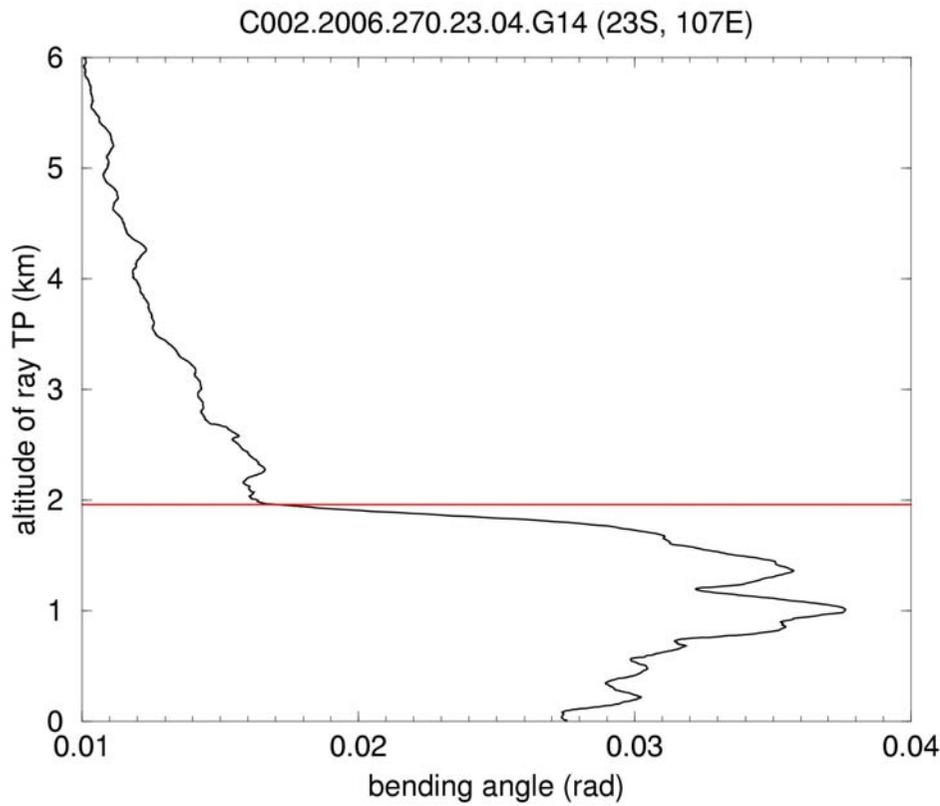


- 12 COSMIC soundings used to construct X-section along NW-SE axis through the AR
- The COSMIC soundings yield cross-sectional thermodynamic structures comparable in character and detail to previous aircraft-based dropsonde surveys.

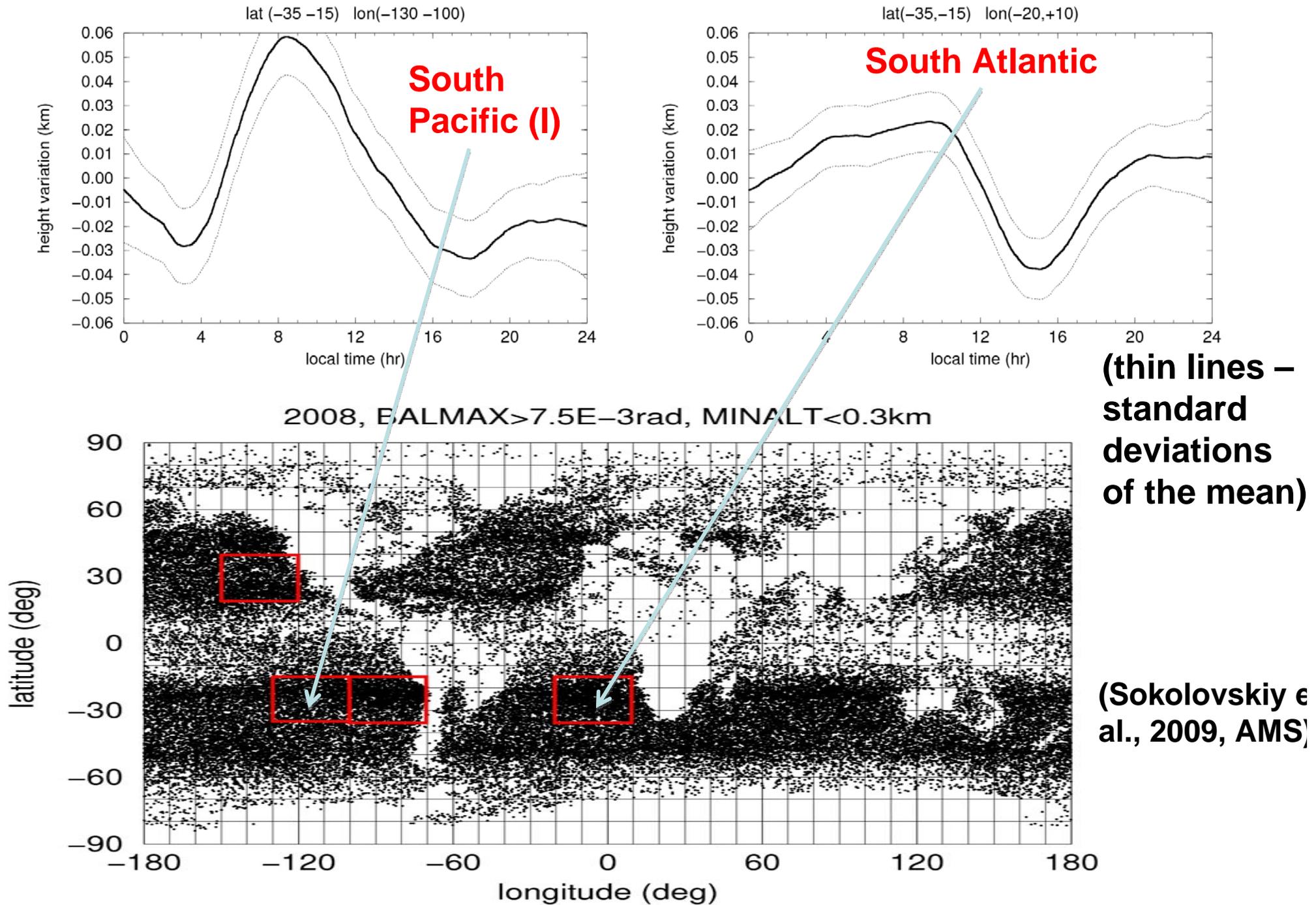
ABL Studies

- RO only technology for profiling atmospheric boundary layer from space

First atmospheric boundary layer measurements from space. Sharp boundary (ABL top) at ~ 2 km altitude

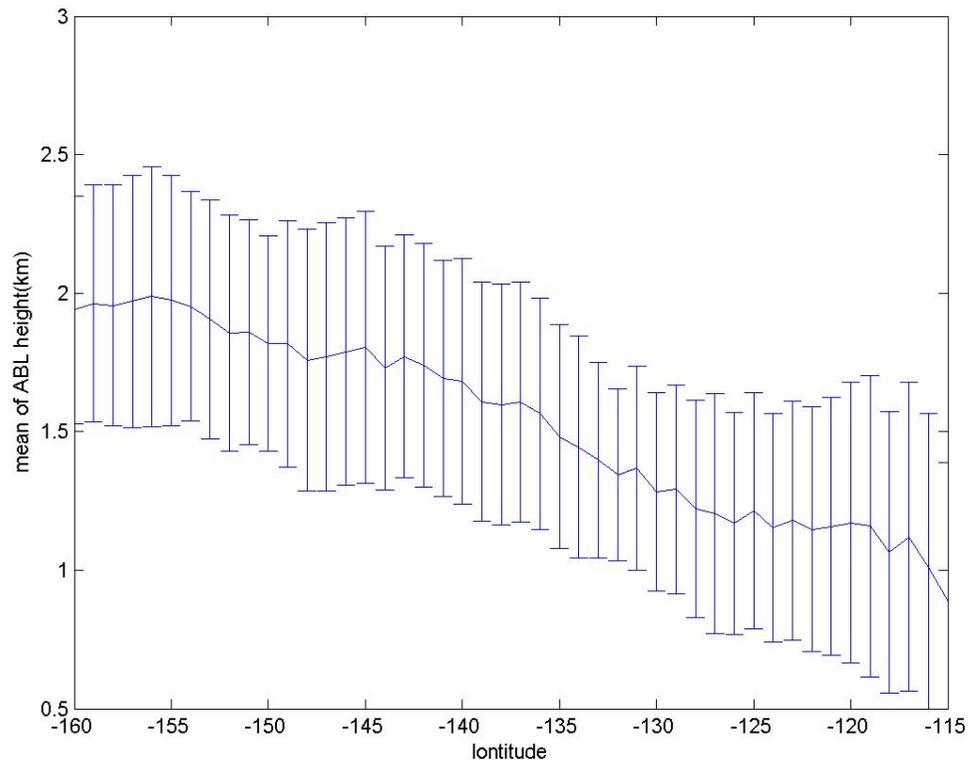


Diurnal variations of the ABL depth over the Ocean

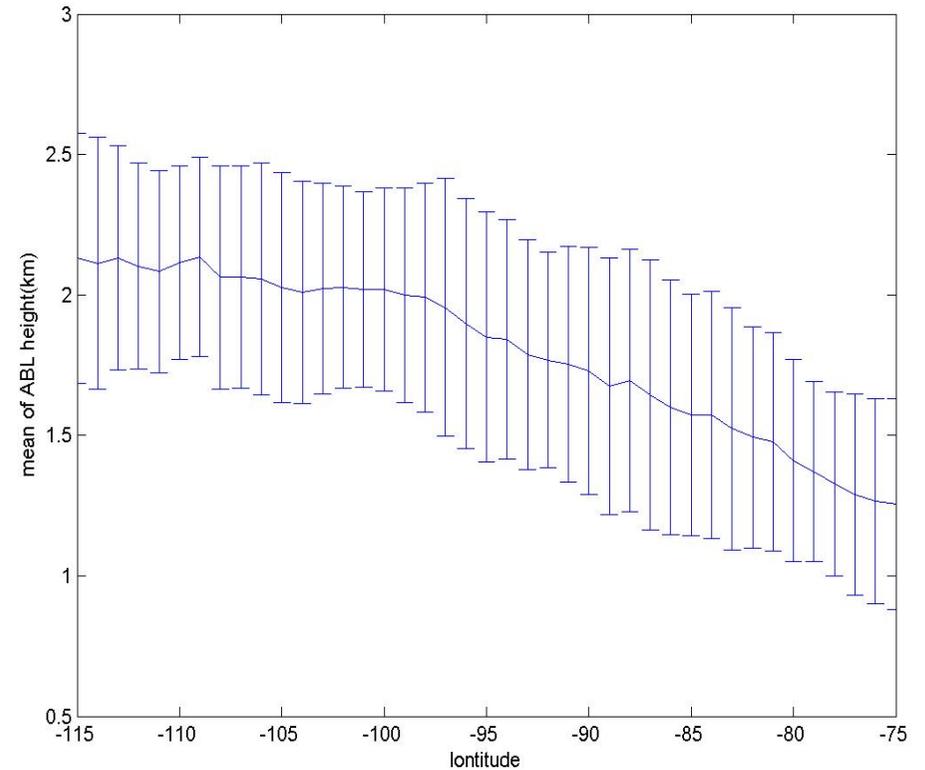


ABL height estimated by COSMIC

California coast to Hawaii



S. America - VOCALS region

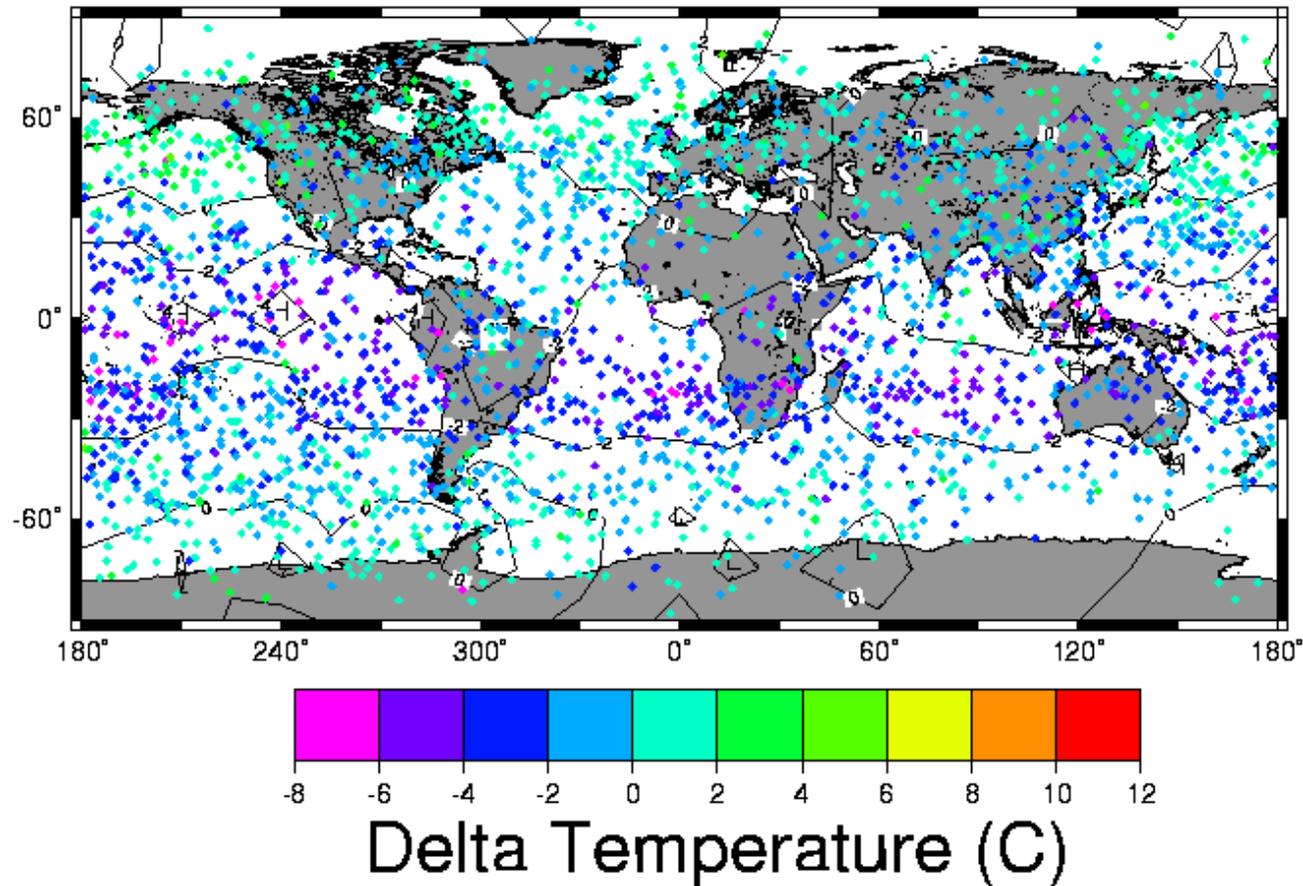


Mean ABL height cross-section along the line AB (left) and DC (right). Vertical lines indicate the error bars

Numerical Weather Analyses and Predictions

GPS – NCEP/NCAR Reanalysis

gps_nmcrs_temp_100mb_97.006-058.gmt

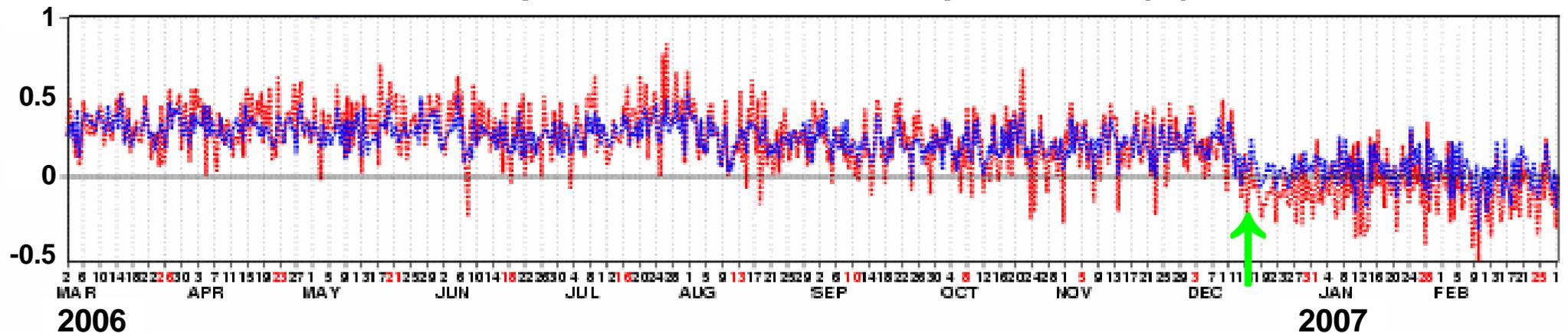


100 mb
Temperature

Note large errors
in reanalysis near
Equator

ECMWF Operational implementation of GPSRO on Dec 12, 2006

Mean departures of analysis (blue) and background (red) from southern hemisphere radiosonde temperatures (K) at 100hPa



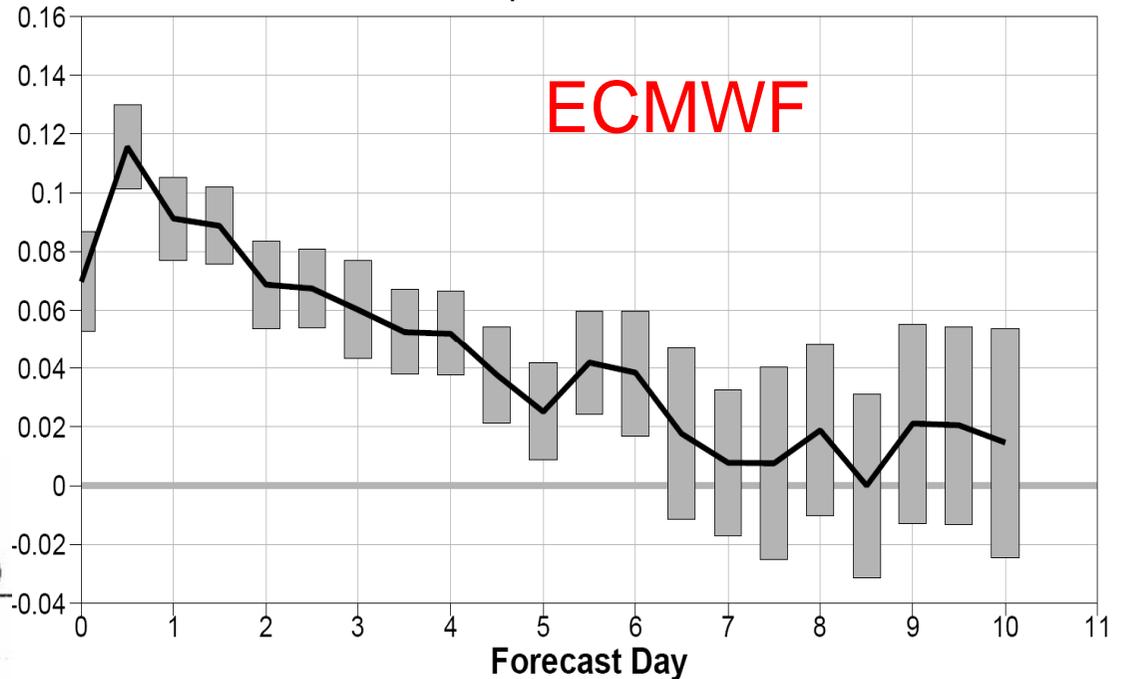
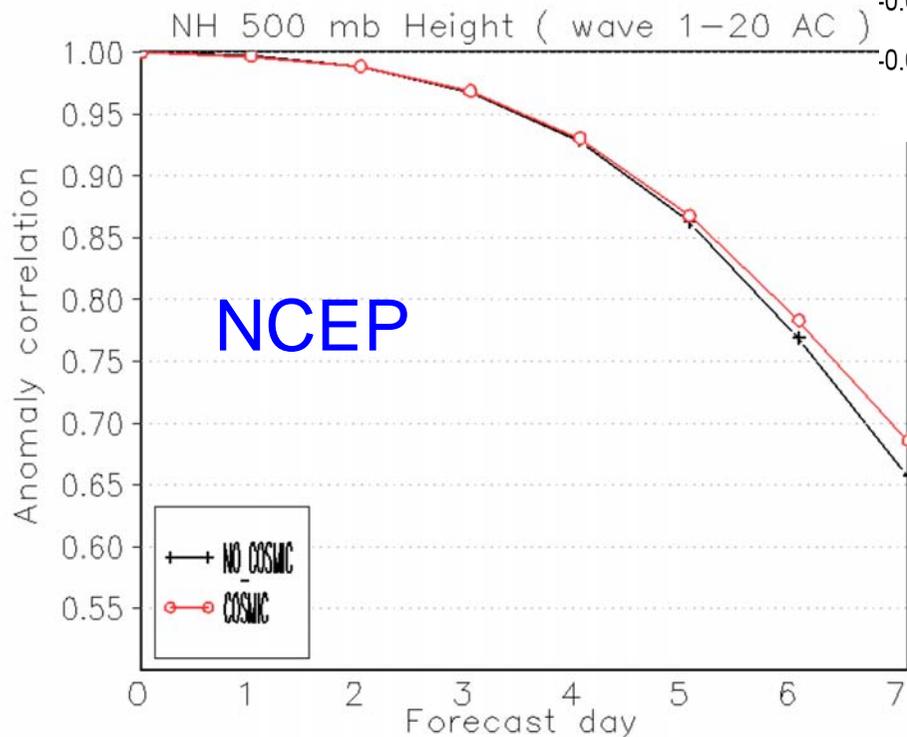
Obvious improvement in time series for operational ECMWF model.

Dec 12, 2006 Operational implementation represented a quite conservative use of data. No measurements assimilated below 4 km, no rising occultations.

Nov 6, 2007 Operational assimilation of rising and setting occultations down to surface

Positive impact at ECMWF and NCEP when COSMIC data assimilated

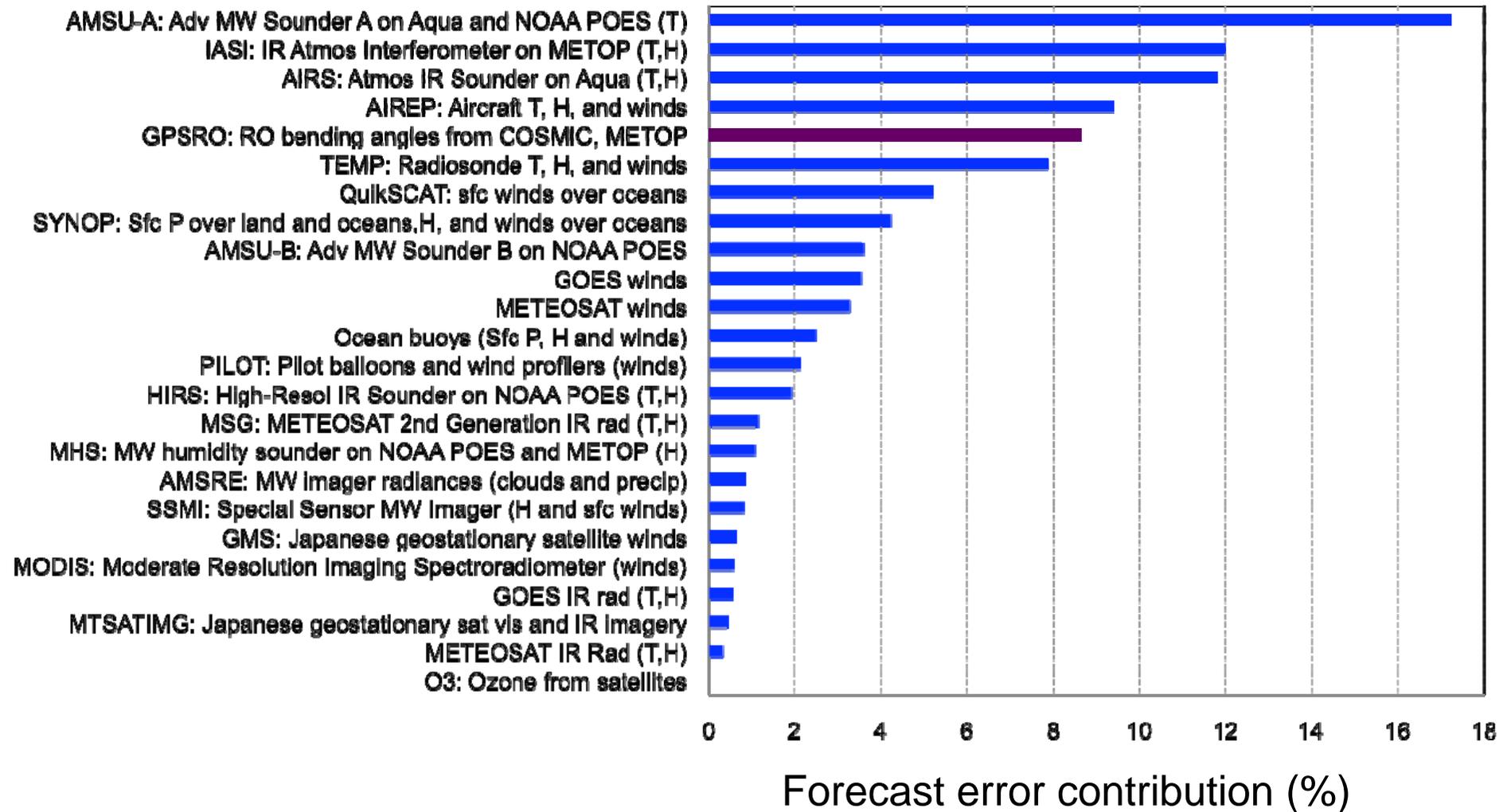
Anomaly correlation for 500 mb Height



Impact of COSMIC on the reduction of forecast errors for the 100 mb temperature over Southern Hemisphere.

0.12 means 12% improvement.

Operational ECMWF system September to December 2008. Averaged over all model layers and entire global atmosphere. % contribution of different observations to reduction in forecast error.



GPS RO has significant impact (ranked #5 among all observing systems) in reducing forecast errors, despite the small number of soundings.

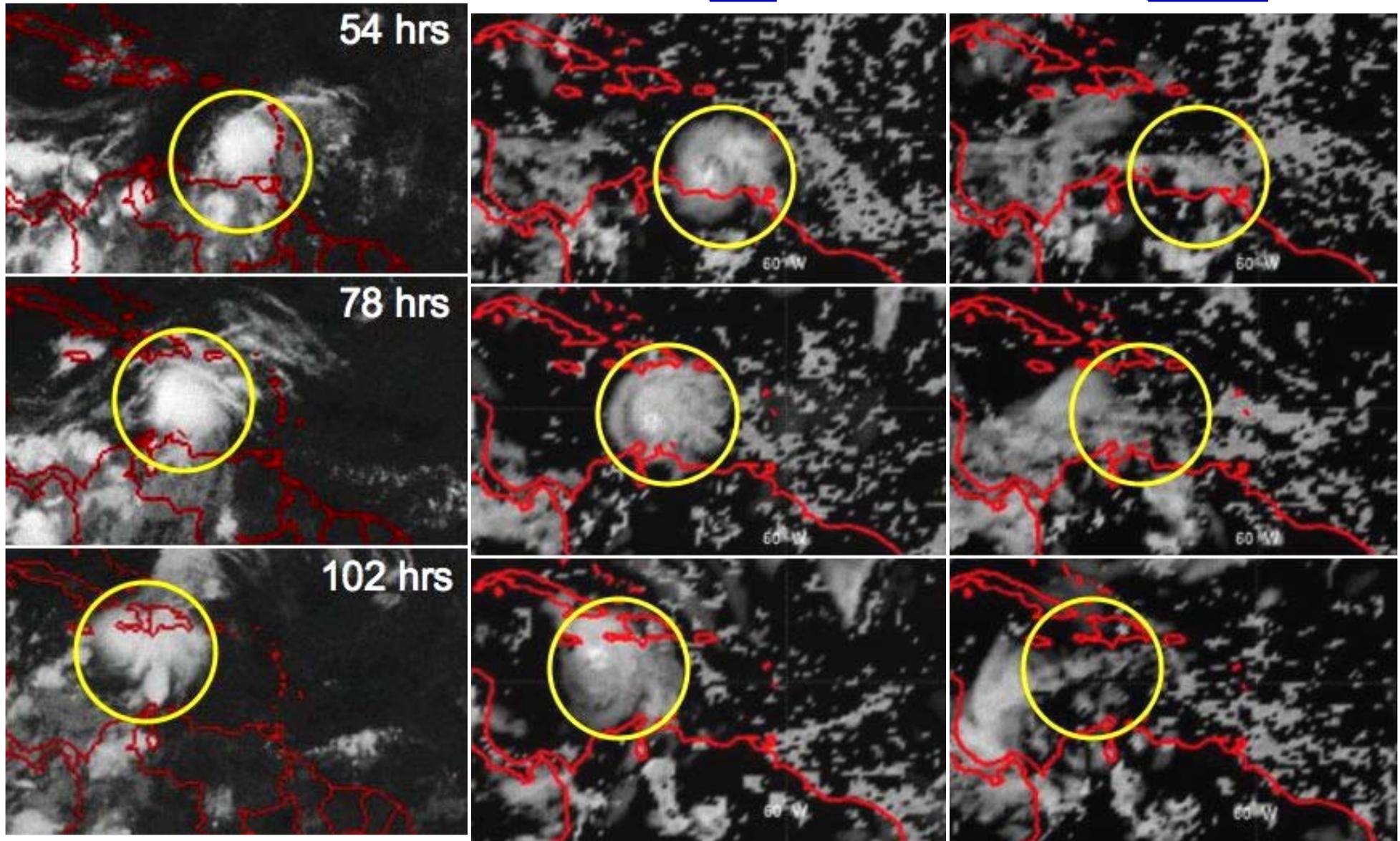
**Courtesy: Carla Cardinali and Sean Healy, ECMWF
22 Oct. 2009**

NCAR 4-Day Ernesto (2006) Forecasts

The Actual Storm

Forecast with GPS

Forecast without GPS

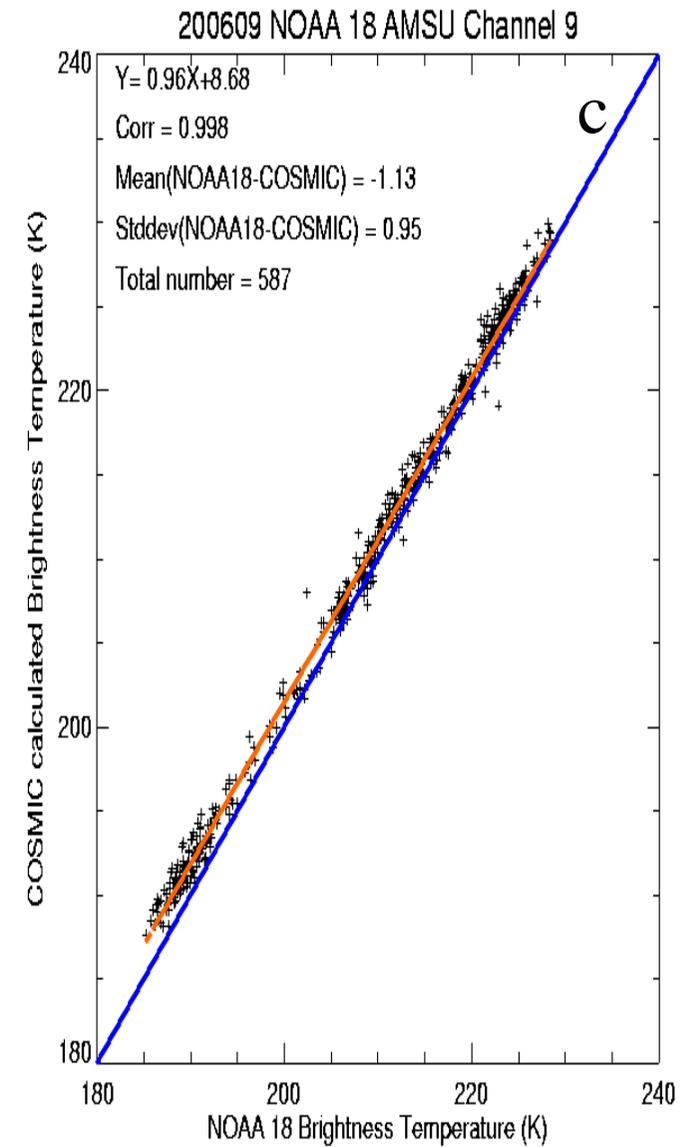
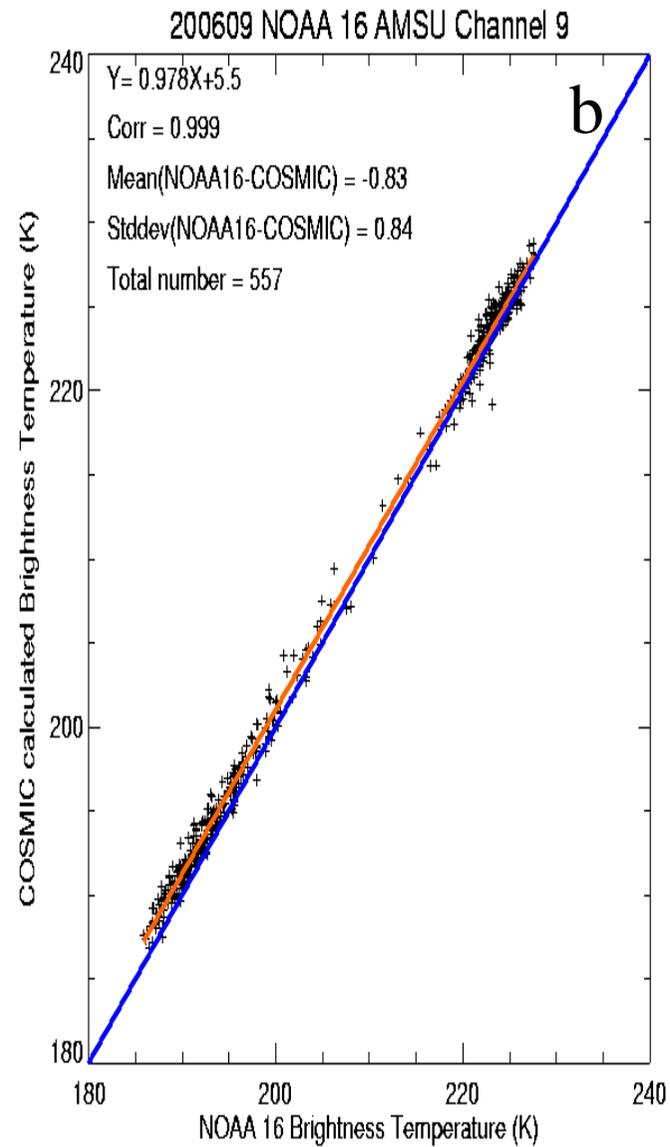
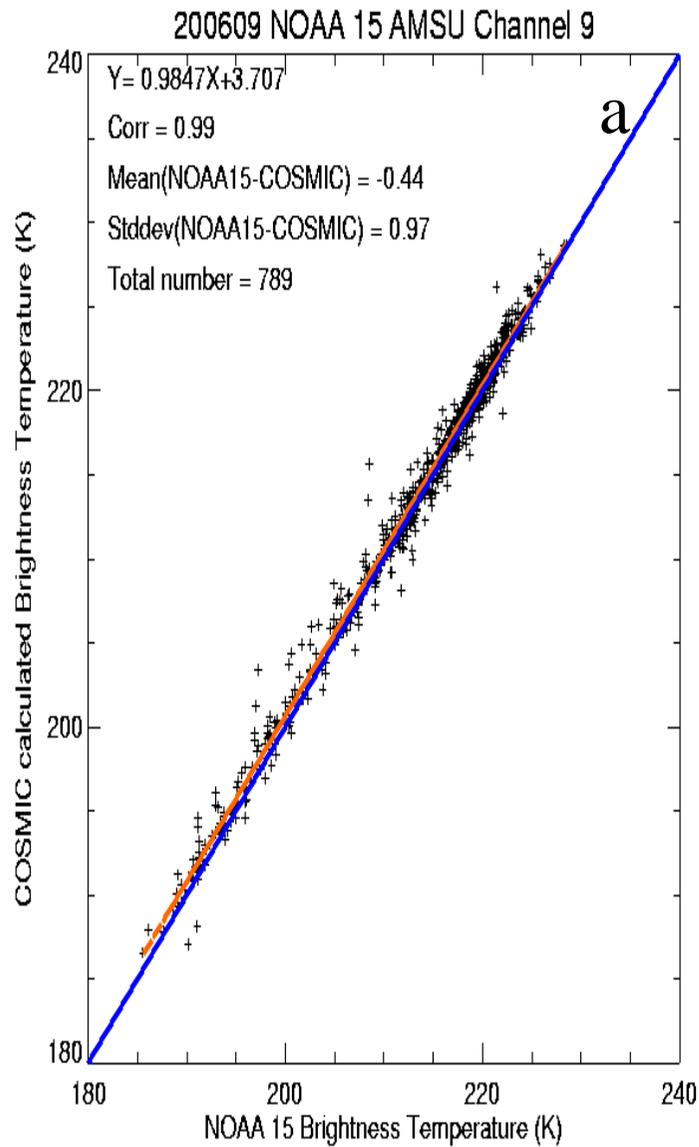


Climate Highlights

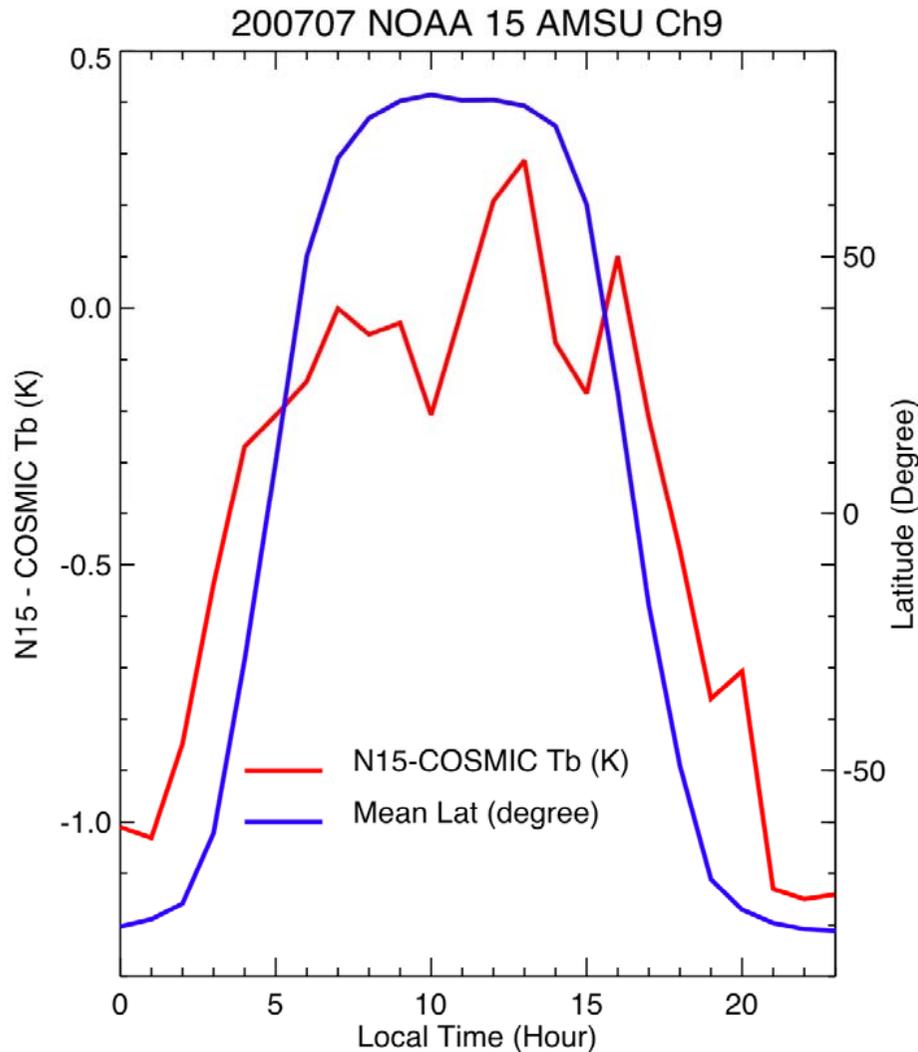
- Thanks to Ben Ho for many of these slides
- Ben's talk will be on Wednesday, 8 September-don't miss it!



COSMIC data to calibrate AMSU on NOAA satellites



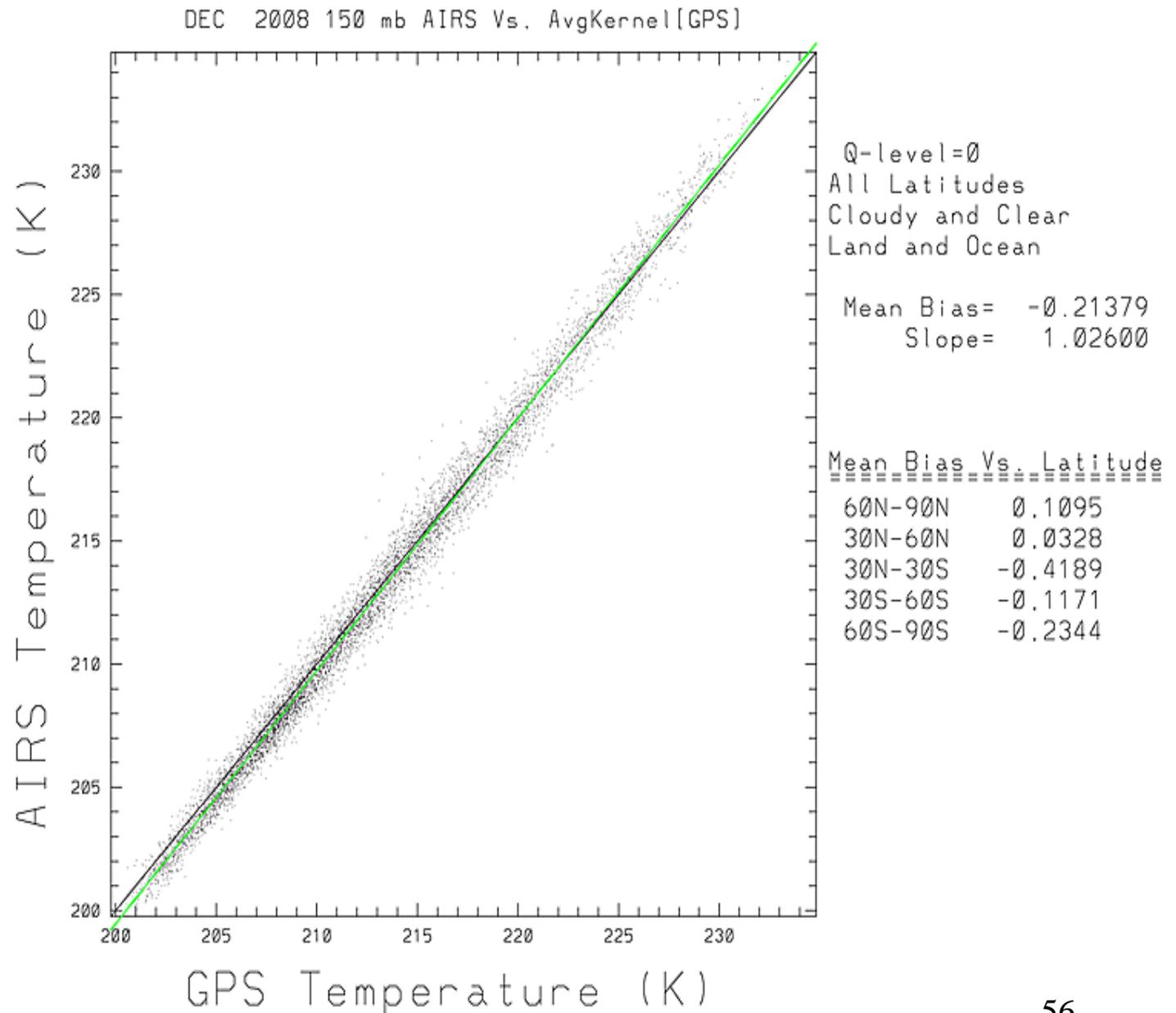
Use of RO Data to Identify the Location/local-time Dependent Brightness Temperature Biases for Climate Studies

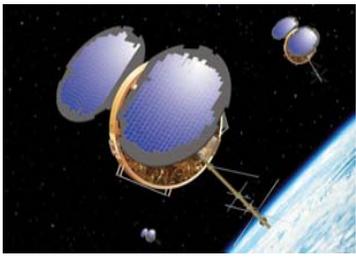


Because RO data are not affected by temperature variations of the satellite, they are very useful to identify the microwave brightness temperature anomalies due to the heating or cooling of the satellite

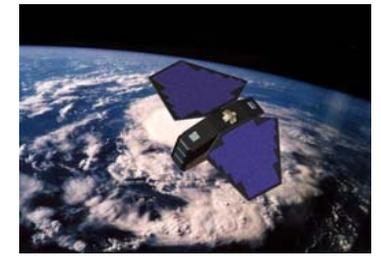
150 mb AIRS vs. smoothed COSMIC Temperature (K)

Corr ~ 1.0
We can use the defined slope and offset to calibrate AIRS temperatures

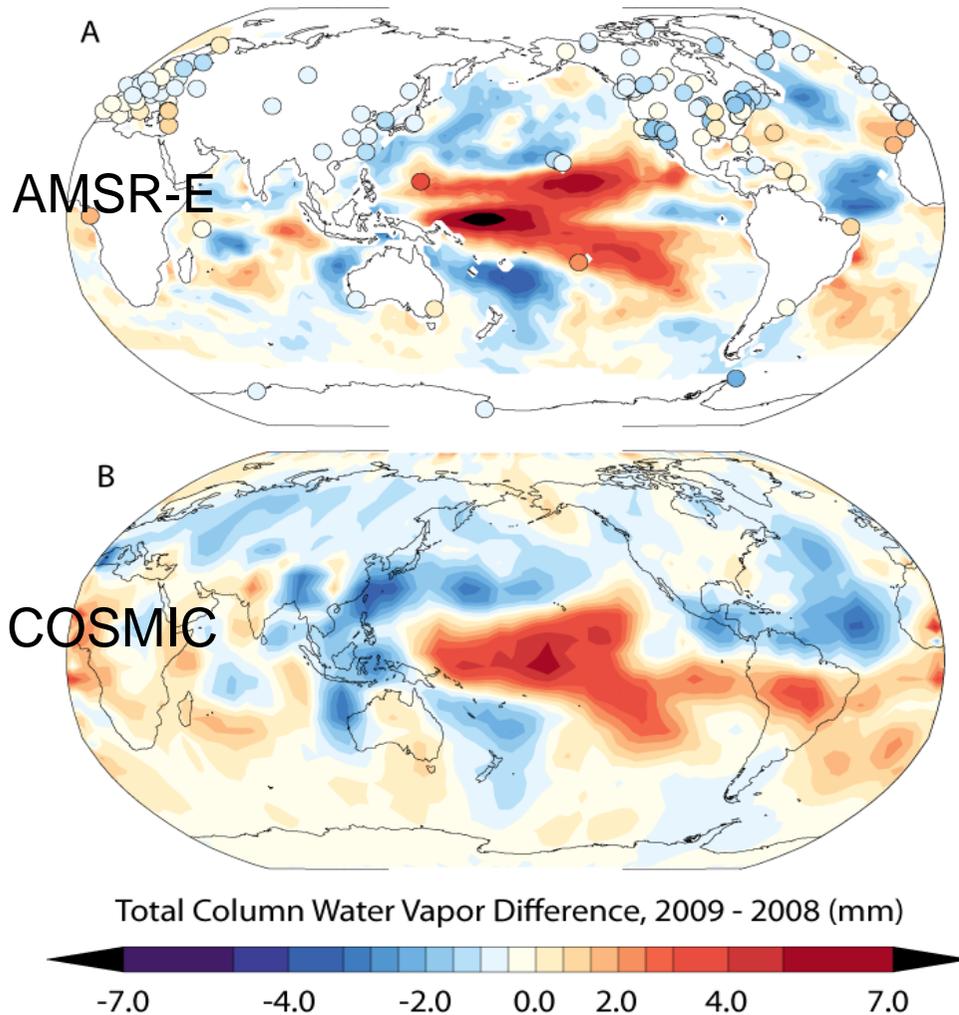




COSMIC vs. AMSR-E and GB-GPS total column water vapor

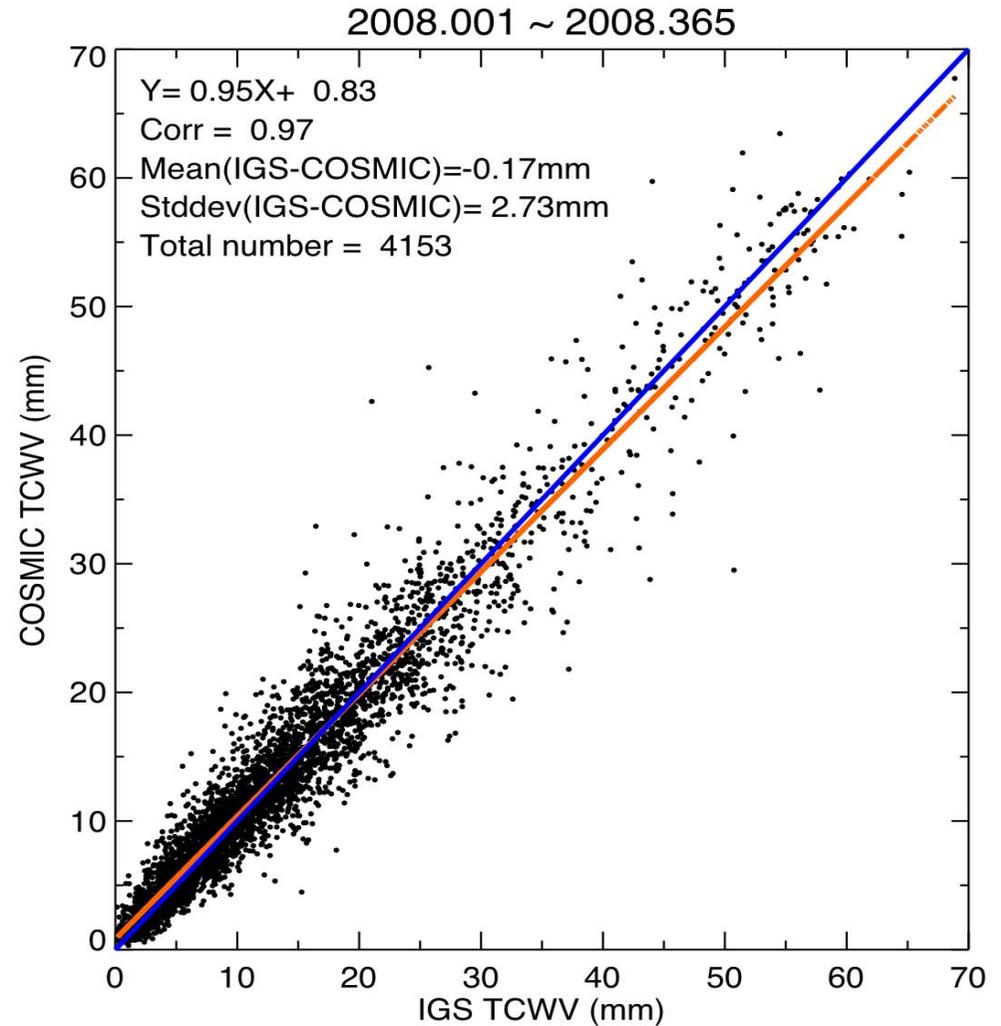


TWP 2009-2008



(Mears et al., 2010 BAMS)

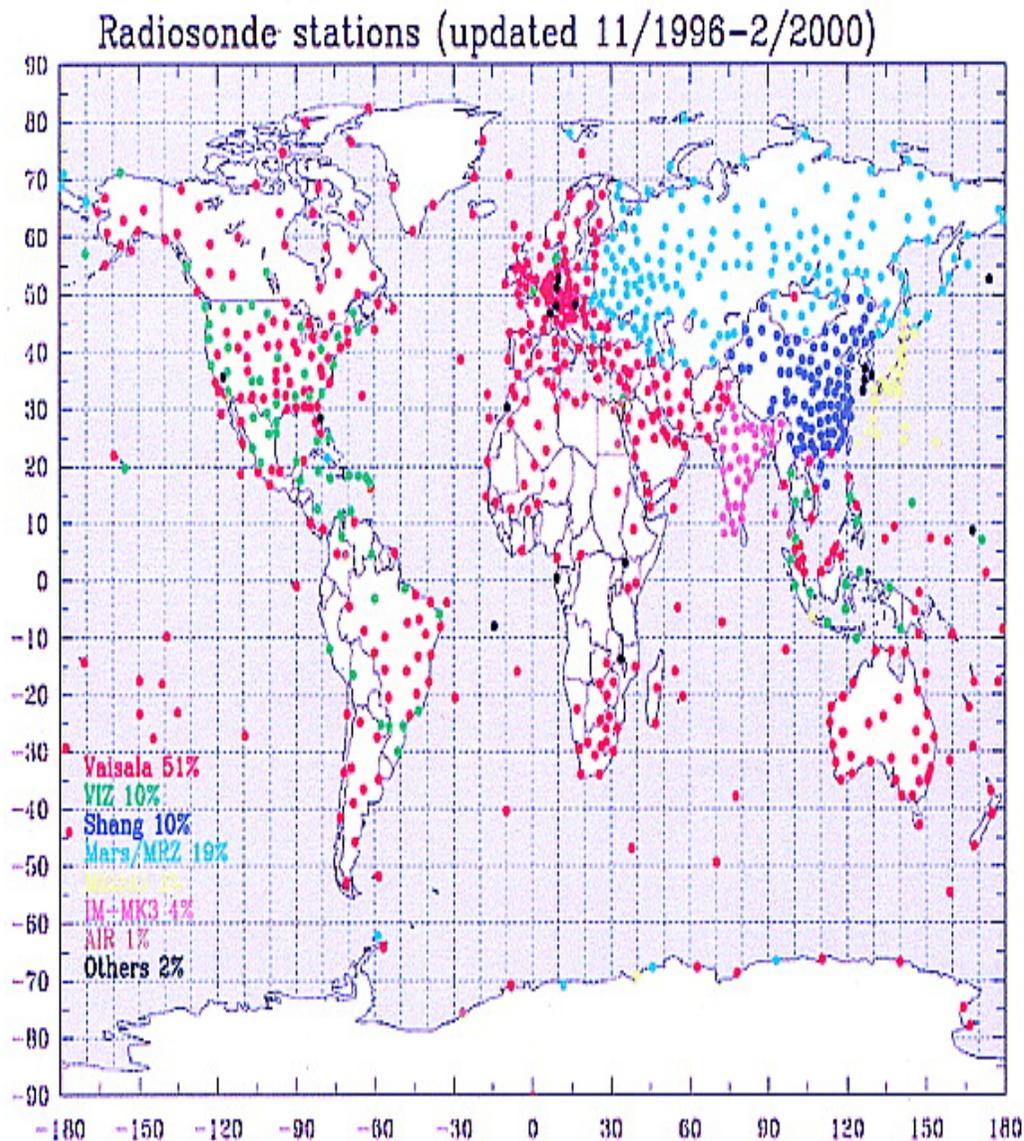
OPAC 2010 Rick Anthes



(Ho et al., 2010 BAMS)



Using RO data to assess the quality of radiosonde temperature measurements



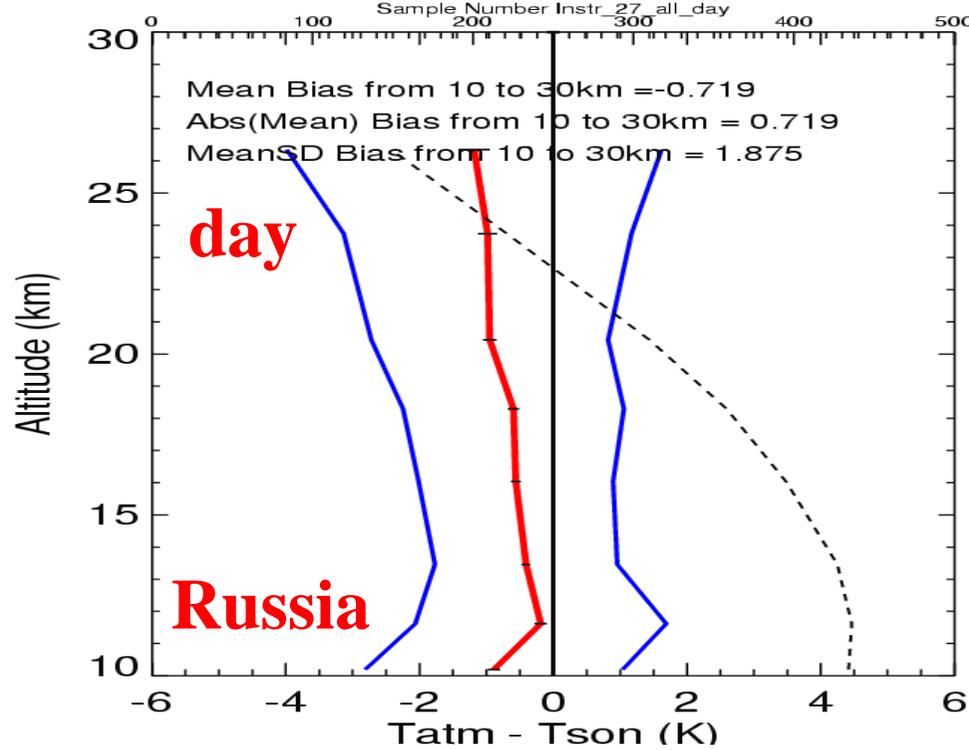
Radiosonde data from NCAR

- originally acquired from NCEP.
- contains the original data values transmitted by stations
- no radiative or other corrections from NCEP are included in this dataset

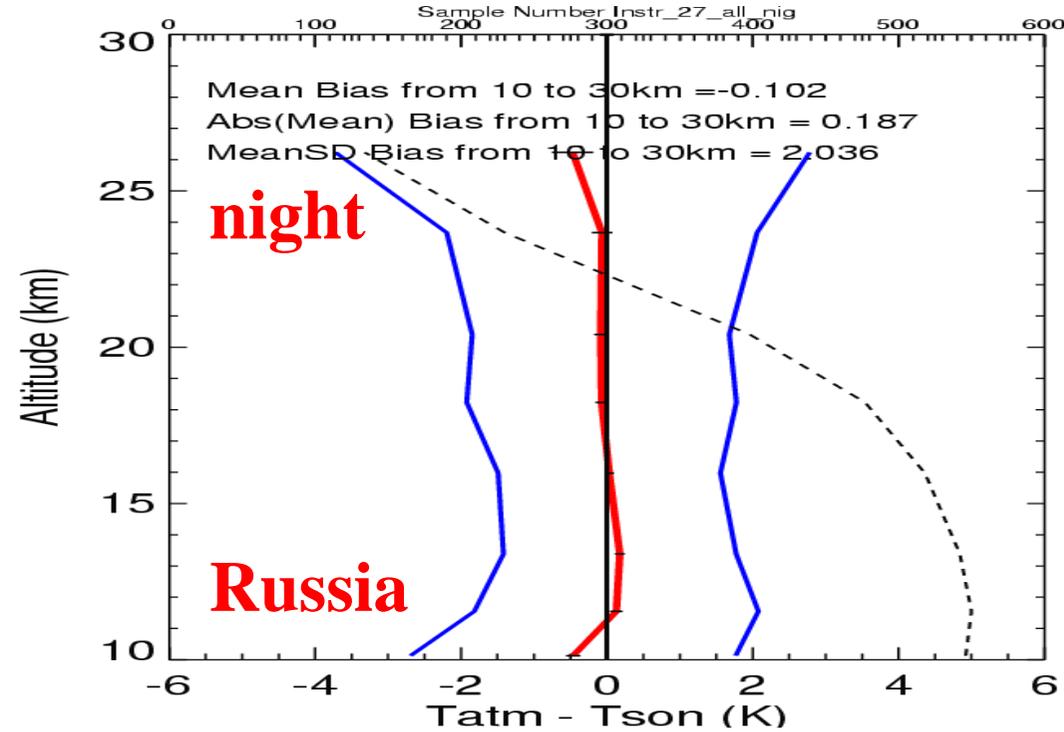
Region	Sonde Type	Matched Sample
Russia	AVK-MRZ	2000 (20%)
China	Shang	650 (6.1%)
USA	VIZ-B2	600 (5.9%)
Others	Vaisala	3140 (30%)

Collocate COSMIC/CHAMP and radiosonde profiles

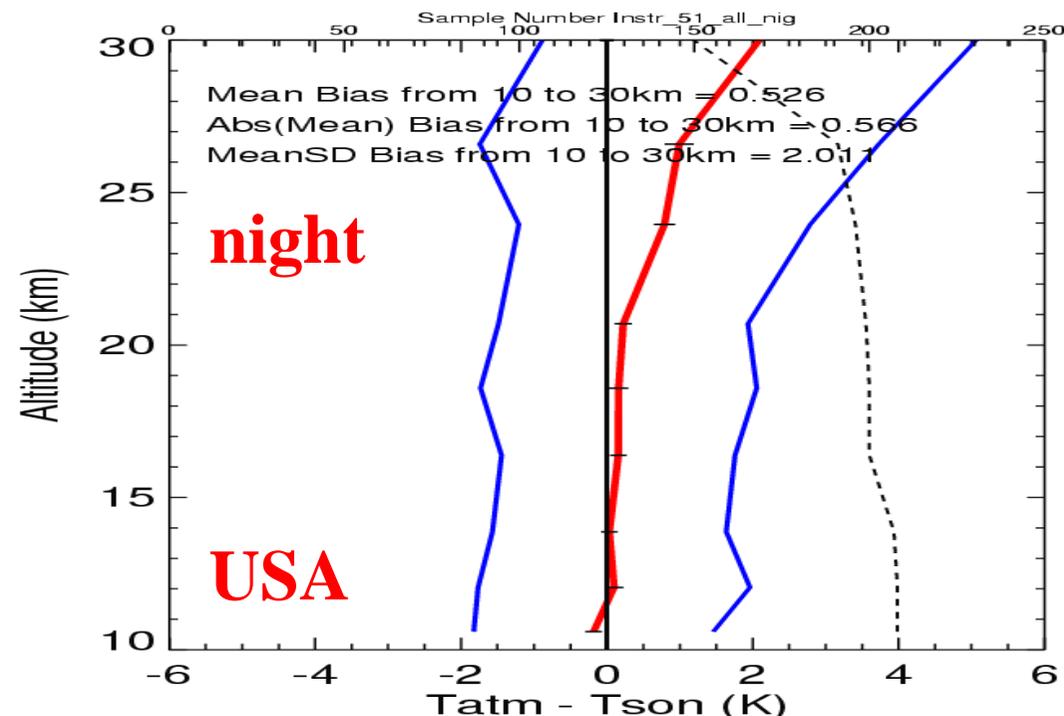
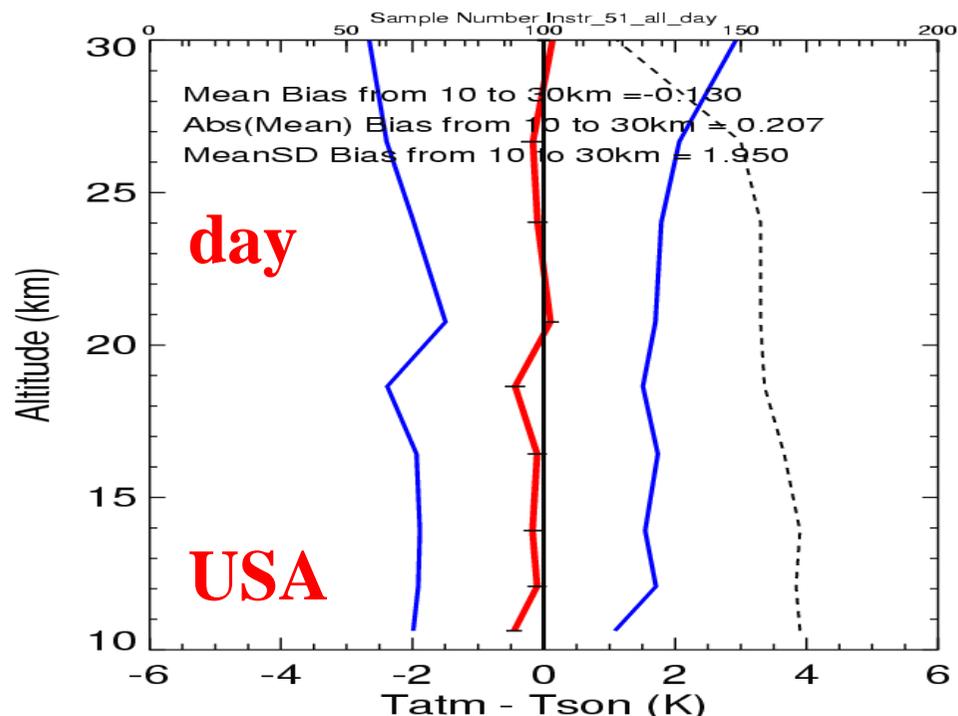
- < 200 km
- < 3 hrs

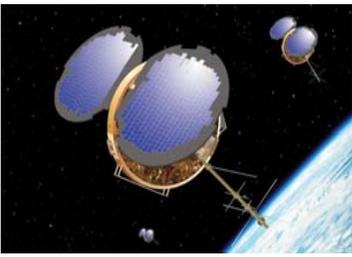


COSMIC-Radiosonde

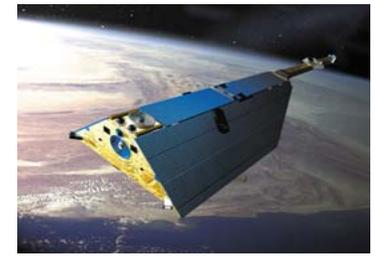


COSMIC-Radiosonde





Climate Conclusions



- **RO data can be used as a climate benchmark dataset**
 - RO provides relatively uniform spatial/temporal coverage
 - RO precision $< 0.05\text{K}$
 - Satellite-to-satellite bias $< 0.05\text{K}$
 - Independent of processing procedures : the trend from GPS RO data processed by different centers $< 0.02\%/5\text{yrs}$
- **RO data can be used as benchmark measurements to inter-calibrate other instruments**
 - COSMIC data are useful to distinguish the differences among N15, 16 and 18 AMSU data, and are useful to calibrate NOAA AMSU data.
 - COSMIC data are useful to indentify AMSU location dependent bias
 - RO data are useful to assess the quality of radiosonde data (diurnal bias due to radiative effect)
- **Above results show the potential for using RO data to fill the gap of climate data for lacking of NPOESS data and other data types.**

<http://www.cosmic.ucar.edu/~spho/>

Space Weather Highlights

COSMIC Space Weather Data Products

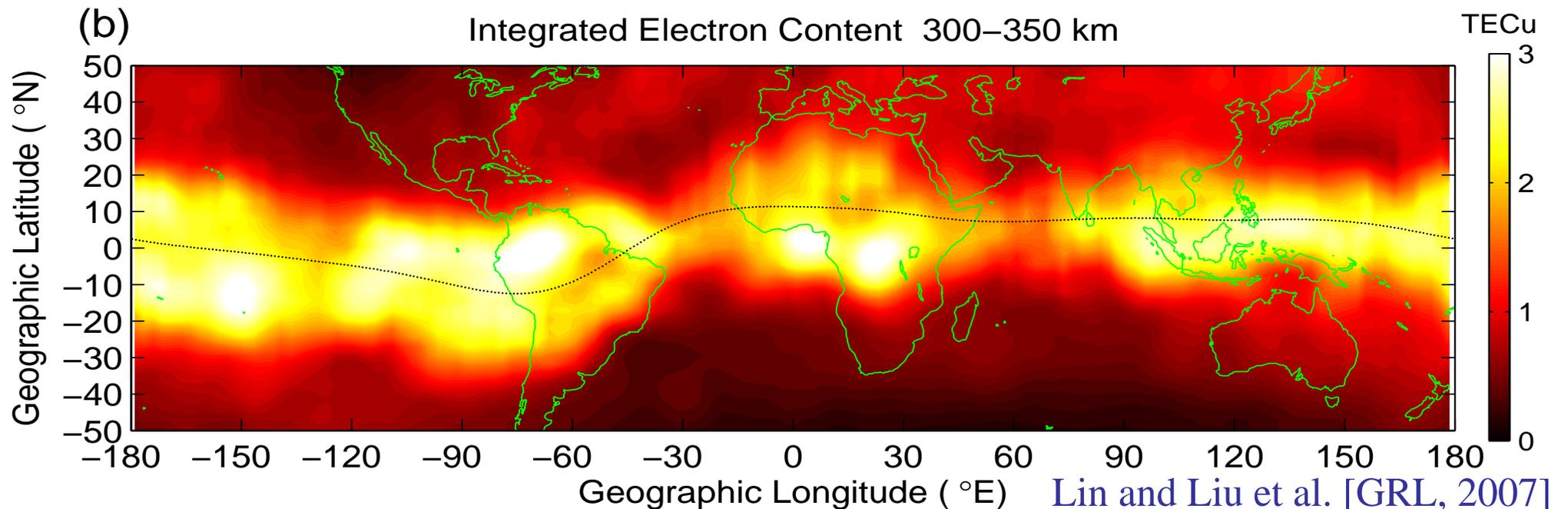
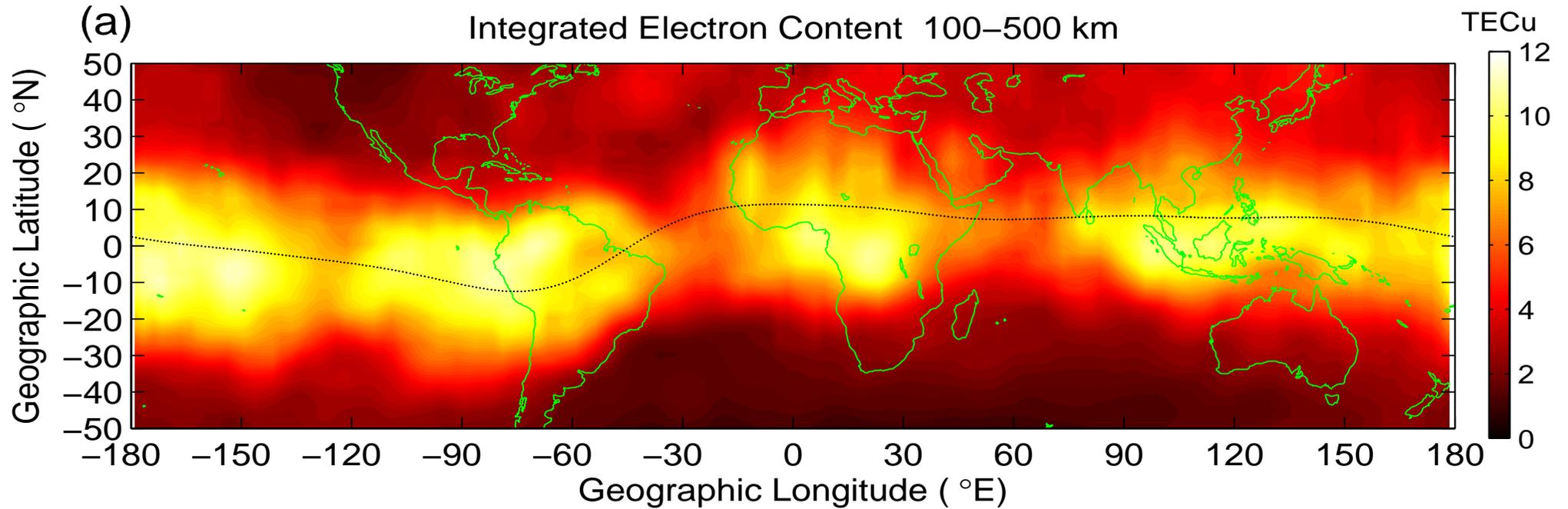
- ~3M Absolute TEC data arcs:
 - Absolute accuracy ~ 2-3 TECU
 - Relative accuracy ~ 0.0024 TECU at 1-Hz
- ~2.3M Electron Density Profiles:
 - NmF2 (F2 layer peak) Accuracy ~20% (compared to Ionosondes)
 - hmF2 (F2 layer height) Accuracy ~20 km
- Scintillation Indices (S4):
 - ~3M available from occultation profile events (altitudes < 120 km)
 - Available from ~3M lines of sight to all GPS in view
- ~90% available within 3 hrs, ~50% in 1 hr, and ~10% in ½ hr

Recent Ionosphere and Space Weather Studies With COSMIC

- **COSMIC EDPs used for verification of IRI and TIEGCM models (Lei et al., 2007)**
- **COSMIC EDPs used to estimate ionosphere High Transition Heights (HTH) and agree well with C/NOFS data (Yue et al., AGU, 2009)**
- **Mid-latitude summer nighttime anomaly (MSNA) of the ionosphere observed by COSMIC EDPs (Lin et al., 2009)**
- **Sporadic E layer climatology produced with COSMIC data (Wang, 2009)**
- **COSMIC EDPs and TIP data used to study the ionosphere disturbance during 15 Dec 2006 geomagnetic storm and found a long lasting positive storm effect in ionosphere (Pedatella et al., 2009)**
- **Strong relationship between behavior of ionosphere and Sudden Stratospheric Warming (Yue et al, 2010)**
- **JPL did many observation system simulation experiments (OSSE) and found that COSMIC-2 can advance the assimilation performance because of many more GPS TEC observations than current COSMIC (Pi et al., 2009)**

wave-4 longitudinal structure

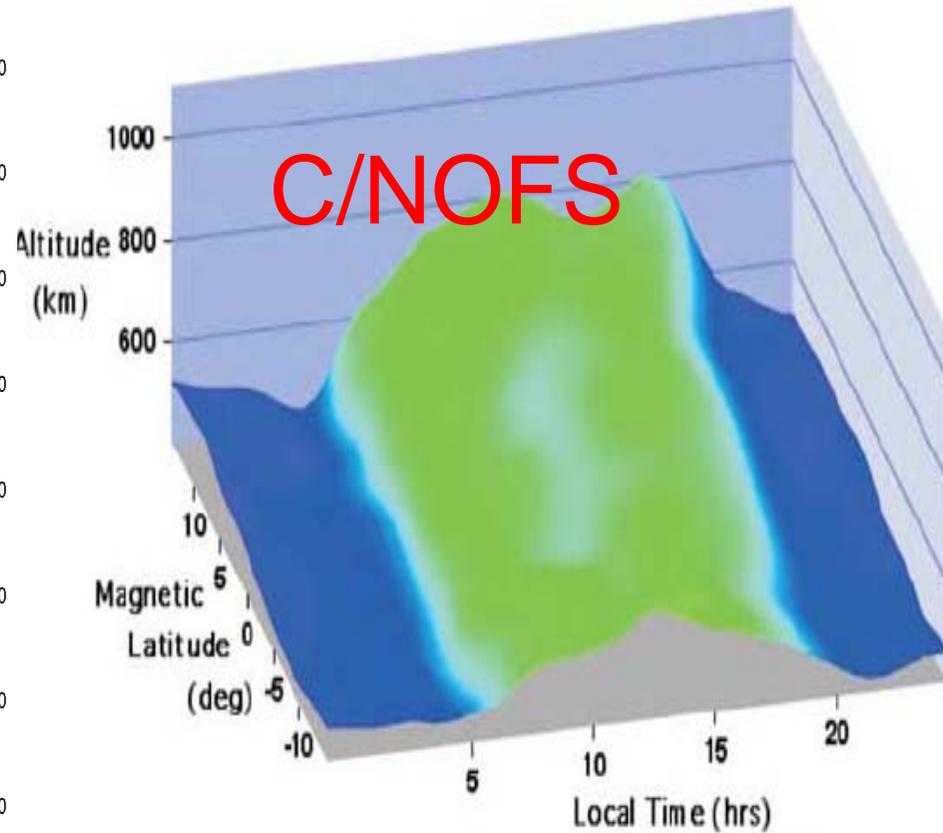
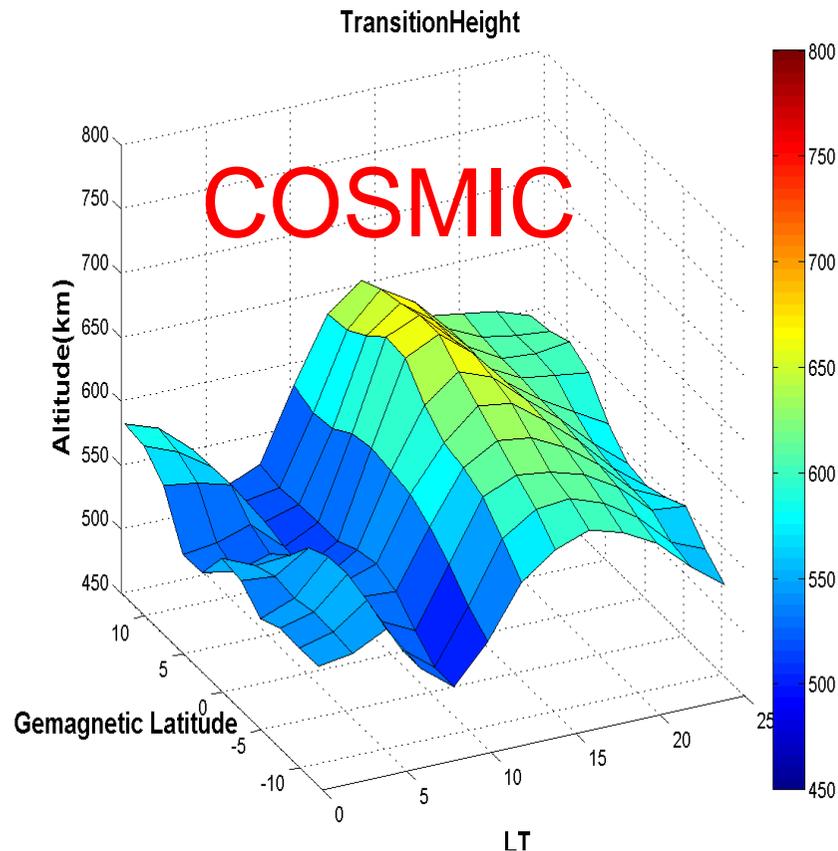
FORMOSAT-3/COSMIC electron content observations: 2000~2200 LT



Ionospheric High Transition Height (HTH)

Comparison between COSMIC and C/NOFS observations of HTH
 $|\text{lat}| < 13$, June-August, 2008

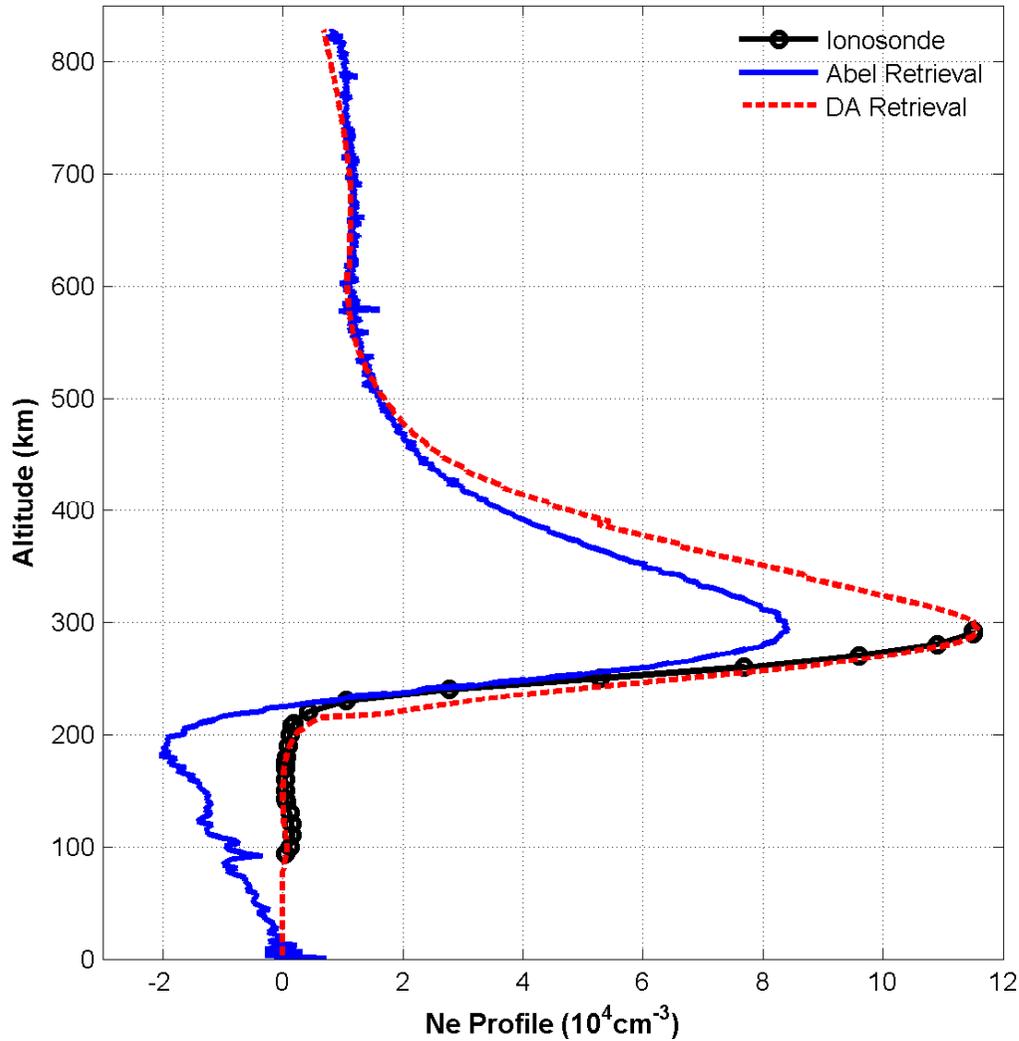
Heelis et al. 2009GL038652



Yue et al. 2009
Presented at AGU

New retrieval electron density profile

Lat: 50.1; Lon: 4.6; LT: 3.5581



New retrieval electron density profile

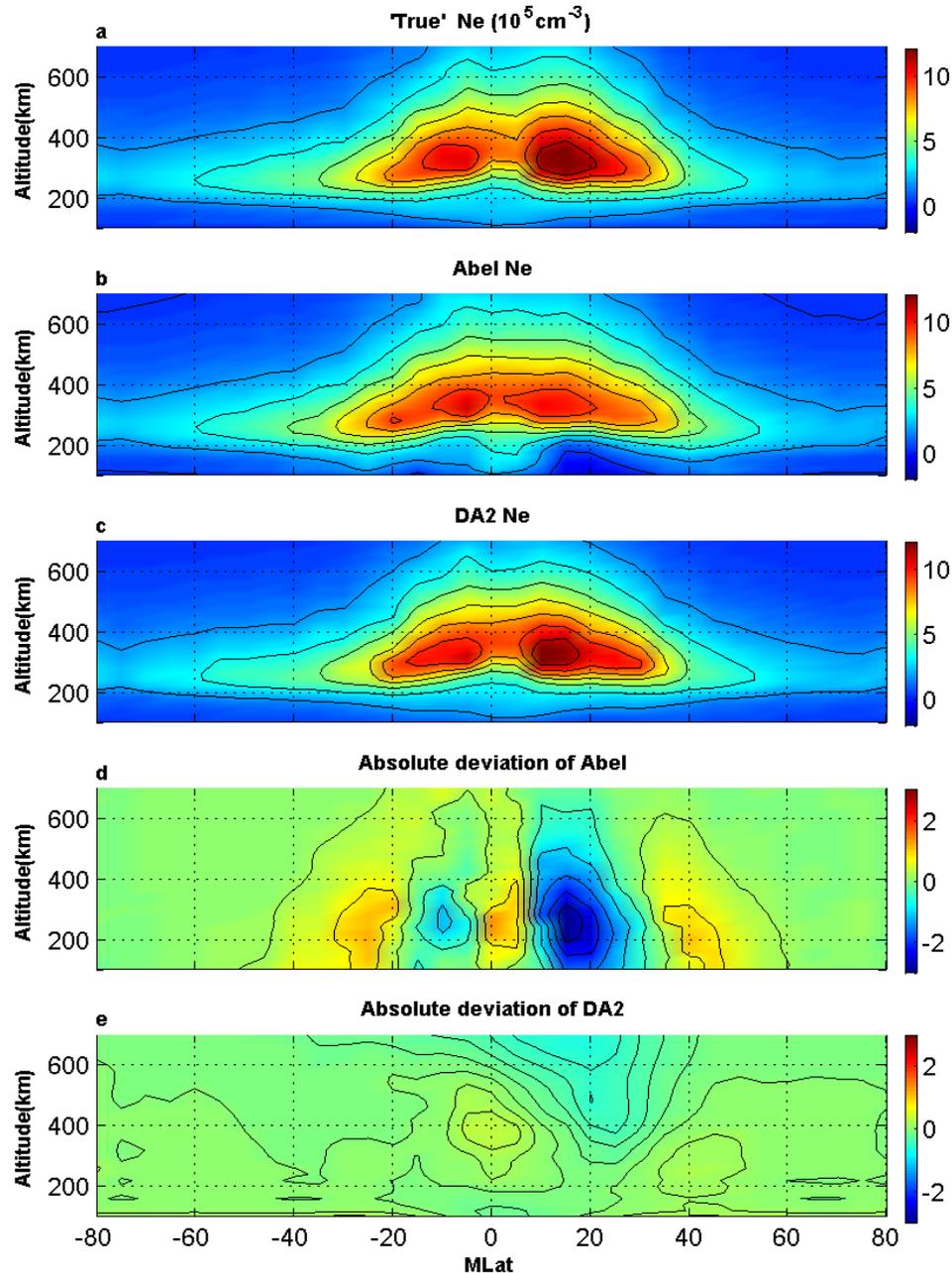
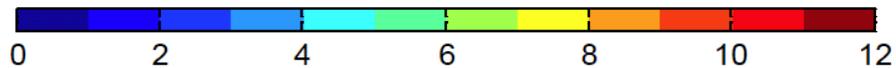
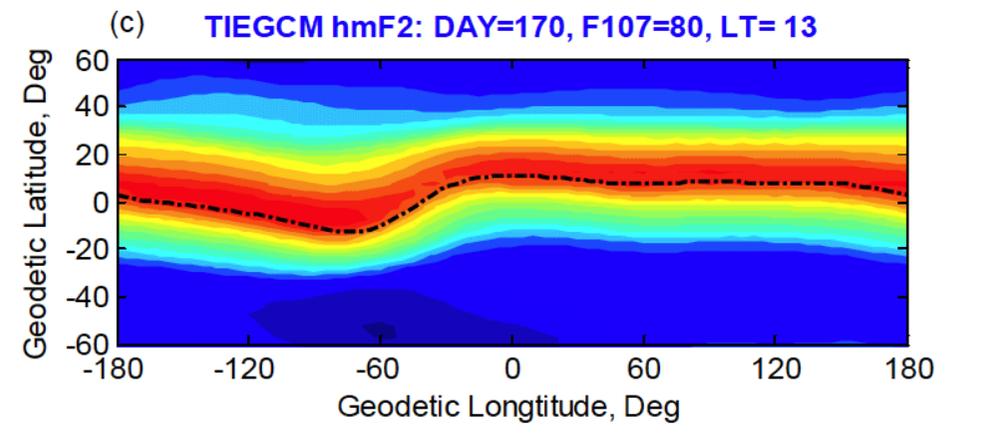
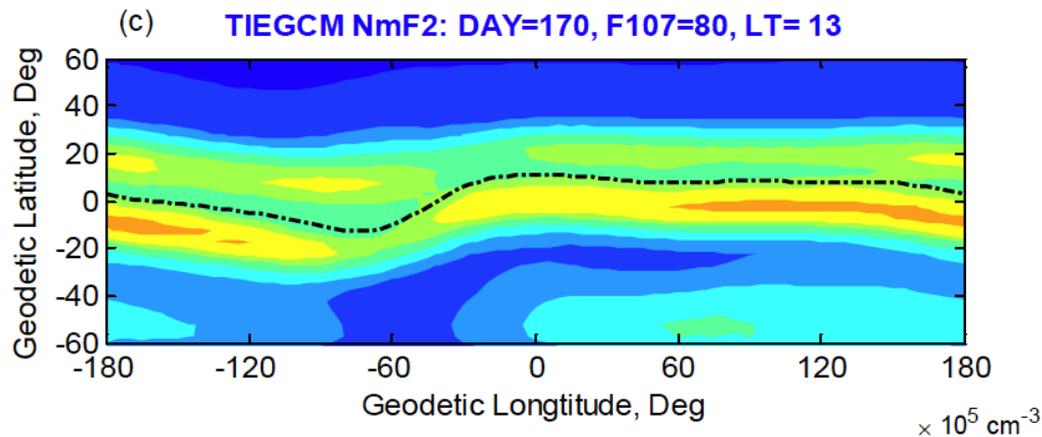
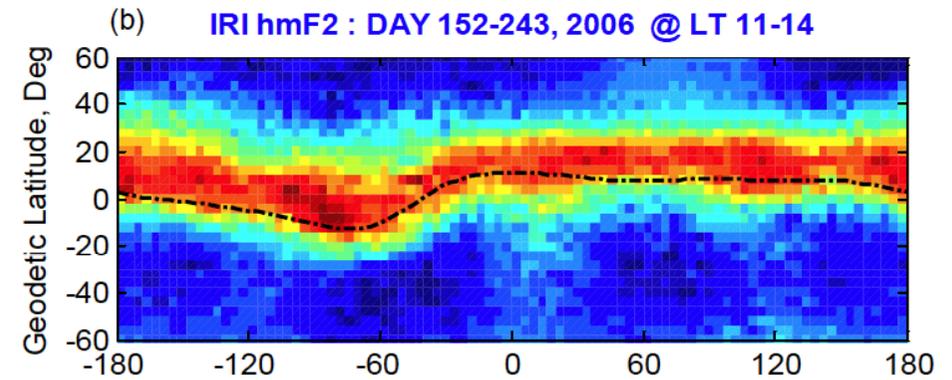
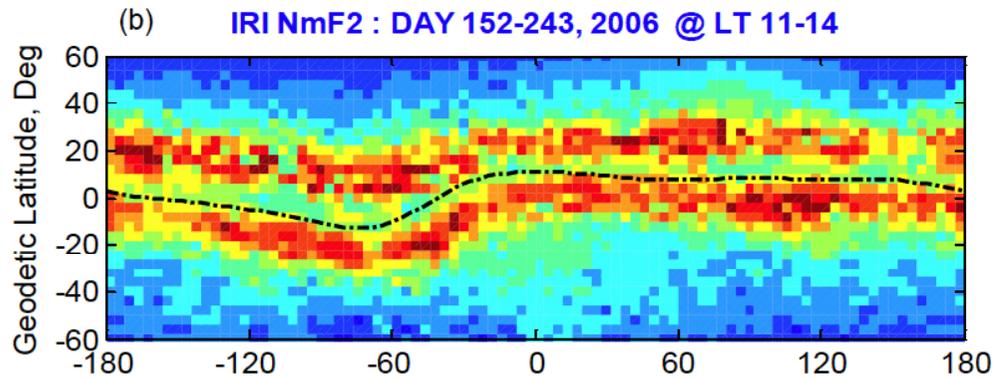
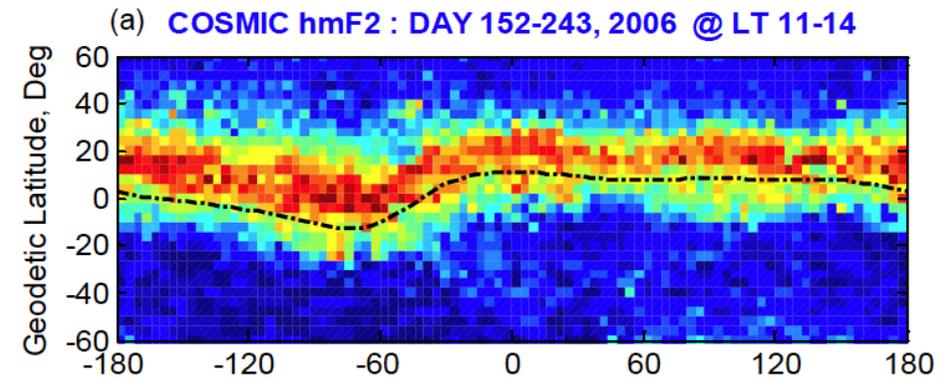
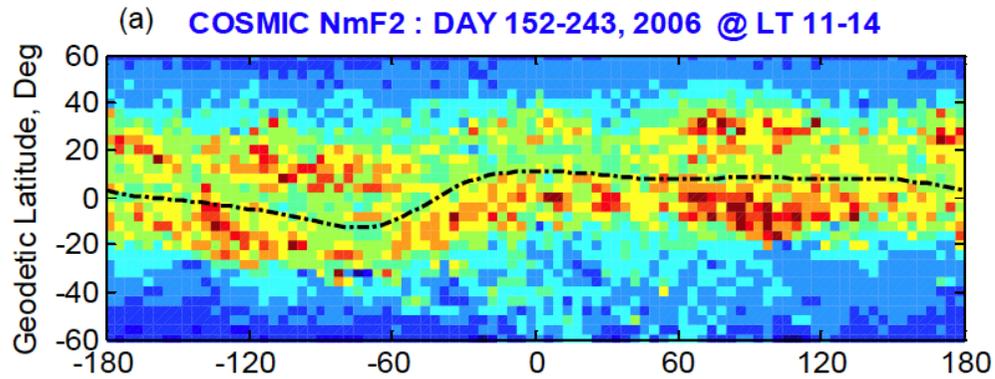


Figure 8a. Geomagnetic latitude and altitude variations of electron density during noon time (LT=13). From a to e are 'true', Abel retrieval, Data assimilation retrieval, absolute error of Abel retrieval, and absolute error of data assimilation retrieval electron density, respectively. (Yue et al., 2010)

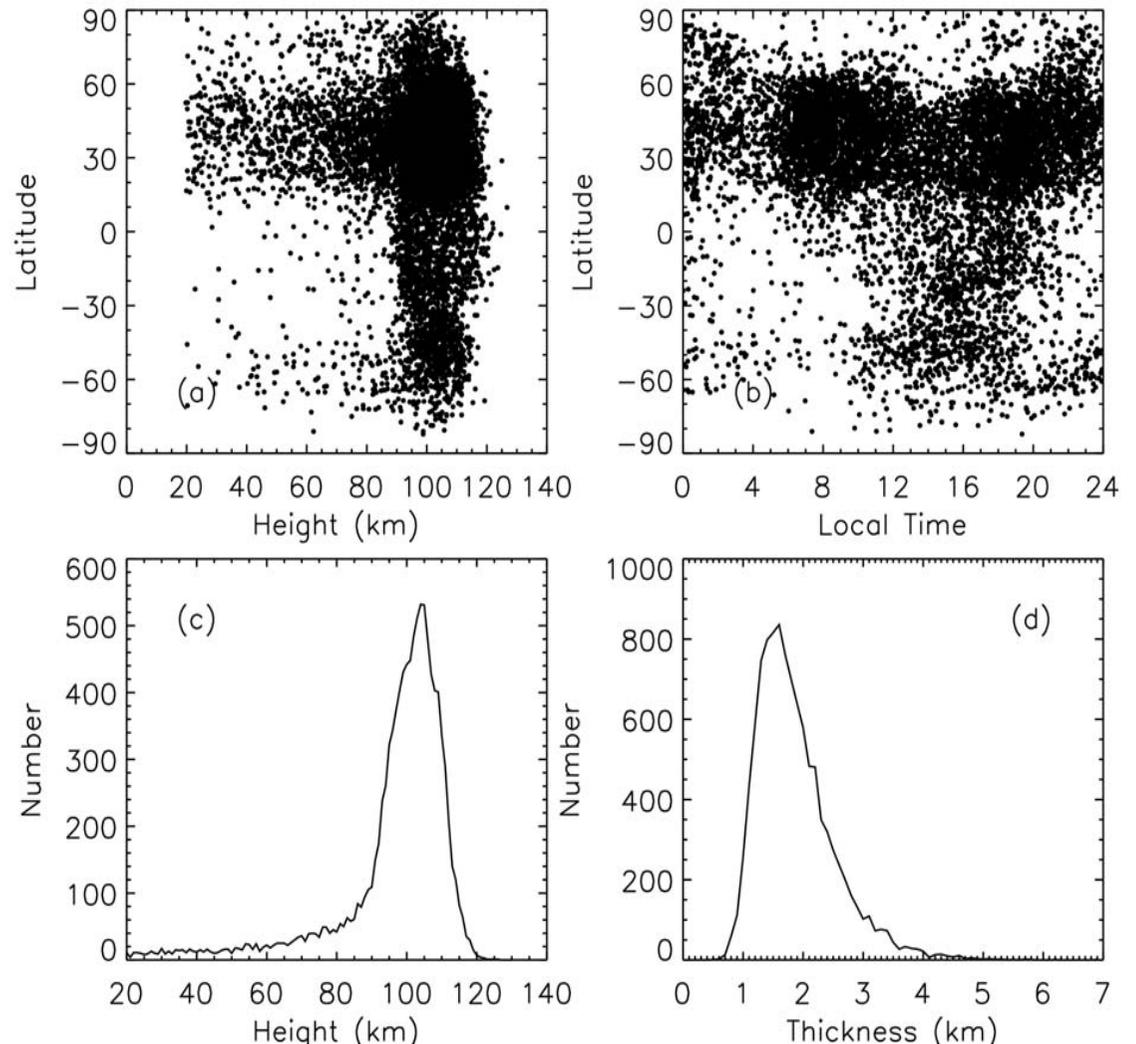
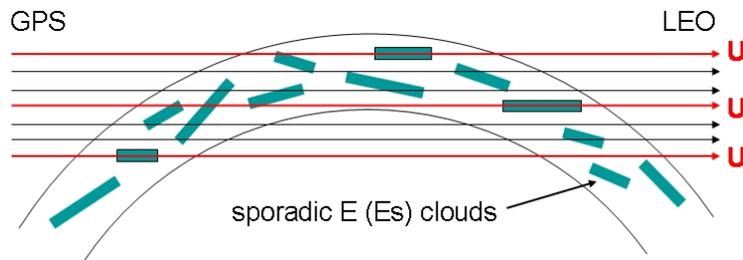
Ionospheric Climatology from COSMIC Data



Study of the sporadic E (Es) clouds by RO

Previously, Es layer was studied by GPS RO based on scintillation. When Es clouds are aligned with the propagation direction they result in specific **U-shaped** structures (due to defocusing) observed in the amplitude of GPS RO signals. This allows to study morphology of the Es clouds.

July 2009



Remaining Challenges

- Lower tropospheric (0-5 km) retrievals—a very important part of the atmosphere!
 - How good are they?
 - How can they be improved?
 - How can they be better used for climate and NWP?
- Need more soundings per day, especially in tropics (for tropical cyclone prediction)
- Need lower latency (for space weather)

Summary and Conclusions

- Over the past two decades, we have seen tremendous progress in the development and application of GPS radio occultation technique: GPS/MET → SAC-C/CHAMP → COSMIC/FORMOSAT-3
- GPS RO has established itself as a high-accuracy, high vertical resolution, and low-cost global observing system.
- WMO, EUMETSAT, ECMWF, and the NRC Decadal Survey have recommended continuing RO observations operationally.
- With the planning and launch of COSMIC-2, an even greater impact can be expected.

We will hear much more about all of this later this week from the experts!

Acknowledgments

- NSF
- Taiwan's NSPO and NSC
- NASA/JPL, NOAA, USAF, ONR, NRL



UCAR



NSF



NASA



USAF



NOAA



NSPO



ONR

Special thanks to Taiwan's leaders, scientists and engineers.
Without Taiwan's support none of this would have been possible!

And thanks to the Wegener Centre and University of Graz for hosting this workshop!